

ECI Super – Choice Menu

Investment Booklet as at 26-Mar-2020

This Investment Booklet for ECI Super – Choice Menu (Investment Booklet) has been prepared and issued by Diversa Trustees Limited (ABN 49 006 421 638, AFSL 235153, RSE Licence No. R1074659) (Trustee, we, us) as Trustee of the HUB24 Super Fund (ABN 60 910 190 523, RSE R1074659) (Fund).

The information contained in this Investment Booklet (Booklet) is incorporated by reference into the Product Disclosure Statement Part I and Part II (PDS) for the Fund and should be read in conjunction with the PDS, Additional Information Booklet, list of individual insurance policies (as applicable), the disclosure documents for managed portfolios and the relevant underlying disclosure documents (if applicable) for each underlying investment option. These documents are available free of charge from www.hub24.com.au/ecinvest, by contacting your financial adviser or by calling the Administrator on 1300854994.

The information contained in this Investment Booklet is general information only and doesn't take into account your personal objectives, financial situation, needs or circumstances. Before acting on this information, you should consider its appropriateness, having regard to your personal objectives, financial situation, needs and circumstances.

Before making a decision about any investment shown in this document (including about whether to acquire or continue to hold the product or an investment available in the Fund), you should consider (as applicable):

- the PDS;
- the Additional Information Booklet;
- the list of individual insurance policies;
- the disclosure documents for managed portfolios.

These documents are available free of charge from www.hub24.com.au/ecinvest, by contacting your financial adviser or by calling the Administrator on 1300854994.

You should also consider the product disclosure document (or other disclosure document) for any investment options before making any investment decision. Upon request, your financial adviser must give you (free of charge) a copy of the documentation.

The fees and costs disclosed in this Investment Booklet are provided by external sources, including investment research providers, or directly by the investment managers. Neither the Trustee nor the Administrator verifies, guarantees or takes responsibility for the fees and costs disclosed in this Investment Booklet. You should not rely solely on this Investment Booklet when making an investment decision. The method of calculation and disclosure of the fees and costs is not uniform and does vary between financial products. This should be considered when comparing financial products.

You can only invest in the Fund if you are advised by a financial adviser (adviser) so you can receive financial advice

for each investment you are considering.

All dollar amounts are in Australian dollars unless otherwise indicated. All fees include GST (where applicable) unless otherwise specified. Information in this document is subject to change from time to time. The latest version is available www.hub24.com.au/ecinvest. Other fees and costs apply. To understand all the fees payable when you select a particular investment option, you must refer to the PDS (including the information incorporated into the PDS) and the product disclosure statement (or other disclosure document) for the underlying investment option(s). Refer to Part II of the PDS and, if applicable, the disclosure document for the particular investment option(s) for details.

Any statement made by a third party or based on a statement made by a third party in this Investment Booklet has been included in the form and context in which it appears with the consent of the third party, which has not been withdrawn as at the date of this Investment Booklet.

All references to the Administrator in this document are references to HUB24 Custodial Services Limited (ABN 94 073 633 664, AFSL 239122) (HUB24, Administrator). If you'd like to request a free printed copy of this document or have any questions or would like any more information about the Fund, please contact your financial adviser or the Administrator.

Administrator

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InvestorHUB

InvestorHUB, your online access to your super and pension account, is available via the product website above.

Important Information

Your investment in the Fund is not guaranteed. The value of your investment can rise and fall depending on the investment returns achieved by the investment option(s) you select. In participating in the Fund, you and your adviser, are solely responsible for selecting the investment options in which you invest. The Trustee and the Administrator are not responsible for the choice of investment options you make and are not liable for any loss or damage you may incur as a result of you deciding to invest in, or withdraw from, a particular investment option.

Note: investment returns can be volatile and past performance is not a reliable indicator of future performance.

About this Investment Booklet

This Investment Booklet contains information on the investment options available on **ECI Super – Choice Menu** within the Fund to assist you and your adviser to build an investment portfolio to meet your specific investment needs.

This Investment Booklet includes:

- general information about each available investment strategy that you and your adviser may wish to consider; and
- the list of the investment options on the **ECI Super – Choice Menu** from which you may choose.

For more information about investing in the Fund and the associated risks, refer to the Additional Information Booklet available on the product website. The information in the Additional Information Booklet may be updated from time to time.

Understanding how different strategies work

Investing in super is generally a long term commitment, you should take a long term view of which investments are right for you.

All investments come with some level of risk, although the degree of risk may vary depending on the asset class or nature of an investment. Generally, low levels of uncertainty (low risk) are associated with low potential returns, and high levels of uncertainty (high risk) are associated with high potential returns.

Before choosing your investments, it is important you understand your personal investment strategy including your investment objective, the timeframe you wish to invest and the level of risk you are comfortable with. The different types of investment options can generally be grouped into the following investment strategies:

- **Single-sector investment strategies:** investments in a single asset class (for example, a managed fund which invests in Australian equities) and are generally designed without taking into account the particular risk profile of individual investors. These investment strategies are offered in the form of managed portfolios, managed funds, ETFs, ETPs, LICs.
- **Multi-sector investment strategies:** Investments in multiple asset classes (for example, a 'conservative' managed portfolio) which are designed to meet the objectives associated with a particular risk profile. These investment strategies are offered in the form of managed portfolios, managed funds, ETFs and ETPs which target specific risk profiles.
- **Direct investment strategies:** generally designed by an investor's adviser and implemented via direct investment in listed securities, which take into account the specific needs of investors, including their particular risk profiles. They can be used on their own to build a complete portfolio tailored to a specific client, or they can be used to complement the above strategies. These include investments in shares in Australian and international companies.

To help you and your adviser tailor your investment strategy, a range of investment strategies are available to you and you may utilise one or more investment strategies for your account.

Examples of the different investment strategies and their characteristics¹

Type of investment strategy	Characteristics
Single-sector investment strategy	
Cash	Cash is usually the least volatile type of investment when it comes to risk. It generally provides high liquidity and defensive characteristics.
Fixed interest	When investing in fixed interest (debt securities), you are effectively lending money to businesses or governments. Returns typically consist of the interest paid and any changes in the value of the security. Debt securities are usually included in a portfolio for their defensive characteristics, however, different types of debt securities have different returns and volatility. The market value of a debt security may fall due to factors such as an increase in interest rates or concern about defaults on loans. Debt securities denominated in foreign currencies will be exposed to exchange rate changes.

¹ The Trustee and the Administrator do not guarantee the performance of any investment option, the asset class(es) or investment products. This information is general information only and you should refer to the underlying disclosure documents for the actual details of each available investment option including investment objectives, investment strategy, asset allocation ranges, risk ratings, suggested minimum investment timeframes and costs.

Property and infrastructure	Investing in property provides exposure to listed property securities and/or direct property in Australia and around the world. This could include commercial, retail or industrial property. Investing outside Australia may mean it's exposed to exchange rate movements. Investing in infrastructure provides exposure to global listed and/or direct infrastructure assets such as transport-related assets (toll roads, railways, ports and airports) and utilities (electricity, water and gas). Property and infrastructure securities may be volatile and are usually included in a portfolio for their income and growth characteristics.
Australian equity	Australian equities are investments in companies listed on the ASX. Australian equities are usually included in a portfolio for their growth and/or income characteristics.
International equity	International equities are investments in companies listed on securities exchanges around the world. Investing globally provides diversification across multiple economies, but can mean it's exposed to exchange rate fluctuations. International equities can be volatile and are usually included in a portfolio for their growth characteristics.
Alternative investments	Alternative investments provide access to investments such as hedge funds, private equity, gold or commodities. When investing in alternatives, you are investing in assets that typically don't behave like traditional investments. They can also be less liquid than other investments, which could make them difficult to buy or sell.
Multi-sector investment Strategy	
Conservative	Conservative strategies, also known as capital stable strategies, are generally defined by the lower weighting they have to growth assets (Australian and international shares, property and infrastructure). This is usually in the range of 0% to 40% to growth assets.
Moderately conservative	Moderately conservative strategies are generally defined by the weighting they have to growth assets (Australian and international shares, property and infrastructure). This is usually in the range of 10% to 50% to growth assets.
Balanced	Balanced strategies are generally defined by the weighting they have to growth assets (Australian and international shares and property). This is usually in the range of 50% to 75% to growth assets.
Growth	Growth strategies are generally defined by the weighting they have to growth assets (Australian and international shares, property and infrastructure). This is usually in the range of 60% to 90%.
High growth	High growth strategies are generally defined by the weighting they have to growth assets (Australian and international shares, property and infrastructure). This is usually in the range of 80% to 100%.
Direct investment strategy	
Australian direct shares	Australian direct shares are investments in companies listed on the Australian Securities Exchange (ASX). Australian equities can be volatile and are usually included in a portfolio for their growth characteristics. Australian equities may provide tax advantages through dividend imputation (franking) credits. Australian listed securities also include securities such as hybrids which are a way for ASX-listed companies to meet their capital requirements through debt-raising. Generally, interest rate securities are less volatile than equity securities and are included in portfolios for their income characteristics.
International direct shares	International direct shares are investments in companies listed on various regulated exchanges around the world. International equities can be volatile and are usually included in a portfolio for their growth characteristics.

Investment holding limits

The Trustee has set limits on how much you can invest in certain investment options. These limits take into account liquidity, diversity and risk and are expressed as 'Single security limits' and, if applicable, 'Aggregate holding limits'. If the value you hold in a particular investment option (per account) exceeds the investment holding limit, you will not be able to make further investments into that particular investment option until the value of your holdings moves below the investment holding limit.

Investments in managed portfolios are generally excluded from your portfolio when determining whether your investments (per account) are within any applicable diversification limits. For more information, refer to managed portfolio disclosure document for the relevant managed portfolio.

Aggregate holding limits and single security limits are summarised in the table below. The current Single security limits applicable to each listed security is included in the tables of this Booklet.

Investment options	Aggregate holding limits	Single security limits ¹
Managed funds (excluding alternative managed funds)	Up to 100% of your account	Up to 100% of your account
Australian securities listed in S&P / ASX All Ordinaries ² (excluding ETFs, ETPs and LICs)	Up to 100% of your account	Up to 20% of your account
Australian securities listed outside S&P / ASX All Ordinaries (excluding ETFs, ETPs and LICs)	Up to 40% of your account	Up to 10% of your account
Managed portfolios	Up to 100% of your account	Up to 100% of your account
International securities listed or represented in MSCI World Index and NYSE listed ETFs	Up to 100% of your account	Up to 20% of your account
International securities listed outside MSCI World Index	Up to 40% of your account	Up to 10% of your account
Listed investment companies	Up to 100% of your account	Up to 100% of your account
ETFs and ETPs (excluding any alternative ETFs and ETPs)	Up to 100% of your account	Up to 100% of your account
Alternatives (including alternative managed funds, ETFs and ETPs ³)	Up to 40% of your account	Up to 25% of your account

¹ These are the maximum single security limits allowed per investment option. The Trustee has the discretion to set lower single security limits which may change from time to time. Where applicable, the single security limits are set out in the 'Investment Options tables' section of this Booklet.

² Includes Australian direct interest rate securities issued by a company listed on the S&P/ASX All Ordinaries Index. An Australian direct interest rate security (for example a hybrid security, and/or corporate bond) issued by a company listed on the S&P/ASX All Ordinaries Index will have the same diversification limit applied as if it were an ordinary security, if the security ranks ahead of the ordinary shareholders in the event of an insolvency.

³ Alternative managed funds, ETFs and ETPs include commodity-based investments (for example, gold) and hedge fund strategies including long/short and absolute return type strategies.

Standard Risk Measure

You should also take into account the risk level rating for each investment option. The risk level rating is known as a 'Standard Risk Measure'.

The Standard Risk Measure is based on industry guidance to allow members to compare investment options that are expected to deliver a similar number of negative annual returns over any 20 year period. The Standard Risk Measure is not a complete assessment of all forms of investment risk, for instance it does not detail what the size of a negative return could be or the potential for a positive return to be less than a member may require to meet their objectives. Further, it doesn't take into account the impact of administration fees and tax on the likelihood of a negative return.

Members should still ensure they are comfortable with the risks and potential losses associated with their chosen investment option/s.

You should refer to the underlying disclosure documents for the relevant Standard Risk Measure for your chosen investment options (if applicable) which are available from your adviser or InvestorHUB.

Risk band	Risk label	Estimated number of negative annual returns over any 20 year period
1	Very low	Less than 0.5
2	Low	0.5 to less than 1
3	Low to medium	1 to less than 2
4	Medium	2 to less than 3
5	Medium to high	3 to less than 4
6	High	4 to less than 6
7	Very high	6 or greater

For more information on risks associated with joining the Fund, please see Section 5 Risks of super in the Additional Information Booklet. You can also find information on the different types of risks that may be relevant to the investment option in their product disclosure statements or other disclosure documents (as applicable). You should consider the product disclosure document or other disclosure document for any investment options before making any investment decision.

Important information for potential investors in international listed securities

- If you trade in a security that is listed on its home market and in that of another market (cross listed security) you will attract the fees and cost associated with the security's home market.
- Minimum trade amounts apply for trades in international listed securities.

International listed securities transaction costs

Brokerage will be incurred on buying and selling international listed securities as outlined in Part II of the PDS – information on fees and other costs and other incorporated materials. In addition, trading on certain international exchanges may incur additional costs (for example local stamp duties, taxes, fees or commissions) which are generally deducted from your account at the time they arise.

You are responsible for all transaction costs associated with trading.

Known additional costs incurred when trading certain securities on certain exchanges are included in the table below (the following additional costs are accurate as at the date of this guide and are subject to change from time to time without notice):

Stock Exchange	Location	Additional Costs
Singapore Exchange (SGX-ST)	Singapore	Clearing Fee 0.0325% Trading Fee 0.0075%
Hong Kong Exchange (SEHK)	Hong Kong	Stamp Duty of 0.10% rounded up to the nearest HKD 1.00 Trading Fee 0.005% Transaction levy 0.0027%
Euronext Paris	France	French Financial Transaction (FFT) 0.20% (buy only)
London Stock Exchange (LSE)	United Kingdom	Stamp Duty of 0.5% (buy only) Panel of takeover & mergers levy (PTM) 1 pound over 10,000 pounds (buy and sell)
New York Stock Exchange (NYSE)	USA	Securities & Exchange Commission (SEC) fee (sell only) 0.00184% x Principal
NASDAQ	USA	Securities & Exchange Commission (SEC) fee (sell only) 0.00184% x Principal
Borsa Italiana	Italy	Italian Financial Transaction Tax 0.10% (buy only)

Exchange rates

Generally, transactions in international listed securities including buy, sell, corporate action and income transactions will be converted into Australian dollars using the foreign exchange rates advised by the sub-custodian. These rates include a foreign exchange margin charged by the sub-custodian. Some corporate actions and income transactions will have a relevant market exchange rate applied to the transaction at the applicable time; for example, an income transaction that may not involve an actual cash payment, but the transaction needs to be converted to Australian dollars for tax purposes.

International listed securities will be valued in Australian dollars based on foreign exchange rates obtained from our sub-custodian.

Changes to the investment options

Changes occur to the investment options from time to time. The table below outlines some issues that may occur, and how the Trustee manages these issues.

Closure to new monies/ and new investors	The Trustee may close an investment option to new monies. This means your money currently invested in this option remains unchanged. However, any subsequent investment that would have been directed to this investment option will go to your cash account until alternative investment instructions are received from your adviser.
Termination	The Trustee, the Administrator and/or an investment manager may terminate the availability of an investment option to all new and existing members. This will require your investment to be sold down to cash. The proceeds of sale will remain in your cash account until alternative investment instructions are received from your adviser.

Investment option tables

The investment options in which you can invest in on the **on ECI Super – Choice Menu** through the Fund are listed below:

Managed Portfolios

The specific information on each available managed portfolio investment option includes the:

- Code
- Portfolio Name
- Category

Managed Funds

The specific information on each available managed fund investment option includes the:

- APIR Code
- Fund Name
- Single Security Limit
- Management Cost
- Transactional & Operational Cost
- Buy Spread
- Sell Spread

Australian Listed Securities

The specific information on each available Australian listed security investment option includes the:

- Security Code
- Security Name
- Security Type
- Single Security Limit
- Management Cost
- Transactional & Operational Cost
- Buy Spread
- Sell Spread

International Listed Securities

The specific information on each available international listed security investment option includes the:

- Security Code
- Security Name
- Exchange
- Security Type
- Single Security Limit

Term Deposits

The specific information on each available term deposit investment option includes the:

- Code
- Term Deposit Name

Managed Portfolios

Code	Portfolio Name	Category	Management Cost ^{##}	Transactional & Operational Cost
ABA002	AB Concentrated Global Growth Equities Portfolio	Global Equity	0.718%	-
ABA001	AB Concentrated US Growth Equities	Global Equity	0.615%	-
AMT001	Armytage Australian Opportunities Portfolio	Australian Broad Cap	0.615%	-
S&P20	ASX 20 Portfolio	Australian Index	0.154%	-
S&P50	ASX 50 Portfolio	Australian Index	0.154%	-
ALT001	Atlas Concentrated Australian Equity Portfolio	Australian Large Cap	0.513%	-
AAP001	Ausbil Australian Active Equity Portfolio	Australian Large Cap	0.820%	-
AAP002	Ausbil Australian Emerging Leaders Portfolio	Australian Small Cap	0.769%	-
BEL001	Bellmont Core Equities Portfolio	Australian Large Cap	0.513%	-
BE0001	Bennelong Australian Equities Portfolio	Australian Large Cap	0.699%	-
BE0003	Bennelong Ex-20 Australian Equities Portfolio	Australian Large Cap	0.652%	-
BTA003	BetaShares Dynamic Balanced ETF Portfolio	Multi Sector	0.195%	-
BTA001	BetaShares Dynamic Conservative ETF Portfolio	Multi Sector	0.195%	-
BTA004	BetaShares Dynamic Growth ETF Portfolio	Multi Sector	0.195%	-
BTA005	BetaShares Dynamic High Growth ETF Portfolio	Multi Sector	0.195%	-
BTA002	BetaShares Dynamic Moderate ETF Portfolio	Multi Sector	0.195%	-
BLK006	BlackRock Target Income ETF Portfolio	Multi Sector	0.186%	-
BON001	BondAdviser Income Opportunities Portfolio	Australian Listed Fixed Income	0.359%	-
BON002	BondAdviser Income Plus Portfolio	Australian Listed Fixed Income	0.256%	-
CFM001	Cromwell Phoenix Core Listed Property Portfolio	Australian Property	0.666%	-
DNR001	DNR Capital Australian Equities High Conviction Portfolio	Australian Large Cap	0.564%	-
DNR002	DNR Capital Australian Equities Income Portfolio	Australian Equity Income	0.564%	-
DNR003	DNR Capital Australian Equities Socially Responsible Portfolio	Australian Large Cap	0.564%	-
DNR004	DNR Capital Australian Listed Property Trust Portfolio	Australian Property	0.902%	-
ELP016	Elston Australian Large Companies Portfolio	Australian Large Cap	0.410%	-
ELP007	Elston Balanced Portfolio	Multi Sector	0.410%	-
ELP001	Elston Conservative Portfolio	Multi Sector	0.410%	-
ELP010	Elston Growth Portfolio	Multi Sector	0.410%	-
ELP013	Elston High Growth Portfolio	Multi Sector	0.410%	-
ELP004	Elston Moderate Portfolio	Multi Sector	0.410%	-
EFM002	Evergreen Premier Balanced Portfolio	Multi Sector	0.308%	-
EFM001	Evergreen Premier Conservative Portfolio	Multi Sector	0.308%	-
EFM003	Evergreen Premier Growth Portfolio	Multi Sector	0.308%	-
EXC005	Executive Choice Aggressive Portfolio	Multi Sector	0.485%	-
EXC002	Executive Choice Balanced Portfolio	Multi Sector	0.428%	-

Managed Portfolios

Code	Portfolio Name	Category	Management Cost ^{#†}	Transactional & Operational Cost
EXC001	Executive Choice Conservative Portfolio	Multi Sector	0.410%	-
EXC003	Executive Choice Growth Portfolio	Multi Sector	0.447%	-
EXC004	Executive Choice High Growth Portfolio	Multi Sector	0.466%	-
FRT001	Franklin Concentrated Global Equity ex-Australia Portfolio	Global Equity	0.718%	-
GLE001	Glennon Capital Small Companies Portfolio	Australian Small Cap	0.745%	-
GAR001	GuardCap Global Equity Portfolio	Global Equity	0.923%	-
HYP001	Hyperion ASX 300 Australian Equities Portfolio	Australian Large Cap	0.643%	-
HYP002	Hyperion Global Growth Equities Portfolio	Global Equity	1.015%	-
INR011	Innova Aspiration Portfolio - Fundamental	Multi Sector	0.359%	-
INR009	Innova Lifestyle Preservation Portfolio - Fundamental	Multi Sector	0.359%	-
INR010	Innova Wealth Creation Portfolio - Fundamental	Multi Sector	0.359%	-
INV002	InvestSense Diversified Portfolio 2	Multi Sector	0.308%	-
INV003	InvestSense Diversified Portfolio 3	Multi Sector	0.308%	-
INV004	InvestSense Diversified Portfolio 4	Multi Sector	0.308%	-
INV005	InvestSense Diversified Portfolio 5	Multi Sector	0.308%	-
BLK005	iShares Enhanced Strategic Aggressive Portfolio	Multi Sector	0.186%	-
BLK003	iShares Enhanced Strategic Balanced Portfolio	Multi Sector	0.186%	-
BLK001	iShares Enhanced Strategic Conservative Portfolio	Multi Sector	0.186%	-
BLK004	iShares Enhanced Strategic Growth Portfolio	Multi Sector	0.186%	-
BLK002	iShares Enhanced Strategic Moderate Portfolio	Multi Sector	0.186%	-
LEG001	Legg Mason Martin Currie Equity Income Portfolio	Australian Large Cap	0.666%	-
LON001	Lonsec Australian Equity Core Portfolio	Australian Large Cap	0.513%	-
LON002	Lonsec Australian Equity Income Portfolio	Australian Large Cap	0.513%	-
LRP007	Lonsec Managed Portfolio – Listed Balanced	Multi Sector	0.308%	-
LRP008	Lonsec Managed Portfolio – Listed Growth	Multi Sector	0.308%	-
LRP009	Lonsec Managed Portfolio – Listed High Growth	Multi Sector	0.308%	-
LRP004	Lonsec Managed Portfolio – Multi-Asset Balanced	Multi Sector	0.308%	-
LRP005	Lonsec Managed Portfolio – Multi-Asset Growth	Multi Sector	0.308%	-
LRP006	Lonsec Managed Portfolio – Multi-Asset High Growth	Multi Sector	0.308%	-
LRP002	Lonsec Retirement Managed Portfolio – Balanced	Multi Sector	0.308%	-
LRP001	Lonsec Retirement Managed Portfolio – Conservative	Multi Sector	0.308%	-
LRP003	Lonsec Retirement Managed Portfolio – Growth	Multi Sector	0.308%	-
IBB012	Morningstar All Growth Portfolio	Multi Sector	0.718%	-
MOR002	Morningstar Australian Shares Income Portfolio	Australian Equity Income	0.410%	-
IBB008	Morningstar Balanced Portfolio	Multi Sector	0.564%	-

Managed Portfolios

Code	Portfolio Name	Category	Management Cost ^{#†}	Transactional & Operational Cost
IBB010	Morningstar Conservative Portfolio	Multi Sector	0.513%	-
IBB011	Morningstar Diversified Income Portfolio	Multi Sector	0.568%	-
IBB003	Morningstar Global Shares Portfolio	Global Equity	0.359%	-
IBB007	Morningstar Growth Portfolio	Multi Sector	0.615%	-
IBB006	Morningstar High Growth Portfolio	Multi Sector	0.666%	-
IBB009	Morningstar Moderate Portfolio	Multi Sector	0.513%	-
NIK001	Nikko AM Australian Share Concentrated	Australian Large Cap	0.564%	-
NIK002	Nikko AM Ex-20 Australian Share Focused Portfolio	Australian Mid Cap	0.513%	-
PDL001	Pendal Australian Share Managed Portfolio	Australian Large Cap	0.605%	-
PVM001	Perennial Smaller Companies Responsible Portfolio	Australian Small Cap	0.923%	-
PIM001	Perpetual Direct Equity Alpha Portfolio	Australian Broad Cap	0.745%	-
PPM002	PPM Global Equities Growth Portfolio	Global Equity	0.871%	-
QAP001	Quest Australian Equities Concentrated Portfolio	Australian Broad Cap	0.615%	-
QCS003	Quilla Assertive Portfolio	Multi Sector	0.308%	-
QCS001	Quilla Conservative Income Portfolio	Multi Sector	0.308%	-
QCS004	Quilla Income Generator Portfolio	Multi Sector	0.308%	-
QCS002	Quilla Moderate Portfolio	Multi Sector	0.308%	-
QCS006	Quilla Wealth Accelerator Portfolio	Multi Sector	0.308%	-
QCS005	Quilla Wealth Accumulator Portfolio	Multi Sector	0.308%	-
RAS004	Ralton Australian Equity ex 50 Portfolio	Australian Small Cap	0.792%	-
RAS003	Ralton Concentrated Australian Equity Portfolio	Australian Large Cap	0.699%	-
RAS002	Ralton Dividend Builder Portfolio	Australian Equity Income	0.699%	-
RUS003	Russell Investments Managed Portfolio – Balanced	Multi Sector	0.256%	-
RUS001	Russell Investments Managed Portfolio – Conservative	Multi Sector	0.256%	-
RUS002	Russell Investments Managed Portfolio – Diversified 50	Multi Sector	0.256%	-
RUS004	Russell Investments Managed Portfolio – Growth	Multi Sector	0.256%	-
SGH002	SG Hiscock Property Income Portfolio	Australian Property	0.666%	-
SGH004	SGH ICE Composite Portfolio	Australian Small Cap	0.923%	-
MLL002	SmartShield Balanced Portfolio	Multi Sector	0.308%	-
MLL003	SmartShield Growth Portfolio	Multi Sector	0.308%	-
MLL004	SmartShield High Growth Portfolio	Multi Sector	0.308%	-
MLL001	SmartShield Moderate Portfolio	Multi Sector	0.308%	-
SOU001	Southeastern Global Equities Portfolio	Global Equity	1.128%	-
SWE001	Swell Global Portfolio	Global Equity	1.025%	-
WFM001	Watershed Australian Share Portfolio	Australian Large Cap	0.615%	-

Managed Portfolios

Code	Portfolio Name	Category	Management Cost ^{#†}	Transactional & Operational Cost
WFM012	Watershed Balanced Portfolio	Multi Sector	0.513%	-
WFM011	Watershed Conservative Portfolio	Multi Sector	0.513%	-
WFM003	Watershed Emerging Leaders Portfolio	Australian Small Cap	0.615%	-
WFM013	Watershed Growth Portfolio	Multi Sector	0.513%	-
WFM002	Watershed Income Portfolio	Australian Equity Income	0.410%	-
WFM004	Watershed International Equities Portfolio	Global Equity	0.820%	-
WAS001	Wilsons Global Equity Managed Portfolio	Global Equity	0.820%	-
XTB001	XTB Emerald Fixed Income Portfolio	Australian Listed Fixed Income	0.179%	-
ZEN002	Zenith Elite Blends - Balanced Portfolio	Multi Sector	0.205%	-
ZEN003	Zenith Elite Blends - Growth Portfolio	Multi Sector	0.205%	-
ZEN001	Zenith Elite Blends - Moderate Portfolio	Multi Sector	0.205%	-
ZEN004	Zenith Essentials - Balanced Portfolio	Multi Sector	0.205%	-
ZEN005	Zenith Essentials - Growth Portfolio	Multi Sector	0.205%	-
ZIM002	Zurich Investments Australian Property Securities Portfolio	Australian Property	0.718%	-

Where the management cost is displayed as 'Tiered', the Managed Portfolio has a tiered investment management fee. Refer to the relevant managed portfolio disclosure document for more information.

† Management costs for managed portfolios in the table above are shown inclusive of GST and where eligible net of any Reduced Input Tax Credits (RITC). Refer to the relevant disclosure document for the managed portfolio for more information.

- this means that the fee or cost is not applicable for this investment.

Managed Funds

APIR Code	Fund Name	Single Security Limit ^	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
BFL0019AU	4D Global Infrastructure Fund	10%	2.36 %	0.24 %	0.30 %	0.30 %
FHT0012AU	8IP Australian Small Companies Fund	20%	1.20 %	*	*	*
CRS0005AU	Aberdeen Standard Actively Hedged International Equities	100%	0.98 %	*	15.00 %	15.00 %
EQI0028AU	Aberdeen Standard Asian Opportunities Fund	100%	1.23 %	*	25.00 %	25.00 %
CRS0004AU	Aberdeen Standard Australian Fixed Income Fund	100%	0.53 %	0.11 %	5.00 %	15.00 %
CSA0029AU	Aberdeen Standard Australian Floating Rate Income Fund	100%	0.38 %	0.18 %	*	0.10 %
CSA0131AU	Aberdeen Standard Australian Small Companies Fund	25%	1.26 %	*	10.00 %	10.00 %
ETL0032AU	Aberdeen Standard Emerging Opportunities Fund	100%	1.55 %	*	25.00 %	25.00 %
CRS0003AU	Aberdeen Standard ex-20 Australian Equity Strategy Fund	50%	0.95 %	*	10.00 %	10.00 %
CSA0135AU	Aberdeen Standard Fully Hedged International Equities Fund	100%	0.99 %	0.09 %	15.00 %	15.00 %
ETL0130AU	Aberdeen Standard Global Absolute Return Strategies Fund	25%	1.14 %	*	*	*
ETL0465AU	Aberdeen Standard Global Focused Strategies Fund	10%	1.57 %	*	*	*
EQI0015AU	Aberdeen Standard International Equity Fund	100%	0.98 %	*	15.00 %	15.00 %
CRS0001AU	Aberdeen Standard Multi-Asset Income Fund	100%	0.94 %	*	15.00 %	20.00 %
CRS0002AU	Aberdeen Standard Multi-Asset Real Return Fund	15%	1.16 %	*	20.00 %	20.00 %
FSF3982AU	Acadian Australian Equity Long Short - Class A	20%	0.90 %	0.71 %	0.25 %	0.25 %
FSF0973AU	Acadian Defensive Income Fund - Class A	25%	0.46 %	0.15 %	0.10 %	0.10 %
FSF1978AU	Acadian Global Equity Long Short Fund - Class A	25%	1.11 %	0.70 %	0.15 %	0.15 %
FSF1240AU	Acadian Global Managed Volatility Equity Fund - Class A	50%	0.63 %	0.12 %	0.15 %	0.15 %
ADV0050AU	Advance Balanced Multi-Blend Fund - Wholesale Units	100%	0.79 %	*	*	*
ADV0049AU	Advance Defensive Multi-Blend Fund - Wholesale Units	100%	0.71 %	*	*	*
ADV0085AU	Advance Growth Multi-Blend Fund - Wholesale Units	100%	0.94 %	*	*	*
ADV0087AU	Advance High Growth Multi-Blend Fund - Wholesale Units	100%	0.98 %	*	*	*
ADV0067AU	Advance International Fixed Interest Multi-Blend Fund - W/S	100%	0.65 %	*	*	*
ADV0091AU	Advance Moderate Multi-Blend Fund - Wholesale Units	100%	0.75 %	*	*	*
FSF7298AU	Affirmative Global Bond Fund - Class A	25%	0.56 %	0.64 %	0.10 %	0.10 %
MGE9705AU	Airlie Australian Share Fund	25%	0.78 %	0.17 %	0.14 %	0.14 %
OMF0005AU	Alexander Credit Opportunities Fund	25%	1.03 %	*	0.25 %	0.25 %
ETL0060AU	Allan Gray Australia Equity Fund - Class A	100%	0.77 %	*	0.20 %	0.20 %
ETL0349AU	Allan Gray Australia Equity Fund Class B	100%	0.11 %	*	0.20 %	0.20 %
ETL0273AU	Allan Gray Australia Stable Fund	50%	0.73 %	*	0.10 %	0.10 %
ACM0001AU	AllianceBernstein Dynamic Global Fixed Income Fund	50%	0.55 %	*	0.55 %	0.55 %
ACM0009AU	AllianceBernstein Global Equities Fund	50%	0.85 %	*	0.25 %	0.25 %
ACM0006AU	AllianceBernstein Managed Volatility Equities Fund	50%	0.55 %	*	0.25 %	0.25 %
ETL0091AU	Alpha Australian Blue Chip Fund	100%	1.63 %	0.20 %	0.35 %	0.35 %

Managed Funds

APIR Code	Fund Name	Single Security Limit ^	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
ETL0092AU	Alpha Australian Small Companies Fund	50%	1.29 %	0.64 %	0.35 %	0.35 %
ETL0329AU	Alpha Diversified Income Fund	50%	0.92 %	0.15 %	*	*
ETL0093AU	Alpha Enhanced Yield Fund	50%	0.99 %	0.21 %	*	*
ETL0094AU	Alpha Global Opportunities Fund	100%	1.71 %	0.05 %	0.35 %	0.35 %
ETL0319AU	Alpha Infrastructure Fund	50%	1.28 %	0.27 %	0.35 %	0.35 %
ETL0095AU	Alpha Property Securities Fund	50%	1.27 %	0.03 %	0.33 %	0.33 %
PAM0001AU	Alphinity Australian Share Fund	100%	0.90 %	0.16 %	0.20 %	*
HOW0026AU	Alphinity Concentrated Australian Share Fund	100%	1.11 %	0.22 %	0.20 %	*
HOW0164AU	Alphinity Global Equity Fund	50%	1.02 %	*	0.25 %	*
HOW0121AU	Alphinity Sustainable Share Fund	50%	0.95 %	0.13 %	0.20 %	*
WFS0486AU	Altius Bond Fund	100%	0.58 %	0.05 %	*	1.00 %
AUS0071AU	Altius Sustainable Bond Fund	50%	0.69 %	0.05 %	*	1.00 %
ANT0005AU	Altrinsic Global Equities Trust	100%	1.25 %	0.05 %	0.15 %	0.15 %
AMP1179AU	AMP Capital Core Infrastructure Fund - On-platform Class A	25%	1.35 %	0.09 %	0.04 %	0.04 %
AMP1015AU	AMP Capital Core Property Fund - On-platform Class A	100%	1.35 %	0.55 %	0.14 %	0.14 %
AMP3254AU	AMP Capital Core Retirement Fund - Class A	100%	0.81 %	0.48 %	0.15 %	0.15 %
AMP0557AU	AMP Capital Corporate Bond Fund - On-platform Class A	100%	0.61 %	0.07 %	0.20 %	0.20 %
AMP1932AU	AMP Capital Dynamic Markets Fund-Platform Class A Units	25%	0.64 %	0.84 %	0.15 %	0.15 %
AMP0452AU	AMP Capital Ethical Leaders Balanced Fund - Class A	50%	1.11 %	0.41 %	0.17 %	0.17 %
AMP0455AU	AMP Capital Ethical Leaders International Share - Class A	100%	1.14 %	0.59 %	0.25 %	0.25 %
AMP0974AU	AMP Capital Global Property Sec Fund - On-platform Class A	100%	0.97 %	0.29 %	0.30 %	0.30 %
AMP1685AU	AMP Capital Multi - Asset Fund Class A	25%	1.17 %	0.44 %	0.13 %	0.14 %
AMP0449AU	AMP Capital Sustainable Share Fund - On-platform Class A	100%	0.80 %	0.04 %	0.20 %	0.20 %
NML0001AU	AMP Capital Wholesale Australian Property Fund	20%	1.12 %	1.09 %	*	*
DAM0026AU	AMS Balanced Fund	100%	1.93 %	0.29 %	0.15 %	0.15 %
DAM0025AU	AMS Moderately Conservative Fund	100%	1.61 %	0.24 %	0.15 %	0.15 %
PPL0110AU	Antares Australian Equities Fund	100%	0.60 %	0.04 %	0.15 %	0.15 %
PPL0002AU	Antares Dividend Builder	100%	0.60 %	0.01 %	0.15 %	0.15 %
PPL0115AU	Antares Elite Opportunities Fund	100%	0.70 %	0.06 %	0.15 %	0.15 %
PPL0106AU	Antares High Growth Shares Fund	25%	1.05 %	0.62 %	0.15 %	0.15 %
NFS0209AU	Antares Listed Property Fund	100%	0.72 %	0.02 %	0.15 %	0.15 %
IOF0203AU	Antipodes Asia Fund - Class P	10%	2.10 %	0.51 %	0.30 %	0.30 %
IOF0045AU	Antipodes Global Fund - Class P	25%	1.51 %	0.26 %	0.30 %	0.30 %
WHT0057AU	Antipodes Global Fund - Long - Class P	25%	2.42 %	0.15 %	0.30 %	0.30 %
PIM0058AU	Aoris International Fund - Class B	15%	1.10 %	*	0.10 %	0.10 %

Managed Funds

APIR Code	Fund Name	Single Security Limit ^	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
APN0008AU	APN AREIT Fund	100%	0.85 %	*	0.25 %	0.25 %
APN0023AU	APN Asian REIT Fund	20%	0.98 %	*	0.30 %	0.30 %
APN0001AU	APN Property for Income Fund	100%	1.05 %	*	0.25 %	0.25 %
APN0004AU	APN Property For Income Fund No. 2	100%	1.08 %	*	0.25 %	0.25 %
PER0554AU	AQR Wholesale DELTA Fund - Class 1F	25%	1.40 %	0.54 %	*	0.20 %
PER0634AU	AQR Wholesale Managed Futures - Class 1P	10%	1.34 %	1.28 %	*	0.10 %
HOW0098AU	Ardea Real Outcome Fund	50%	0.50 %	0.18 %	0.03 %	*
ETL0148AU	Armytage Australian Equity Income Fund	100%	0.97 %	*	*	*
ETL0139AU	Armytage Strategic Opportunities Wholesale Fund	30%	1.31 %	*	*	*
MAQ0464AU	Arrowstreet Global Equity Fund	100%	1.28 %	0.17 %	0.16 %	0.11 %
MAQ0079AU	Arrowstreet Global Equity Fund (Hedged)	100%	1.28 %	0.35 %	0.21 %	0.16 %
ETL8268AU	Artesian Corporate Bond Fund - Class A	25%	0.88 %	0.03 %	0.10 %	0.10 %
FSF3532AU	Aspect Absolute Return Fund - Class A	15%	0.66 %	0.47 %	*	*
FSF1086AU	Aspect Diversified Futures Fund - Class A	10%	2.60 %	0.73 %	*	*
COL0029AU	Atrium Evolution Series Diversified - AEF 5	100%	1.97 %	0.88 %	0.10 %	0.10 %
COL0030AU	Atrium Evolution Series Diversified - AEF 7	100%	1.97 %	0.90 %	0.10 %	0.10 %
COL0031AU	Atrium Evolution Series Diversified - AEF 9	100%	1.97 %	0.91 %	0.15 %	0.15 %
AAP0008AU	Ausbil 130/30 Focus Fund - Wholesale Class	25%	1.06 %	1.67 %	0.30 %	0.30 %
AAP3656AU	Ausbil Active Dividend Income Fund	25%	0.90 %	0.21 %	0.30 %	0.30 %
AAP0103AU	Ausbil Australian Active Equity Fund	100%	0.90 %	0.04 %	0.30 %	0.30 %
AAP9227AU	Ausbil Australian Concentrated Equity Fund	25%	0.78 %	0.12 %	0.30 %	0.30 %
AAP0104AU	Ausbil Australian Emerging Leaders Fund	100%	0.85 %	0.19 %	0.30 %	0.30 %
AAP0002AU	Ausbil Australian Geared Equity Fund	100%	2.21 %	*	0.30 %	0.30 %
AAP0001AU	Ausbil Candriam Sustainable Global Equity Fund	50%	1.05 %	0.03 %	0.40 %	0.40 %
AAP3254AU	Ausbil Global Essential Infrastructure Fund	25%	1.00 %	0.02 %	0.30 %	0.30 %
AAP8285AU	Ausbil Global SmallCap Fund	25%	2.07 %	0.19 %	0.30 %	0.30 %
AAP0007AU	Ausbil MicroCap Fund	15%	1.20 %	0.59 %	0.30 %	0.30 %
ASX6124AU	Auscap Long Short Aus Equities - Daily Platform Class	25%	*	*	*	*
ALR2783AU	Australian Eagle Trust	25%	1.69 %	0.66 %	0.30 %	0.30 %
AUG0018AU	Australian Ethical Australian Shares Fund - Class B	100%	1.14 %	0.04 %	0.20 %	0.20 %
AUG0019AU	Australian Ethical Diversified Shares Fund - Class B	100%	0.95 %	*	0.05 %	0.05 %
AUG0027AU	Australian Ethical Emerging Companies Fund - Class B	20%	1.26 %	0.06 %	0.20 %	0.20 %
AUG0023AU	Australian Ethical Fixed Interest Fund - Class B	50%	0.45 %	*	*	*
AUG0025AU	Australian Ethical International Shares Fund - Class B	25%	0.85 %	*	0.10 %	0.10 %
YOC0018AU	Australian Unity Diversified Property Fund - Ordinary Class	20%	0.49 %	*	*	*

Managed Funds

APIR Code	Fund Name	Single Security Limit [^]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
AUS0037AU	Australian Unity Healthcare Property Trust - Class A Units	25%	1.22 %	0.50 %	3.00 %	0.50 %
AUS0112AU	Australian Unity Healthcare Property-W/sale	15%	1.10 %	0.62 %	3.00 %	0.50 %
YOC0100AU	Australian Unity W/sale Property Income Fund	20%	1.34 %	1.22 %	0.80 %	0.20 %
ETL0171AU	AXA IM Sustainable Equity Fund	50%	0.35 %	*	*	*
FSF5774AU	Baillie Gifford Long Term Global Growth - Class A	50%	1.11 %	0.10 %	0.10 %	0.10 %
BPF0029AU	Bell Global Emerging Companies Fund	15%	1.39 %	*	0.17 %	0.17 %
BPF0016AU	Bell Global Equities Fund - Platform Class	50%	0.90 %	*	0.21 %	0.21 %
BFL0001AU	Bennelong Australian Equities Fund	100%	1.00 %	0.16 %	0.25 %	0.25 %
BFL0002AU	Bennelong Concentrated Australian Equities Fund	100%	0.90 %	0.27 %	0.25 %	0.25 %
BFL3779AU	Bennelong Emerging Companies Fund	25%	3.67 %	0.58 %	0.25 %	0.25 %
BFL0004AU	Bennelong Ex-20 Australian Equities Fund	100%	0.98 %	0.17 %	0.25 %	0.25 %
BFL0010AU	Bennelong Kardinia Absolute Return Fund - Daily Class	25%	1.64 %	0.71 %	0.20 %	0.20 %
BFL0016AU	Bennelong Market Neutral Fund	10%	1.63 %	1.41 %	0.30 %	0.30 %
HOW2852AU	Bentham Asset Backed Securities Fund -- Class I	20%	0.35 %	*	0.18 %	*
CSA0038AU	Bentham Global Income Fund	100%	0.77 %	0.09 %	0.28 %	*
CSA0102AU	Bentham High Yield Fund	15%	0.79 %	0.23 %	0.25 %	*
CSA0046AU	Bentham Syndicated Loan Fund	50%	0.84 %	*	0.42 %	*
BAR0814AU	Blackrock Advantage Australian Equity Fund	100%	0.45 %	*	*	*
BGL0109AU	Blackrock Advantage Hedged International Equity Fund	50%	0.94 %	*	*	*
BAR0817AU	Blackrock Advantage International Equity Fund	40%	0.50 %	*	*	*
BLK0012AU	BlackRock Concentrated Industrial Share Fund - Class D	25%	0.85 %	*	*	*
BAR0813AU	BlackRock Diversified ESG Growth Fund	100%	0.82 %	*	*	*
BAR0811AU	BlackRock Diversified ESG Stable Fund	50%	0.77 %	*	*	*
BLK0003AU	BlackRock Fixed Income Global Opportunities Fund (AU ClassD)	50%	0.77 %	*	*	*
MAL0018AU	BlackRock Global Allocation (Aust) - Class D	25%	1.96 %	*	*	*
BLK0009AU	BlackRock Global Multi Asset Income Fund (Aust) D	25%	0.75 %	*	*	*
BLK0001AU	BlackRock Multi Opportunity Absolute Return Fund	25%	1.45 %	*	*	*
PWA0822AU	BlackRock Tactical Growth Fund	100%	0.91 %	*	*	*
PWA0825AU	BlackRock Wholesale International Bond Fund	50%	0.55 %	*	0.25 %	0.92 %
PER0736AU	BMO LGM Global Emerging Markets Fund	10%	0.90 %	*	*	*
PER0728AU	BMO Pyrford Global Absolute Return Fund	50%	0.90 %	*	*	*
ETL8171AU	BNP Paribas Environment Equity Trust	50%	1.10 %	0.14 %	0.30 %	0.30 %
BTA0221AU	BTFM Wholesale Multi Manager Balanced Fund	100%	0.79 %	*	*	*
BTA0246AU	BTFM Wholesale Multi Manager High Growth Fund	50%	0.98 %	*	*	*
ARO0006AU	C WorldWide Equity Trust	50%	0.99 %	*	0.30 %	0.30 %

Managed Funds

APIR Code	Fund Name	Single Security Limit ^	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
WHT0053AU	Capital Group Emerging Markets Total Opportunities Fund	25%	1.18 %	0.45 %	*	*
CIM0006AU	Capital Group New Perspective Fund (AU)	25%	0.95 %	0.08 %	*	*
CIM0008AU	Capital Group New Perspective Fund Hedged (AU)	25%	0.95 %	0.18 %	*	*
CHN0005AU	CC JCB Active Bond Fund	20%	0.55 %	*	*	*
CHN4711AU	CC JCB Global Bond Fund - Hedged - Class A	25%	0.15 %	*	*	*
CHN1425AU	CC JCB Global Bond Fund - Unhedged - Class B	25%	0.15 %	*	*	*
CHN8850AU	CC RWC Global Emerging Markets Fund	15%	1.23 %	*	*	*
FAM0101AU	Celeste Australian Small Companies Fund	100%	1.20 %	*	0.30 %	0.30 %
CNT0032AU	Centuria Diversified Property Fund	20%	1.77 %	*	*	*
PIM0034AU	CFM Institutional Systematic Diversified Trust	10%	1.30 %	*	*	*
PIM1966AU	CFM IS Trends Trust - Class A	10%	0.60 %	*	*	*
CVW1890AU	CFML Antipodes Global Fund	20%	1.20 %	0.40 %	*	*
NRM0036AU	CFML First Sentier Inv Infra Fund	25%	1.23 %	0.26 %	*	*
NRM0030AU	CFML Fixed Interest Fund	100%	0.72 %	0.22 %	*	*
NRM0034AU	CFML Listed Property Fund	50%	0.90 %	0.05 %	*	*
NRM0026AU	CFML Money Market Fund	100%	0.40 %	*	*	*
NRM0038AU	CFML RARE Emerging Markets Fund	25%	1.33 %	0.46 %	*	*
NRM0028AU	CFML Schroder Equity Opportunities Fund	100%	0.92 %	0.17 %	*	*
NRM0032AU	CFML Stewart Investor Worldwide Sustainability Fund	100%	1.22 %	0.18 %	*	*
FSF8777AU	CFS Australian MidCap Fund - Class A	15%	2.30 %	0.31 %	0.15 %	0.15 %
FSF1676AU	CFS Equity Income Fund - Class A	50%	0.97 %	0.08 %	0.15 %	0.15 %
FSF0891AU	CFS FC WS Acadian Geared Global Equity Fund	25%	2.70 %	0.31 %	0.25 %	0.25 %
FSF0027AU	CFS FC WS Australian Bond Fund	50%	0.47 %	0.04 %	0.10 %	0.10 %
FSF0485AU	CFS FC WS Australian Share Fund	100%	1.01 %	0.15 %	0.20 %	0.20 %
FSF0502AU	CFS FC WS Australian Small Companies Fund	100%	1.31 %	0.49 %	0.25 %	0.25 %
FSF0040AU	CFS FC WS Balanced Fund	50%	0.87 %	0.09 %	0.15 %	0.15 %
FSF0075AU	CFS FC WS Cash Fund	100%	0.41 %	*	*	*
FSF0487AU	CFS FC WS Defensive Fund	100%	0.86 %	0.07 %	0.15 %	0.15 %
FSF0468AU	CFS FC WS Developing Companies Fund	20%	1.31 %	0.07 %	0.20 %	0.20 %
FSF0961AU	CFS FC WS Equity Income Fund	100%	1.22 %	0.07 %	0.15 %	0.15 %
FSF0488AU	CFS FC WS Fixed Interest Fund	100%	0.76 %	0.14 %	0.20 %	0.20 %
FSF0170AU	CFS FC WS Geared Global Share Fund	25%	1.78 %	0.09 %	0.24 %	0.24 %
FSF0043AU	CFS FC WS Geared Share Fund	25%	2.23 %	0.21 %	0.50 %	0.50 %
FSF0908AU	CFS FC WS Generation Global Share Fund	100%	2.79 %	0.36 %	0.15 %	0.15 %
FSF0084AU	CFS FC WS Global Credit Income Fund	100%	0.62 %	0.05 %	0.15 %	0.15 %

Managed Funds

APIR Code	Fund Name	Single Security Limit [^]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
FSF0905AU	CFS FC WS Global Listed Infrastructure Securities Fund	25%	1.22 %	0.17 %	0.25 %	0.25 %
FSF0454AU	CFS FC WS Global Property Securities Fund	25%	1.03 %	0.29 %	0.20 %	0.20 %
FSF0489AU	CFS FC WS Global Share Fund	100%	1.22 %	0.18 %	0.15 %	0.15 %
FSF0490AU	CFS FC WS Growth Fund	100%	1.02 %	0.24 %	0.20 %	0.20 %
FSF0003AU	CFS FC WS Imputation Fund	100%	0.96 %	0.14 %	0.20 %	0.20 %
FSF0653AU	CFS FC WS Index Australian Bond Fund	100%	0.31 %	*	0.10 %	0.10 %
FSF0654AU	CFS FC WS Index Global Share Fund	100%	0.32 %	*	0.10 %	0.10 %
FSF0655AU	CFS FC WS Index Property Securities Fund	100%	0.31 %	*	0.10 %	0.10 %
FSF0500AU	CFS FC WS Moderate Fund	100%	0.97 %	0.22 %	0.15 %	0.15 %
FSF0004AU	CFS FC WS Property Securites Fund	25%	0.82 %	0.06 %	0.20 %	0.20 %
FSF0501AU	CFS FC WS Property Securities Fund	100%	0.91 %	0.09 %	0.20 %	0.20 %
CMI0111AU	CFS FC WS Small Companies Fund - Core	100%	1.12 %	0.45 %	0.25 %	0.25 %
FSF8443AU	CFS Global Emerging Markets Focus Fund - Class A	20%	1.27 %	*	0.20 %	0.20 %
FSF0002AU	CFS WS Australian Share Fund	25%	0.96 %	0.12 %	0.20 %	0.20 %
FSF1241AU	CFS WS Global Listed Infrastructure Securities Fund Class A	25%	1.02 %	0.15 %	0.25 %	0.25 %
MLT0010AU	Challenger GIF 4.00 cpa 30 Sep 2022	25%	*	*	*	*
MLT0007AU	Challenger GIF 5.15 cpa 31 March 2020	25%	*	*	*	*
MAQ0854AU	Charter Hall Direct Industrial Fund No.4	20%	0.72 %	3.98 %	*	*
MAQ0842AU	Charter Hall Direct Office Fund - Wholesale A	20%	0.58 %	3.26 %	*	2.50 %
MAQ5880AU	Charter Hall Direct PFA Fund - Ordinary Units	20%	0.80 %	6.92 %	*	2.50 %
COL0001AU	Charter Hall Maxim Property Securities Fund	25%	0.95 %	0.25 %	0.25 %	0.25 %
OPS7755AU	Chester High Conviction Fund	25%	2.65 %	0.31 %	0.30 %	0.30 %
CLA0002AU	Clime Australian Income Fund - Wsale	25%	1.03 %	*	*	*
CLA1557AU	Clime Smaller Companies Fund - Wsale	20%	*	*	*	*
ETL3065AU	Colchester Emerging Markets Bond Fund - Class I	15%	0.87 %	0.30 %	0.15 %	0.15 %
ETL5525AU	Colchester Global Government Bond Fund - Class I	50%	0.62 %	0.12 %	*	*
CIP0001AU	Cooper I Global Equities Fund - Hedged	25%	1.38 %	*	0.20 %	0.20 %
CIP0003AU	Cooper I Global Equities Fund (Unhedged)	25%	1.46 %	*	0.20 %	0.20 %
COR0001AU	COR Capital Fund	40%	1.17 %	*	*	*
CRM0018AU	Cromwell Direct Property Fund	20%	2.95 %	*	*	*
CRM0008AU	Cromwell Phoenix Property Securities Fund	25%	0.95 %	*	0.20 %	0.20 %
WPC1963AU	Daintree Core Income Trust	50%	0.60 %	*	0.05 %	0.05 %
ETL0469AU	Dalton Street Capital Absolute Return Fund	20%	1.50 %	*	*	*
DDH0001AU	DDH Preferred Income Fund	25%	0.82 %	*	0.15 %	0.15 %
PIM4357AU	DNR Capital Australian Emerging Companies Fund	20%	2.15 %	0.78 %	0.30 %	0.30 %

Managed Funds

APIR Code	Fund Name	Single Security Limit ^	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
PIM0028AU	DNR Capital Australian Equities High Conviction Fund	25%	0.90 %	*	*	*
PIM5346AU	Eley Griffiths Group Emerging Companies Fund	25%	1.25 %	*	*	*
EGG0001AU	Eley Griffiths Group Small Companies Fund	25%	1.23 %	*	*	*
ECL1411AU	Ellerston Asia Growth Fund	20%	1.20 %	0.34 %	0.25 %	0.25 %
ECL0013AU	Ellerston Australian Market Neutral Fund	25%	1.70 %	0.47 %	0.25 %	0.25 %
ETL7541AU	Elston Australian Large Companies Fund - Class A	25%	0.33 %	*	*	*
GSF0001AU	Epoch Global Equity Shareholder Yield (Hdgd) - A Class	100%	1.30 %	0.02 %	0.20 %	0.20 %
GSF0002AU	Epoch Global Equity Shareholder Yield (Unhdgd) - A Class	100%	1.25 %	*	0.20 %	0.20 %
HLR0003AU	Experts Choice Balanced Fund	100%	1.03 %	0.21 %	0.20 %	0.20 %
HLR0004AU	Experts Choice Conservative Fund	100%	0.84 %	0.23 %	0.13 %	0.13 %
HLR0005AU	Experts Choice Growth Fund	100%	1.09 %	0.16 %	0.24 %	0.24 %
HLR0022AU	Experts Choice Property And Infrastructure Fund	25%	1.14 %	0.24 %	0.24 %	0.24 %
PIM7802AU	Fairlight Global Small and Mid Cap (SMID) Fund	20%	1.30 %	*	*	*
ANT0002AU	Fairview Equity Partners Emerging Companies Fund	25%	1.20 %	0.60 %	0.25 %	0.25 %
FID0010AU	Fidelity Asia Fund	100%	1.15 %	0.08 %	0.40 %	0.40 %
FID0008AU	Fidelity Australian Equities Fund	100%	0.85 %	0.04 %	0.20 %	0.20 %
FID0021AU	Fidelity Australian Opportunities Fund	50%	0.85 %	0.12 %	0.20 %	0.20 %
FID0011AU	Fidelity China Fund	100%	1.21 %	*	0.40 %	0.40 %
FID0026AU	Fidelity Future Leaders Fund	25%	1.20 %	0.30 %	0.25 %	0.25 %
FID0023AU	Fidelity Global Demographics Fund	25%	1.15 %	0.02 %	0.30 %	0.30 %
FID0031AU	Fidelity Global Emerging Markets Fund	20%	0.99 %	*	0.40 %	0.40 %
FID0007AU	Fidelity Global Equities Fund	100%	0.99 %	0.02 %	0.30 %	0.30 %
FID9876AU	Fidelity Global Low Volatility Equity Fund	50%	0.65 %	0.13 %	0.25 %	0.25 %
FID0014AU	Fidelity Hedged Global Equities Fund	100%	1.04 %	0.08 %	0.30 %	0.30 %
FID0015AU	Fidelity India Fund	100%	1.20 %	*	0.40 %	0.40 %
WHT5134AU	Firetrail Absolute Return Fund - A Class	20%	1.50 %	0.85 %	0.50 %	0.50 %
WHT3810AU	Firetrail Australian High Conviction Fund - A Class	50%	0.95 %	0.07 %	0.25 %	0.25 %
ETL0449AU	Flinders Emerging Companies Fund - Class B	15%	1.10 %	*	*	*
FHT0032AU	Forager International Shares Fund	25%	1.29 %	*	*	*
FRT6143AU	Franklin Australia Core Plus Bond Fund - W Class	20%	*	*	*	*
FRT0027AU	Franklin Australian Absolute Return Bond Fund - Class W	25%	0.65 %	0.10 %	*	1.75 %
FRT0009AU	Franklin Global Growth Fund-Class W	50%	1.13 %	0.03 %	0.20 %	0.20 %
FRT0020AU	Franklin Templeton Aust Equity Fund CL W	25%	0.39 %	0.05 %	0.15 %	0.15 %
FRT0025AU	Franklin Templeton Global Aggregate Bond Fund - W Class	100%	0.59 %	0.14 %	*	*
FRT0011AU	Franklin Templeton Multisector Bond Fund	20%	0.93 %	0.42 %	*	*

Managed Funds

APIR Code	Fund Name	Single Security Limit ^	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
ETL1823AU	Fulcrum Diversified Absolute Return Fund - Retail	20%	*	*	*	*
GMO0014AU	GMO SGM Major Markets Trust	25%	*	*	*	*
GMO0006AU	GMO Systematic Global Macro Trust - Class B	25%	*	*	*	*
ETL4207AU	GQG Partners Emerging Markets Equity Fund	25%	1.10 %	*	0.35 %	0.35 %
ETL7377AU	GQG Partners Global Equity Fund	50%	0.90 %	*	0.20 %	0.20 %
HOW0035AU	Greencape High Conviction Fund	25%	1.17 %	0.18 %	0.20 %	*
HOW0034AU	Greencape Wholesale Broadcap Fund	50%	1.14 %	0.18 %	0.20 %	*
FHT0042AU	Harvest Lane Asset Management Absolute Return Fund	25%	2.58 %	*	*	*
BNT0003AU	Hyperion Australian Growth Companies Fund	100%	0.95 %	0.09 %	0.30 %	0.30 %
WHT8435AU	Hyperion Global Growth Companies Fund - Class B	50%	0.70 %	*	*	*
BNT0101AU	Hyperion Small Growth Companies Fund	100%	1.25 %	0.10 %	0.30 %	0.30 %
MAQ0404AU	IFP Global Franchise Fund	100%	1.38 %	*	0.29 %	0.16 %
MAQ0631AU	IFP Global Franchise Fund (Hedged)	100%	1.38 %	0.11 %	0.35 %	0.22 %
ETL0482AU	India Avenue Equity Fund - Wholesale Class	10%	1.10 %	*	0.35 %	0.35 %
ETL0396AU	Insight Diversified Inflation Plus Fund	25%	1.01 %	*	*	*
ETL6826AU	Inspire Australian Equities Fund	25%	1.39 %	*	*	*
PPL0036AU	Intermede Global Equities Fund	25%	0.99 %	0.02 %	0.15 %	0.15 %
GTU5547AU	Invesco Global Real Estate Fund - A Class	10%	*	*	*	*
GTU0041AU	INVESCO W/S Global Property Securities Fund Hedged - Class A	100%	0.95 %	0.01 %	0.35 %	0.35 %
GTU0008AU	Invesco Wholesale Global Opportunities Fund - Hedged	50%	0.95 %	0.16 %	0.30 %	0.30 %
GTU0102AU	Invesco Wholesale Global Opportunities Fund - Unhedged	50%	0.95 %	*	0.30 %	0.30 %
GTU0109AU	Invesco Wholesale Global Targeted Returns Fund - Class A	25%	0.95 %	0.59 %	0.30 %	0.30 %
CNA0805AU	INVESCO Wholesale Senior Secured Income Fund	20%	0.75 %	0.02 %	0.10 %	0.10 %
IML0002AU	Investors Mutual Australian Share Fund	100%	0.99 %	*	*	*
IML0001AU	Investors Mutual Australian Smaller Companies Fund	25%	0.99 %	*	*	*
IML0010AU	Investors Mutual Concentrated Australian Share Fund	25%	0.99 %	*	*	*
IML0005AU	Investors Mutual Equity Income Fund	30%	0.99 %	*	*	*
IML0003AU	Investors Mutual Future Leaders Fund	50%	0.99 %	*	*	*
IML0004AU	Investors Mutual Industrial Share Fund	50%	0.99 %	*	*	*
IML0006AU	Investors Mutual Small Cap Fund	25%	1.15 %	*	*	*
MGL0019AU	Ironbark Copper Rock Emerging Markets Opportunities Fund	10%	1.13 %	0.43 %	0.25 %	0.25 %
DEU0109AU	Ironbark GCM Global Macro Fund	25%	2.35 %	0.12 %	*	*
MGL0010AU	Ironbark Global (Ex-Australia) Property Securities Fund	100%	1.23 %	0.50 %	0.30 %	0.30 %
MGL0011AU	Ironbark Global Property Securities Fund	100%	1.23 %	0.49 %	0.30 %	0.30 %
PAT0001AU	Ironbark Karara Australian Share Fund	100%	0.99 %	0.01 %	0.20 %	0.20 %

Managed Funds

APIR Code	Fund Name	Single Security Limit ^	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
PAT0002AU	Ironbark Karara Australian Small Companies Fund	25%	1.26 %	0.05 %	0.20 %	0.20 %
PER7802AU	Ironbark Karara Market Neutral Fund	10%	1.56 %	0.68 %	0.25 %	0.25 %
HFL0104AU	Ironbark LHP Diversified Investments Fund	20%	3.69 %	0.34 %	*	*
HFL0108AU	Ironbark LHP Global Long/Short Fund (Wholesale Class)	25%	4.43 %	0.29 %	*	*
PAL0002AU	Ironbark Paladin Property Securities Fund	25%	0.85 %	0.10 %	0.25 %	0.25 %
MGL0004AU	Ironbark Royal London Concentrated Global Share Fund	100%	1.04 %	*	0.20 %	0.20 %
BGL0105AU	iShares Australian Bond Index Fund	100%	0.22 %	*	0.10 %	0.28 %
BGL0034AU	iShares Australian Equity Index Fund	100%	0.20 %	*	*	*
BGL0108AU	iShares Australian Listed Property Index Fund	100%	0.20 %	*	*	*
BGL0008AU	iShares Global Bond Index Fund	100%	0.20 %	*	0.10 %	0.40 %
BGL0044AU	iShares Hedged International Equity Index Fund	100%	0.20 %	*	*	*
BGL0106AU	iShares International Equity Index Fund	100%	0.20 %	*	*	*
IOF0046AU	Janus Henderson Australian Fixed Interest Fund	100%	0.47 %	0.02 %	*	*
IOF0111AU	Janus Henderson Cash Enhanced Fund - Institutional	100%	0.28 %	0.01 %	*	*
IOF0127AU	Janus Henderson Diversified Credit Fund	25%	0.61 %	0.03 %	0.07 %	0.07 %
HGI0005AU	Janus Henderson Global Equity Income Fund	25%	0.96 %	0.05 %	0.12 %	0.12 %
HGI0004AU	Janus Henderson Global Fixed Interest Total Return Fund	25%	0.68 %	0.10 %	0.15 %	0.15 %
ETL0331AU	Janus Henderson Global Natural Resources Fund	20%	1.10 %	0.22 %	0.13 %	0.13 %
IOF0145AU	Janus Henderson Tactical Income Fund	100%	0.45 %	0.02 %	*	*
PER0758AU	JPMorgan Global Macro Opportunities Fund	25%	0.80 %	*	0.15 %	0.15 %
PER0719AU	JPMorgan Global Research Enhanced Index Equity Fund	50%	0.35 %	*	*	*
PER0715AU	JPMorgan Global Research Enhanced Index Equity Hedged	50%	0.35 %	*	*	*
PER0727AU	JPMorgan Global Strategic Bond Fund	25%	0.58 %	*	0.15 %	0.15 %
HOW0052AU	Kapstream Absolute Return Income Fund	50%	0.70 %	0.08 %	*	*
KTA0002AU	Katana Australian Equity Fund	25%	0.84 %	*	*	*
LCP0001AU	L1 Capital Australian Equities Fund - Daily Class	50%	1.00 %	*	*	*
ETL0490AU	L1 Capital Long Short Fund - Daily Platform Class	20%	1.54 %	*	*	*
LTC0002AU	La Trobe Aust Credit Fund - 12 Mth Term Acc - Platform Class	15%	1.47 %	*	*	*
OMF1140AU	Lakehouse Global Growth Fund	20%	4.30 %	0.02 %	0.15 %	0.15 %
LAZ0010AU	Lazard Australian Equity Fund (W class)	50%	0.90 %	0.14 %	0.20 %	0.20 %
LAZ0022AU	Lazard Defensive Australian Equity Fund	50%	0.79 %	0.14 %	0.20 %	0.20 %
LAZ0003AU	Lazard Emerging Markets Equity Fund - I class	100%	1.15 %	*	0.40 %	0.40 %
LAZ0023AU	Lazard Emerging Markets Total Return Debt Fund	20%	0.90 %	0.04 %	*	*
LAZ0025AU	Lazard Global Equity Franchise Fund	20%	1.25 %	0.02 %	0.20 %	0.20 %
LAZ0014AU	Lazard Global Listed Infrastructure Fund	100%	0.98 %	0.06 %	0.25 %	0.25 %

Managed Funds

APIR Code	Fund Name	Single Security Limit [^]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
LAZ0012AU	Lazard Global Small Caps Fund (W class)	100%	1.12 %	0.24 %	0.30 %	0.30 %
LAZ0013AU	Lazard Select Australian Equity Fund (W class)	50%	1.15 %	0.15 %	0.20 %	0.20 %
SSB0014AU	Legg Mason Brandywine Global Opp Fixed Income Trust Class A	50%	0.70 %	0.07 %	0.09 %	0.09 %
JPM0008AU	Legg Mason Martin Currie Diversified Growth Trust - Class A	100%	0.85 %	*	0.20 %	0.20 %
SSB0061AU	Legg Mason Martin Currie Diversified Income Trust - A Class	25%	0.80 %	*	0.20 %	0.20 %
SSB0043AU	Legg Mason Martin Currie Equity Income Trust - Class A	50%	0.85 %	*	0.25 %	0.25 %
SSB4946AU	Legg Mason Martin Currie Ethical Income Fund	50%	0.85 %	0.02 %	0.20 %	0.20 %
SSB0064AU	Legg Mason Martin Currie Ethical Values With Income Fund	50%	0.85 %	0.01 %	0.25 %	0.25 %
ETL0201AU	Legg Mason Martin Currie Global Emerging Markets Fund	25%	1.15 %	0.04 %	0.25 %	0.25 %
SSB0066AU	Legg Mason Martin Currie Global Long Term Unconstrained A	25%	0.95 %	0.22 %	0.25 %	0.25 %
SSB0128AU	Legg Mason Martin Currie Property Securities Trust - Class A	25%	0.74 %	*	0.25 %	0.25 %
SSB0026AU	Legg Mason Martin Currie Real Income Fund Class A Units	50%	0.85 %	*	0.25 %	0.25 %
SSB0009AU	Legg Mason Martin Currie Select Opportunities Fund (A Class)	50%	0.50 %	*	0.25 %	0.25 %
SSB0126AU	Legg Mason QS Investors Global Equity Trust - Class A	50%	0.75 %	*	0.25 %	0.25 %
SSB0122AU	Legg Mason Western Asset Australian Bond Trust - Class A	100%	0.42 %	0.01 %	0.03 %	0.03 %
SSB8320AU	Legg Mason Western Asset Global Bond Fund - Class A	50%	0.55 %	0.88 %	0.03 %	0.03 %
SSB0070AU	Legg Mason Western Asset Macro Opportunities Bond Fund	25%	1.20 %	0.19 %	0.03 %	0.03 %
HOW3590AU	Lennox Australian Small Companies Fund	25%	1.82 %	0.69 %	0.39 %	*
MMC0110AU	Loftus Peak Global Disruption Fund	10%	1.48 %	*	*	*
DAM9817AU	Longreach HQI - Global Market Neutral Fund	10%	1.50 %	*	*	*
IML0341AU	Loomis Sayles Global Equity Fund	25%	1.23 %	*	*	*
AAP0020AU	MacKay Shields Unconstrained Bond Fund	25%	0.80 %	0.18 %	0.40 %	0.40 %
MAQ0640AU	Macquarie Asia New Stars No.1 Fund	10%	1.22 %	0.35 %	0.50 %	0.50 %
MAQ0443AU	Macquarie Australian Shares Fund	50%	0.60 %	0.17 %	0.15 %	0.15 %
MAQ0274AU	Macquarie Dynamic Bond Fund	100%	0.63 %	0.26 %	0.08 %	0.08 %
MAQ0266AU	Macquarie Hedged Index Global Bond Fund	25%	*	*	0.09 %	0.12 %
MAQ0829AU	Macquarie Hedged Index Global Infrastructure Securities Fund	25%	*	0.33 %	0.25 %	0.25 %
MAQ0830AU	Macquarie Hedged Index Global Real Estate Securities Fund	25%	0.10 %	0.34 %	0.30 %	0.30 %
MAQ0277AU	Macquarie Income Opportunities Fund	100%	0.51 %	0.17 %	0.15 %	0.15 %
MAQ0825AU	Macquarie International Infrastructure Securities - Unhedged	50%	1.01 %	0.03 %	0.25 %	0.25 %
MAQ0432AU	Macquarie Int'national Infrastructure Securities Fund Hedged	100%	1.02 %	0.08 %	0.25 %	0.25 %
MAQ7578AU	Macquarie Professional Series Global Alternatives Fund	20%	2.37 %	0.39 %	0.17 %	0.03 %
MAQ0211AU	Macquarie True Index Australian Fixed Interest Fund	100%	*	*	0.04 %	0.06 %
MAQ0288AU	Macquarie True Index Australian Shares Fund	25%	*	*	0.11 %	0.11 %
MAQ0789AU	Macquarie True Index Cash Fund	30%	*	*	*	*

Managed Funds

APIR Code	Fund Name	Single Security Limit ^	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
MAQ0831AU	Macquarie True Index Global Infrastructure Securities Fund	25%	*	*	0.20 %	0.20 %
MAQ0832AU	Macquarie True Index Global Real Estate Securities Fund	25%	*	*	0.25 %	0.25 %
MAQ0633AU	Macquarie True Index International Equities Fund	50%	*	*	0.20 %	0.20 %
MAQ0219AU	Macquarie True Index Listed Property Fund	100%	*	*	0.25 %	0.25 %
MGE0001AU	Magellan Global Fund	100%	1.49 %	*	0.07 %	0.07 %
MGE0007AU	Magellan Global Fund (Hedged)	100%	1.48 %	*	0.07 %	0.07 %
MGE0005AU	Magellan High Conviction Fund	25%	2.67 %	*	0.07 %	0.07 %
MGE9885AU	Magellan High Conviction Fund - Class B Units	25%	0.81 %	*	0.10 %	0.10 %
MGE0002AU	Magellan Infrastructure Fund	50%	1.06 %	*	0.15 %	0.15 %
MGE0006AU	Magellan Infrastructure Fund (Unhedged)	50%	1.05 %	*	0.15 %	0.15 %
MAN0002AU	Man AHL Alpha (AUD) Class A	10%	1.80 %	0.37 %	*	*
ADV0046AU	Maple-Brown Abbott Australian Share Fund - Wholesale	25%	0.92 %	0.09 %	0.20 %	0.20 %
MPL0008AU	Maple-Brown Abbott Global Listed Infrastructure - Hedged	25%	1.00 %	0.09 %	0.20 %	0.20 %
MPL0006AU	Maple-Brown Abbott Global Listed Infrastructure Fund	25%	0.98 %	0.05 %	0.20 %	0.20 %
PRM0010AU	MCTDF Class A	100%	0.25 %	*	*	*
MIN0046AU	Mercer Cash Fund - Term Deposit Units	25%	0.31 %	*	*	*
MIN0009AU	Mercer Conservative Growth Fund - Wholesale	100%	0.86 %	0.15 %	0.10 %	0.10 %
MIN0013AU	Mercer Growth Fund	100%	1.03 %	0.17 %	0.16 %	0.16 %
MIN0012AU	Mercer High Growth Fund	100%	1.21 %	0.21 %	0.18 %	0.18 %
MIN0014AU	Mercer Moderate Growth Fund	100%	0.92 %	0.13 %	0.14 %	0.14 %
HBC0011AU	Merlon Wholesale Australian Share Income Fund	100%	0.95 %	0.23 %	0.20 %	*
ETL0172AU	MFS Concentrated Global Equity Trust - Wholesale	25%	0.90 %	*	0.25 %	0.25 %
ETL0041AU	MFS Fully Hedged Global Equity Trust	100%	0.80 %	*	0.25 %	0.25 %
MIA0001AU	MFS Global Equity Trust	100%	0.77 %	*	0.25 %	0.25 %
PRM0015AU	MIF Class A	100%	0.38 %	*	*	*
FSF1761AU	Milliman Managed Risk Australian Share Fund	50%	0.51 %	0.04 %	0.20 %	0.20 %
FSF1762AU	Milliman Managed Risk Global Share Fund	50%	0.62 %	0.10 %	0.20 %	0.20 %
FSF1763AU	Milliman Managed Risk Multi-Index High Growth Fund	50%	0.71 %	0.01 %	0.15 %	0.15 %
MLC7387AU	MLC Wholesale Index Plus Balanced Portfolio	100%	0.39 %	0.04 %	0.05 %	0.05 %
MLC9748AU	MLC Wholesale Index Plus Growth Portfolio	100%	0.40 %	*	0.05 %	0.05 %
MLC0261AU	MLC WS Global Share Fund	100%	0.90 %	0.05 %	0.10 %	0.05 %
MLC0670AU	MLC WS Horizon 2 Income Portfolio	100%	0.85 %	0.14 %	0.05 %	0.05 %
MLC0398AU	MLC WS Horizon 3 Conservative Growth Portfolio	100%	0.93 %	0.14 %	0.05 %	0.05 %
MLC0260AU	MLC WS Horizon 4 Balanced Portfolio	100%	0.98 %	0.12 %	0.05 %	0.05 %
MLC0265AU	MLC WS Horizon 5 Growth Portfolio	100%	1.02 %	0.09 %	0.10 %	0.05 %

Managed Funds

APIR Code	Fund Name	Single Security Limit ^	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
MLC0449AU	MLC WS Horizon 7 Accelerated Growth Portfolio	100%	1.20 %	0.08 %	0.15 %	0.10 %
MLC0264AU	MLC WS IncomeBuilder	100%	0.72 %	*	0.20 %	0.20 %
MLC0667AU	MLC WS Inflation Plus - Assertive	50%	1.26 %	0.26 %	0.10 %	0.05 %
MLC0921AU	MLC WS Inflation Plus - Conservative	100%	0.94 %	0.13 %	0.05 %	0.05 %
MLC0920AU	MLC WS Inflation Plus - Moderate	100%	1.06 %	0.19 %	0.05 %	0.05 %
MLC0263AU	MLC WS Property Securities Fund	100%	0.73 %	0.03 %	0.15 %	0.10 %
MLC7849AU	MLC Wsale Index Plus Conservative Growth Portf	100%	0.37 %	0.08 %	0.05 %	0.05 %
MON0001AU	Monash Absolute Investment Fund - Class A Units	10%	1.61 %	0.14 %	0.30 %	0.30 %
FHT0030AU	Montgomery Fund	100%	1.36 %	0.37 %	0.25 %	0.25 %
FHT0036AU	Montgomery Global Fund	25%	1.89 %	0.36 %	0.25 %	0.25 %
INT0022AU	Morningstar Australian Shares Fund	50%	0.36 %	*	0.07 %	0.07 %
INT0028AU	Morningstar Balanced Real Return Fund	100%	0.65 %	*	0.10 %	0.10 %
INT0038AU	Morningstar Growth Real Return Fund	100%	0.71 %	*	0.10 %	0.10 %
INT0042AU	Morningstar High Growth Real Return Fund	100%	0.70 %	*	0.10 %	0.10 %
INT0050AU	Morningstar International Shares (Hedged) Fund	100%	0.39 %	*	0.10 %	0.10 %
INT0052AU	Morningstar International Shares (Unhedged) Fund	100%	0.38 %	*	0.08 %	0.08 %
INT0034AU	Morningstar Moderate Real Return	100%	0.60 %	*	0.10 %	0.10 %
INT0040AU	Morningstar Multi-Asset Real Return Fund	100%	0.80 %	*	0.10 %	0.10 %
PER0673AU	Morphic Global Opportunities Fund	25%	1.75 %	0.33 %	0.30 %	0.30 %
MUA0002AU	Munro Global Growth Fund - Ordinary Class	25%	2.65 %	0.81 %	0.15 %	0.15 %
SLT2171AU	Nanuk New World Fund	25%	1.20 %	0.09 %	0.25 %	0.25 %
NCL2802AU	Newmark Hardware Trust	10%	0.80 %	0.28 %	*	*
NIK1854AU	Nikko AM ARK Global Disruptive Innovation Fund	25%	1.35 %	0.22 %	0.25 %	0.25 %
TYN0104AU	Nikko AM Australian Bond Fund	100%	0.30 %	0.03 %	0.05 %	0.35 %
TYN0038AU	Nikko AM Australian Share Income Fund	50%	0.85 %	0.27 %	0.25 %	0.25 %
TYN0028AU	Nikko AM Australian Share Wholesale Fund	100%	0.80 %	0.26 %	0.25 %	0.25 %
HOW0027AU	NovaPort Microcap Fund	25%	1.77 %	0.34 %	0.30 %	*
HOW0016AU	Novaport Smaller Companies Fund	50%	0.90 %	0.05 %	0.30 %	*
OPS0001AU	OC Dynamic Equity Fund	25%	4.26 %	0.33 %	0.30 %	0.30 %
OPS0004AU	OC Micro-Cap Fund	25%	4.81 %	0.20 %	0.30 %	0.30 %
OPS0002AU	OC Premium Small Companies Fund	25%	1.20 %	0.16 %	0.30 %	0.30 %
ANZ0212AU	OnePath Wholesale Diversified Fixed Interest Trust	50%	0.50 %	0.26 %	0.03 %	0.03 %
ETL0463AU	Orbis Global Equity Fund - Retail Class	50%	1.00 %	0.15 %	0.25 %	0.25 %
MAQ5143AU	P/E Global FX Alpha Fund	15%	4.40 %	0.18 %	0.01 %	0.01 %
ETL0419AU	Pan-Tribal Global Equity Fund	50%	1.20 %	0.11 %	0.15 %	0.15 %

Managed Funds

APIR Code	Fund Name	Single Security Limit [^]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
ETL8084AU	Paradice Australian Equities Fund	50%	0.73 %	*	0.20 %	0.20 %
ETL8772AU	Paradice Australian Mid Cap Fund - Class B	25%	0.93 %	0.35 %	0.25 %	0.25 %
ETL0365AU	Paradice Global Small Cap Fund - Class A	25%	1.40 %	*	0.30 %	0.30 %
ETL0431AU	Partners Group Global Multi Asset Fund	10%	1.50 %	*	*	*
ETL0480AU	Partners Group Global Real Estate Fund	20%	1.75 %	*	*	*
ETL0276AU	Partners Group Global Value Fund - Wholesale Class	20%	3.22 %	*	*	*
GSF0008AU	Payden Global Income Opportunities Fund	25%	0.73 %	*	0.10 %	1.95 %
RFA0815AU	Pendal Active Balanced Fund	50%	1.00 %	0.19 %	0.29 %	*
BTA0054AU	Pendal Asian Share Fund	100%	1.00 %	0.27 %	0.50 %	*
BTA0055AU	Pendal Australian Equity Fund	100%	0.79 %	0.11 %	0.50 %	*
RFA0818AU	Pendal Australian Share Fund	100%	0.79 %	0.19 %	0.50 %	*
BTA0503AU	Pendal Concentrated Global Share Fund	25%	0.90 %	0.02 %	0.25 %	0.25 %
RFA0821AU	Pendal Core Global Share Fund	100%	0.97 %	0.13 %	0.50 %	*
RFA0031AU	Pendal Core Hedged Global Share Fund	100%	0.97 %	0.13 %	0.25 %	0.25 %
WFS0377AU	Pendal Enhanced Cash Fund	100%	0.25 %	*	0.03 %	0.03 %
RFA0100AU	Pendal Enhanced Credit Fund	25%	0.45 %	*	0.07 %	0.82 %
RFA0025AU	Pendal Ethical Share Fund	100%	0.95 %	0.04 %	0.50 %	*
RFA0813AU	Pendal Fixed Interest Fund	100%	0.50 %	0.15 %	0.06 %	0.25 %
RFA0059AU	Pendal Focus Australian Share Fund	100%	1.01 %	0.14 %	0.25 %	0.25 %
RFA0130AU	Pendal Geared Imputation Fund	10%	1.45 %	*	0.80 %	*
BTA0419AU	Pendal Global Emerging Markets Opportunities Fund - WS	20%	1.18 %	0.08 %	0.30 %	0.30 %
RFA0051AU	Pendal Global Property Securities Fund	100%	0.95 %	0.10 %	0.25 %	*
RFA0103AU	Pendal Imputation Fund	100%	0.90 %	0.04 %	0.50 %	*
BTA0056AU	Pendal International Share Fund	100%	0.97 %	0.15 %	0.50 %	*
RFA0061AU	Pendal MicroCap Opportunities Fund	25%	3.45 %	0.72 %	0.35 %	0.35 %
BTA0313AU	Pendal MidCap Fund	50%	1.38 %	0.06 %	0.25 %	0.25 %
BTA0318AU	Pendal Monthly Income Plus Fund	100%	0.65 %	0.02 %	0.07 %	0.62 %
RFA0817AU	Pendal Property Investment Fund	100%	0.65 %	0.24 %	0.50 %	*
BTA0061AU	Pendal Property Securities Fund	100%	0.65 %	0.28 %	0.50 %	*
RFA0819AU	Pendal Smaller Companies Fund	100%	1.24 %	0.23 %	0.50 %	*
BTA0507AU	Pendal Sustainable Australian Fixed Interest Fund	50%	0.40 %	0.08 %	0.05 %	0.30 %
PCL0005AU	Pengana Australian Equities Fund	100%	1.50 %	*	0.20 %	0.20 %
PER0270AU	Pengana Emerging Companies Fund	100%	1.33 %	0.13 %	0.30 %	0.30 %
PCL0022AU	Pengana Global Small Companies Fund	25%	1.33 %	0.56 %	0.30 %	0.30 %
PCL0026AU	Pengana International Fund - Class B	50%	1.20 %	0.73 %	0.30 %	0.30 %

Managed Funds

APIR Code	Fund Name	Single Security Limit ^	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
HOW0002AU	Pengana International Fund - Ethical	50%	1.35 %	0.40 %	0.30 %	0.30 %
HHA0007AU	Pengana WHEB Sustainable Impact Fund	50%	1.35 %	0.13 %	0.30 %	0.30 %
IOF0200AU	Perennial Value Australian Shares Trust	100%	0.92 %	0.07 %	0.30 %	0.30 %
WPC3982AU	Perennial Value Microcap Opportunities Trust	25%	1.20 %	0.46 %	0.30 %	0.30 %
IOF0078AU	Perennial Value Shares for Income Trust	100%	0.92 %	0.03 %	0.30 %	0.30 %
IOF0206AU	Perennial Value Shares Wholesale Trust	100%	0.92 %	0.07 %	0.30 %	0.30 %
IOF0214AU	Perennial Value Smaller Companies Trust	100%	1.20 %	0.18 %	0.30 %	0.30 %
PER0556AU	Perpetual Diversified Real Return Fund	25%	0.90 %	0.17 %	0.10 %	0.23 %
PER1744AU	Perpetual Ethical SRI Credit Fund	50%	0.70 %	0.37 %	0.10 %	0.75 %
PER0258AU	Perpetual Exact Market Cash Fund	100%	*	*	*	*
PER0562AU	Perpetual High Grade Treasury - Wholesale	100%	0.30 %	0.01 %	0.05 %	0.35 %
PER0669AU	Perpetual Pure Credit Alpha Fund - Class W	25%	1.00 %	*	0.25 %	1.25 %
PER0668AU	Perpetual Pure Equity Alpha Fund	25%	1.28 %	0.24 %	0.20 %	0.20 %
PER0439AU	Perpetual Pure Value Share Fund	50%	1.50 %	0.27 %	0.15 %	0.15 %
PER8045AU	Perpetual Wholesale Active Fixed Interest Fund	50%	0.45 %	0.03 %	0.10 %	0.75 %
PER0049AU	Perpetual Wholesale Australian Share Fund	100%	0.99 %	0.17 %	0.30 %	*
PER0063AU	Perpetual Wholesale Balanced Growth Fund	100%	1.07 %	0.23 %	0.39 %	*
PER0102AU	Perpetual Wholesale Concentrated Equity Fund	100%	1.10 %	0.11 %	0.15 %	0.15 %
PER0077AU	Perpetual Wholesale Conservative Growth Fund	100%	0.93 %	0.11 %	0.52 %	*
PER0114AU	Perpetual Wholesale Diversified Growth Fund	100%	1.00 %	0.16 %	0.45 %	*
PER0260AU	Perpetual Wholesale Diversified Income Fund	100%	0.70 %	0.17 %	0.10 %	0.75 %
PER0557AU	Perpetual Wholesale Dynamic Fixed Income Fund	20%	0.55 %	0.09 %	0.10 %	0.75 %
PER0116AU	Perpetual Wholesale Ethical SRI Fund	100%	1.18 %	0.19 %	0.15 %	0.15 %
PER0071AU	Perpetual Wholesale Geared Australian Share Fund	100%	1.17 %	0.29 %	0.38 %	0.38 %
PER0046AU	Perpetual Wholesale Industrial Share Fund	100%	0.99 %	0.12 %	0.30 %	*
PER0050AU	Perpetual Wholesale International Share Fund	100%	1.26 %	0.71 %	0.54 %	*
PER0072AU	Perpetual Wholesale SHARE-PLUS Long-Short Fund	25%	0.99 %	0.35 %	0.20 %	0.20 %
PER0048AU	Perpetual Wholesale Smaller Companies Fund	100%	1.25 %	0.20 %	0.15 %	0.15 %
ETL0015AU	PIMCO Australian Bond Fund - Wholesale Class	100%	0.50 %	0.14 %	*	0.35 %
ETL0182AU	PIMCO Australian Short-Term Bond Fund - Wholesale Class	100%	0.50 %	0.48 %	*	0.35 %
ETL0445AU	PIMCO Capital Securities Fund - Wholesale	20%	0.94 %	0.29 %	*	0.10 %
ETL0016AU	PIMCO Diversified Fixed Interest Fund - Wholesale Class	100%	0.51 %	0.31 %	*	0.43 %
PIC6396AU	PIMCO ESG Global Bond Fund - Wholesale Class	20%	0.69 %	*	*	*
ETL0018AU	PIMCO Global Bond Fund - Wholesale Class	100%	0.50 %	0.47 %	*	0.50 %
ETL0019AU	PIMCO Global Credit Fund - Wholesale Class	100%	0.61 %	0.18 %	*	0.55 %

Managed Funds

APIR Code	Fund Name	Single Security Limit ^	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
ETL0458AU	PIMCO Income Fund - Wholesale	40%	0.78 %	0.35 %	*	*
PIC9659AU	PIMCO TRENDS Managed Futures Strategy Fund - Wsale Class	10%	*	*	*	*
PER0731AU	PineBridge Global Dynamic Asset Allocation Fund - I	25%	1.08 %	*	*	*
WHT6597AU	Pinnacle BNY Mellon Global Infrastructure Yield Fund	25%	1.15 %	*	*	*
PLA0004AU	Platinum Asia Fund - C Class	100%	1.35 %	0.46 %	0.20 %	0.20 %
PLA0001AU	Platinum European Fund - C Class	25%	1.35 %	0.03 %	0.25 %	0.25 %
PLA0100AU	Platinum International Brands Fund - C Class	10%	1.35 %	0.14 %	0.20 %	0.20 %
PLA0002AU	Platinum International Fund - C Class	100%	1.35 %	0.07 %	0.25 %	0.25 %
PLA0005AU	Platinum International Health Care Fund - C Class	25%	1.35 %	0.05 %	0.15 %	0.15 %
PLA0101AU	Platinum International Technology Fund - C Class	25%	1.35 %	*	0.15 %	0.15 %
PLA0003AU	Platinum Japan Fund - C Class	100%	1.35 %	0.14 %	0.20 %	0.20 %
PLA0006AU	Platinum Unhedged Fund - C Class	50%	1.35 %	0.01 %	0.15 %	0.15 %
WHT0039AU	Plato Australian Shares Income Fund	100%	0.90 %	0.06 %	0.20 %	0.20 %
WHT0061AU	Plato Global Shares Income Fund - Class A	50%	0.99 %	0.41 %	0.30 %	0.30 %
AUS0036AU	Platypus Systematic Growth Fund	25%	0.53 %	0.07 %	0.20 %	0.20 %
PMC0002AU	PM Capital Asian Companies Fund	25%	1.40 %	0.29 %	0.25 %	0.25 %
PMC0101AU	PM Capital Australian Companies Fund	20%	1.23 %	0.10 %	0.25 %	0.25 %
PMC0103AU	PM Capital Enhanced Yield Fund	100%	0.79 %	*	0.10 %	0.10 %
PMC4700AU	PM Capital Enhanced Yield Fund - Class B	100%	0.79 %	*	0.10 %	0.10 %
PMC0100AU	PM CAPITAL Global Companies Fund	25%	1.87 %	0.06 %	0.25 %	0.25 %
MAQ0838AU	Polaris Global Equity Fund	100%	1.28 %	*	0.22 %	0.19 %
MAQ0635AU	Premium Asia Fund	50%	1.78 %	1.47 %	0.25 %	0.25 %
MAQ0574AU	Premium Asia Property Fund	50%	3.20 %	0.31 %	0.25 %	0.25 %
MAQ0441AU	Premium China Fund	50%	2.30 %	1.44 %	0.25 %	0.25 %
PGI0001AU	Principal Global Credit Opportunities Fund	20%	0.80 %	0.28 %	0.10 %	0.10 %
PGI0002AU	Principal Global Property Securities Fund	25%	1.00 %	0.11 %	0.20 %	0.15 %
BFL0020AU	Quay Global Real Estate Fund - Daily Series	10%	1.35 %	0.23 %	0.30 %	0.25 %
TGP0015AU	RARE Emerging Markets Fund	25%	1.23 %	0.27 %	0.25 %	0.25 %
TGP0016AU	RARE Infrastructure Income Fund	25%	1.03 %	0.15 %	0.20 %	0.15 %
TGP0008AU	RARE Infrastructure Value Fund - Hedged	100%	1.03 %	0.27 %	0.20 %	0.15 %
TGP0034AU	RARE Infrastructure Value Fund - Unhedged	50%	1.27 %	0.22 %	0.20 %	0.15 %
FSF1101AU	Realindex Emerging Markets Fund - Class A	20%	0.77 %	0.07 %	0.20 %	0.20 %
FSF0976AU	Realindex RAFI Australian Share - Class A - W/S	100%	0.46 %	0.02 %	0.10 %	0.10 %
FSF0978AU	Realindex RAFI Australian Small Companies - Class A - W/S	25%	0.66 %	0.15 %	0.10 %	0.10 %
FSF0974AU	Realindex RAFI Global Share - Class A - W/S	50%	0.58 %	0.07 %	0.15 %	0.15 %

Managed Funds

APIR Code	Fund Name	Single Security Limit [^]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
FSF0975AU	Realindex RAFI Global Share Hedged - Class A - W/S	50%	0.59 %	0.10 %	0.15 %	0.15 %
OMF0009AU	Realm High Income Fund - Wholesale Class	25%	0.76 %	*	0.20 %	0.20 %
OMF3725AU	Realm Short Term Income Fund - Ordinary Units	50%	0.35 %	*	*	*
AMR0006AU	Regal Long Short Australian Equity Fund - Retail	25%	1.00 %	*	*	*
IOF0184AU	Resolution Capital Global Property Sec Fund Unhedged S2	50%	1.05 %	0.06 %	0.20 %	0.20 %
IOF0081AU	Resolution Capital Global Property Securities Fund - S2	50%	1.05 %	*	0.20 %	0.20 %
WHT0015AU	Resolution Capital Global Property Securities Fund -Class A	100%	0.95 %	0.09 %	0.20 %	0.20 %
ETL1206AU	Robeco DM Conservative Equities Fund - Hedged	50%	0.65 %	*	0.13 %	0.09 %
ETL0381AU	Robeco Emerging Conservative Equity Fund (AUD)	50%	0.96 %	*	0.30 %	0.40 %
ETL3856AU	Robeco Global DM Conservative Equities Fund (AUD)	50%	0.65 %	*	0.13 %	0.09 %
RIM0005AU	Russell Australian Bond - A Class FR001	100%	0.59 %	*	*	*
RIM0037AU	Russell Australian Cash Enhanced - Class A FR093	100%	0.40 %	*	*	*
RIM0029AU	Russell Australian Opportunities Fund - A ClassFR087	100%	1.16 %	0.44 %	0.30 %	0.30 %
RIM0006AU	Russell Australian Shares Fund - A ClassFR008	100%	0.84 %	0.26 %	0.20 %	0.20 %
RIM0015AU	Russell Australian Shares Fund Class C FR054	100%	1.05 %	0.26 %	0.20 %	0.20 %
RIM0001AU	Russell Balanced Fund - A ClassFR031	100%	0.87 %	0.12 %	0.17 %	0.15 %
RIM0011AU	Russell Balanced Fund Class C Units FR052	100%	1.07 %	0.12 %	0.17 %	0.15 %
RIM0002AU	Russell Conservative Fund - A ClassFR029	100%	0.70 %	0.12 %	0.13 %	0.12 %
RIM0012AU	Russell Conservative Fund Class C FR050	100%	0.90 %	0.12 %	0.13 %	0.12 %
RIM0003AU	Russell Diversified 50 Fund - A ClassFR028	100%	0.80 %	0.11 %	0.15 %	0.14 %
RIM0013AU	Russell Diversified 50 Fund Class C FR049	100%	1.00 %	0.11 %	0.15 %	0.14 %
RIM0038AU	Russell Emerging Markets Fund Class A - FR148	100%	1.44 %	*	0.35 %	0.40 %
RIM0042AU	Russell Global Listed Infrastructure - \$A Hedged - A FR254	50%	1.18 %	0.37 %	0.20 %	0.15 %
RIM0032AU	Russell Global Opportunities Fund - A ClassFR092	100%	1.11 %	0.17 %	0.18 %	0.13 %
RIM0004AU	Russell Growth Fund - A Class FR030	100%	0.94 %	0.10 %	0.18 %	0.16 %
RIM0014AU	Russell Growth Fund Class C FR051	100%	1.15 %	0.10 %	0.18 %	0.16 %
RIM0034AU	Russell High Growth Fund - A ClassFR117	100%	1.05 %	0.12 %	0.21 %	0.19 %
RIM0030AU	Russell High Growth Fund - C ClassFR090	100%	1.25 %	0.12 %	0.21 %	0.19 %
RIM0031AU	Russell Intl Property Sec Fund \$A Hedged - A ClassFR091	100%	0.92 %	0.43 %	0.20 %	0.15 %
RIM0007AU	Russell International Bond \$A Hedged - Class A FR010	20%	0.73 %	0.23 %	0.10 %	0.10 %
RIM0009AU	Russell International Shares \$A Hedged - A ClassFR016	100%	1.08 %	0.25 %	0.17 %	0.12 %
RIM0008AU	Russell International Shares Fund - A ClassFR013	100%	1.04 %	0.15 %	0.15 %	0.10 %
RIM0016AU	Russell International Shares Fund - Class C FR053	100%	1.25 %	*	*	*
RIM0017AU	Russell International Shares Fund \$A Hedged C ClassFR060	100%	1.27 %	*	*	*
RIM0086AU	Russell Inv Multi-Asset Growth Strategy - Retail	100%	0.97 %	0.35 %	0.19 %	0.17 %

Managed Funds

APIR Code	Fund Name	Single Security Limit [^]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
RIM0098AU	Russell Inv Multi-Asset Growth Strategy Fund FR295	100%	0.90 %	0.34 %	0.19 %	0.17 %
RIM0087AU	Russell Inv Multi-Asset Growth Strategy Plus A FR292	100%	1.04 %	0.44 %	0.23 %	0.19 %
RIM0089AU	Russell Inv Multi-Asset Income Strategy A FR294	50%	0.83 %	0.30 %	0.16 %	0.13 %
SCH0103AU	Schroder Absolute Return Income - W/sale	100%	0.54 %	0.12 %	0.20 %	0.20 %
SCH0006AU	Schroder Asia Pacific Fund - Wholesale Class	100%	1.37 %	0.06 %	0.30 %	0.30 %
SCH0002AU	Schroder Australian Equity Fund - Professional Class	100%	0.62 %	0.07 %	0.25 %	0.25 %
SCH0035AU	Schroder Equity Opportunities Fund - Wholesale Class	50%	0.92 %	0.09 %	0.30 %	0.30 %
SCH0028AU	Schroder Fixed Income Fund - Wholesale Class	100%	0.50 %	0.11 %	0.12 %	0.12 %
SCH0003AU	Schroder Global Core Fund - Wholesale Class	50%	0.40 %	0.16 %	0.15 %	0.10 %
SCH0034AU	Schroder Global Emerging Markets Fund - Wholesale Class	20%	1.40 %	0.10 %	0.30 %	0.30 %
SCH0095AU	Schroder Global Recovery Fund	25%	0.98 %	0.15 %	0.30 %	0.15 %
SCH0030AU	Schroder Global Value Fund - Wholesale Class	100%	0.98 %	0.18 %	0.20 %	0.15 %
SCH0032AU	Schroder Global Value Fund (Hedged) - Wholesale Class	100%	0.98 %	0.38 %	0.23 %	0.18 %
SCH0096AU	Schroder Real Return CPI Plus 3.5% Fund - Wholesale Class	100%	0.60 %	0.08 %	0.18 %	0.18 %
SCH0047AU	Schroder Real Return CPI Plus 5% Fund - Wholesale Class	100%	0.90 %	0.10 %	0.20 %	0.20 %
SCH0102AU	Schroder Strategic Growth Fund - Wholesale Class	100%	0.90 %	0.14 %	0.22 %	0.22 %
SCH0101AU	Schroder Wholesale Australian Equity Fund	100%	0.92 %	0.07 %	0.25 %	0.25 %
ETL0118AU	SGH Emerging Companies Fund	25%	6.71 %	1.14 %	0.35 %	0.35 %
ETL0062AU	SGH ICE Fund	25%	1.18 %	0.21 %	0.35 %	0.35 %
ETL0005AU	SGH LaSalle Global Listed Property Securities Fund	50%	1.02 %	0.11 %	0.25 %	0.25 %
ETL0119AU	SGH Property Income Fund	30%	0.95 %	*	0.25 %	0.25 %
ETL0042AU	SGH20	25%	1.06 %	0.06 %	0.25 %	0.25 %
ASC0001AU	Smallco Investment Fund	20%	7.14 %	0.24 %	0.45 %	0.45 %
CRE0014AU	Smarter Money Active Cash Fund - Assisted Investor	100%	0.90 %	*	*	0.05 %
SLT0052AU	Smarter Money Higher Income Fund - Assisted Investor	25%	0.99 %	*	*	0.10 %
SLT2562AU	Smarter Money Long Short Credit Fund	20%	2.38 %	*	*	0.10 %
WHT3859AU	Solaris Australian Equity Long Short Fund	25%	0.99 %	*	0.30 %	0.30 %
WHT0012AU	Solaris Core Australian Equity Fund	100%	0.90 %	0.07 %	0.30 %	0.30 %
SOL0001AU	Solaris Core Australian Equity Fund (Performance Alignment)	50%	*	0.07 %	0.30 %	0.30 %
WHT0066AU	Spheria Australian Microcap Fund	25%	2.37 %	0.20 %	0.50 %	0.50 %
WHT0008AU	Spheria Australian Smaller Companies Fund	25%	1.10 %	0.08 %	0.30 %	0.30 %
ETL0410AU	Spire Copper Rock Capital Global Smaller Companies Fund	20%	1.38 %	*	*	*
ETL7705AU	SPW Global High Quality Fund - Institutional	25%	0.80 %	*	*	*
SST0004AU	State Street Australian Equities Index Trust	50%	0.16 %	*	0.10 %	0.10 %
SST0048AU	State Street Australian Equity Fund	100%	0.79 %	*	0.25 %	0.25 %

Managed Funds

APIR Code	Fund Name	Single Security Limit ^	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
SST0007AU	State Street Australian Listed Property Index Trust	25%	0.16 %	*	0.10 %	0.10 %
SST4725AU	State Street Floating Rate Fund	50%	0.38 %	*	*	0.03 %
SST0050AU	State Street Global Equity Fund	100%	0.98 %	*	0.10 %	0.10 %
SST0052AU	State Street Multi Asset Builder Fund	100%	0.75 %	0.21 %	0.10 %	0.10 %
FSF0047AU	Stewart Investors Worldwide Leaders	20%	1.16 %	0.05 %	0.15 %	0.15 %
FSF1675AU	Stewart Investors Worldwide Sustainability - Class A	40%	1.01 %	0.06 %	0.15 %	0.15 %
SLT0037AU	Stonehouse Core Value Portfolio	100%	0.77 %	*	*	*
SIA0001AU	Supervised Global Income Fund	15%	1.00 %	*	*	*
ETL0160AU	T.Rowe Price Asia Ex Japan Fund	20%	1.18 %	0.17 %	0.40 %	0.30 %
ETL0328AU	T.Rowe Price Australian Equity Fund	50%	0.60 %	0.08 %	0.10 %	0.05 %
ETL0398AU	T.Rowe Price Dynamic Global Bond Fund	25%	0.40 %	0.73 %	0.25 %	0.25 %
ETL0312AU	T.Rowe Price Global Equity (Hedged) Fund	50%	1.20 %	0.16 %	0.25 %	0.20 %
ETL0071AU	T.Rowe Price Global Equity Fund	100%	1.18 %	0.02 %	0.25 %	0.20 %
AUS0035AU	Talaria Global Equity Fund - Wholesale Units	25%	1.29 %	0.03 %	0.25 %	0.25 %
FRT0004AU	Templeton Global Equity Fund	45%	1.13 %	0.03 %	0.20 %	0.20 %
ETL0069AU	Tribeca Alpha Plus Fund	25%	0.97 %	2.14 %	0.30 %	0.30 %
TTY9789AU	Trilogy Enhanced Cash	20%	0.81 %	*	*	*
TTY0003AU	Trilogy Monthly Income Trust - Platform Class	15%	*	*	*	*
PIM4232AU	Trinetra Emerging Markets Growth Trust	10%	1.25 %	*	*	*
GSF0009AU	Triple3 Volatility Advantage Fund - Class A	10%	1.45 %	*	0.20 %	0.20 %
WHT1468AU	Two Trees Global Macro Fund - Class A	10%	1.02 %	*	*	*
SBC0813AU	UBS Australian Bond Fund	100%	0.45 %	0.06 %	*	*
SBC0817AU	UBS Australian Share Fund	100%	0.90 %	0.20 %	0.25 %	*
UBS0004AU	UBS Australian Small Companies Fund	100%	0.85 %	0.30 %	0.45 %	*
SBC0815AU	UBS Balanced Investment Fund	100%	1.00 %	0.21 %	0.20 %	*
SBC0811AU	UBS Cash Fund	100%	0.25 %	0.01 %	*	*
SBC0812AU	UBS Cash Plus Fund	50%	0.30 %	0.02 %	*	*
UBS0064AU	UBS Clarion Global Infrastructure Securities Fund	20%	1.00 %	0.11 %	0.20 %	*
HML0016AU	UBS Clarion Global Property Securities Fund	50%	0.90 %	0.21 %	0.25 %	*
SBC0814AU	UBS Defensive Investment Fund	100%	0.89 %	0.12 %	0.15 %	*
SBC0007AU	UBS Diversified Fixed Income Fund	100%	0.55 %	0.13 %	0.10 %	*
UBS2393AU	UBS Global High Conviction Fund	25%	1.10 %	0.06 %	0.20 %	*
UBS0024AU	UBS HALO Australian Share Fund	100%	0.90 %	0.28 %	0.25 %	*
UBS0003AU	UBS Income Solution Fund	100%	0.60 %	0.04 %	*	*
UBS0057AU	UBS Microcap Fund	20%	1.20 %	*	0.70 %	*

Managed Funds

APIR Code	Fund Name	Single Security Limit [^]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
SBC0816AU	UBS Property Securities Fund	30%	0.85 %	0.14 %	0.25 %	*
UBS0041AU	UBS Tactical Beta Fund - Balanced	100%	0.49 %	*	0.15 %	*
UBS0036AU	UBS Tactical Beta Fund - Conservative	100%	0.50 %	*	0.15 %	*
UBS0037AU	UBS Tactical Beta Fund - Growth	100%	0.47 %	*	0.15 %	*
VAN0221AU	Vanguard Active Emerging Market Equity Fund	25%	0.93 %	*	*	*
VAN0722AU	Vanguard Active Global Growth Fund	50%	0.60 %	*	*	*
VAN0065AU	Vanguard Australian Corporate Fixed Interest Index Fund	50%	0.29 %	0.02 %	0.15 %	1.72 %
VAN0001AU	Vanguard Australian Fixed Interest Index Fund	100%	0.24 %	0.02 %	0.08 %	0.50 %
VAN0025AU	Vanguard Australian Government Bond Index Fund - W/S	100%	0.29 %	0.01 %	0.04 %	0.35 %
VAN0064AU	Vanguard Australian Inflation Linked Bond Fund	25%	0.29 %	0.03 %	0.10 %	0.90 %
VAN0004AU	Vanguard Australian Property Securities Index Fund	100%	0.23 %	0.01 %	0.06 %	0.06 %
VAN0104AU	Vanguard Australian Shares High Yield Fund	100%	0.35 %	0.02 %	0.05 %	0.05 %
VAN0002AU	Vanguard Australian Shares Index Fund	100%	0.16 %	*	0.05 %	0.05 %
VAN0108AU	Vanguard Balanced Index fund w/s	100%	0.29 %	0.06 %	0.10 %	0.39 %
VAN0102AU	Vanguard Cash Plus Fund - Wholesale	100%	0.29 %	0.01 %	0.02 %	0.19 %
VAN0020AU	Vanguard Cash Reserve Fund	100%	0.15 %	*	*	*
VAN0109AU	Vanguard Conservative Index Fund w/s	100%	0.29 %	0.06 %	0.10 %	0.46 %
VAN0042AU	Vanguard Diversified Bond Index Fund	100%	0.29 %	*	0.12 %	0.69 %
VAN0005AU	Vanguard Emerging Markets Share Index Fund	100%	0.57 %	*	0.22 %	0.22 %
VAN2989AU	Vanguard Ethic Conscious Global Aggregate Bond Index Hedged	50%	0.28 %	*	0.13 %	0.76 %
VAN8175AU	Vanguard Ethically Conscious International Shares Index Fund	25%	0.20 %	*	0.07 %	0.07 %
VAN9309AU	Vanguard Global Aggregate Bond Index Fund (Hedged)	50%	0.24 %	*	0.13 %	0.76 %
VAN0023AU	Vanguard Global Infrastructure Index Fund	50%	0.49 %	*	0.07 %	0.07 %
VAN0024AU	Vanguard Global Infrastructure Index Fund (Hedged)	50%	0.52 %	*	0.12 %	0.12 %
VAN0068AU	Vanguard Global Minimum Volatility Fund	50%	0.36 %	0.12 %	0.12 %	0.12 %
VAN3759AU	Vanguard Global Multi-Factor Fund	20%	0.01 %	*	*	*
VAN0074AU	Vanguard Global Value Equity Fund	50%	0.36 %	0.06 %	0.08 %	0.08 %
VAN0110AU	Vanguard Growth Index Fund w/s	100%	0.29 %	0.05 %	0.09 %	0.26 %
VAN0111AU	Vanguard High Growth Index Fund w/s	100%	0.29 %	0.04 %	0.08 %	0.14 %
VAN0010AU	Vanguard Index Australian Shares Fund - Retail	100%	0.75 %	*	0.05 %	0.05 %
VAN0106AU	Vanguard Int Credit Sec Index Fund (hedged)	100%	0.32 %	0.18 %	0.15 %	0.15 %
VAN0103AU	Vanguard Int Fixed Interest Index (Hedged)	100%	0.26 %	0.08 %	0.08 %	0.48 %
VAN0105AU	Vanguard Int Shares Index (Hedged) w/s	100%	0.21 %	0.05 %	0.08 %	0.08 %
VAN0018AU	Vanguard International Property Securities Index Fund	100%	0.40 %	*	0.07 %	0.07 %
VAN0019AU	Vanguard International Property Securities Index Fund Hedged	100%	0.43 %	0.04 %	0.09 %	0.09 %

Managed Funds

APIR Code	Fund Name	Single Security Limit [^]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
VAN0003AU	Vanguard International Shares Index Fund	100%	0.18 %	*	0.06 %	0.06 %
VAN0021AU	Vanguard International Small Companies Index Fund	25%	0.40 %	0.01 %	0.12 %	0.12 %
VAN0022AU	Vanguard International Small Companies Index Fund (Hedged)	25%	0.43 %	0.02 %	0.17 %	0.17 %
VAN0070AU	Vanguard Managed Payout Fund	50%	0.56 %	0.01 %	0.09 %	0.24 %
OPS1827AU	Vertium Equity Income Fund	50%	0.97 %	0.69 %	0.25 %	0.25 %
MAQ0651AU	Walter Scott Emerging Markets Fund	20%	1.38 %	*	0.24 %	0.24 %
MAQ0410AU	Walter Scott Global Equity Fund	100%	1.28 %	*	0.14 %	0.09 %
MAQ0557AU	Walter Scott Global Equity Fund (Hedged)	100%	1.28 %	0.11 %	0.19 %	0.14 %
WMF0001AU	Watermark Market Neutral Trust - Class A	10%	1.53 %	2.42 %	0.30 %	0.30 %
HOW0020AU	Wavestone Australian Share Fund	100%	0.97 %	0.15 %	0.20 %	*
SWI1413AU	WCM Quality Global Growth Fund	25%	1.35 %	*	*	*
BFL3446AU	Wheelhouse Global Equity Income Fund	25%	0.89 %	0.77 %	0.30 %	0.30 %
MAQ0482AU	Winton Global Alpha Fund	25%	1.99 %	0.36 %	0.39 %	0.05 %
JBW0009AU	Yarra Australian Equities Fund	100%	0.95 %	0.05 %	0.15 %	0.15 %
JBW0018AU	Yarra Enhanced Income Fund	100%	0.78 %	*	0.10 %	0.10 %
JBW0016AU	Yarra Income Plus Fund	25%	0.68 %	0.01 %	0.10 %	0.10 %
ZUR0581AU	Zurich Investments Unhedged Global Growth Share Fund	50%	0.98 %	0.01 %	0.06 %	0.06 %
ZUR0517AU	Zurich Hedged Global Thematic Share Fund -W/Sale	25%	0.99 %	0.09 %	0.06 %	0.06 %
ZUR0064AU	Zurich Investments Australian Property Securities Fund	30%	0.81 %	*	0.30 %	0.30 %
ZUR0617AU	Zurich Investments Concentrated Global Growth Fund	25%	1.10 %	*	0.06 %	0.06 %
ZUR0061AU	Zurich Investments Global Thematic Share Fund	25%	1.00 %	*	0.06 %	0.06 %
ZUR7150AU	Zurich Investments Small Companies Fund - D Class	15%	1.50 %	*	0.25 %	0.25 %
ZUR0518AU	Zurich Unhedged Global Thematic Share Fund - W/Sale	25%	0.99 %	0.01 %	0.06 %	0.06 %

* this means that the fee or cost was not available as at the date of producing the Investment Booklet. You should refer to underlying product disclosure statement for the investment option for full details about fees and costs.

- this means that the fee or cost is not applicable for this investment.

** Aggregated holding limits apply. Please refer to 'Investment Holding Limits' in this Booklet for the aggregated holding limits that apply to Managed Funds.

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
ONT	1300 SMILES LIMITED ORDINARY	Share	20%	-	-	-	-
14D	1414 DEGREES LIMITED ORDINARY	Share	10%	-	-	-	-
1PG	1-PAGE LTD ORDINARY	Share	10%	-	-	-	-
1ST	1ST GROUP LTD ORDINARY	Share	10%	-	-	-	-
T3D	333D LIMITED ORDINARY	Share	10%	-	-	-	-
TGP	360 CAPITAL GRP STAPLED SECURITIES	Stapled Security	20%	-	-	-	-
TOT	360 CAPITAL REIT UNITS STAPLED	Stapled Security	10%	-	-	-	-
3PL	3P LEARNING LTD ORDINARY	Share	20%	-	-	-	-
4DS	4DS MEMORY LIMITED ORDINARY	Share	10%	-	-	-	-
5GN	5G NETWORKS LIMITED ORDINARY	Share	10%	-	-	-	-
88E	88 ENERGY LTD ORDINARY	Share	10%	-	-	-	-
8EC	8IP EMERG CO LTD ORDINARY	LIC - Australian	20%	*	*	*	*
NNW	99 WUXIAN LTD CDI 1:1	Share	10%	-	-	-	-
APE	A.P. EAGERS LIMITED ORDINARY	Share	20%	-	-	-	-
A2B	A2B AUSTRALIA LTD ORDINARY	Share	20%	-	-	-	-
ABP	ABACUS PROPERTY GRP. UNITS/ORD STAPLED	Stapled Security	20%	-	-	-	-
ABL	ABILENE OIL & GAS ORDINARY	Share	10%	-	-	-	-
AEG	ABSOLUTE EQUITY PERF ORDINARY	LIC - Australian	20%	*	*	*	*
AJC	ACACIA COAL LTD ORDINARY	Share	10%	-	-	-	-
AX8	ACCELERATE RESOURCES ORDINARY	Share	10%	-	-	-	-
AX1	ACCENT GROUP LTD ORDINARY	Share	20%	-	-	-	-
ACQ	ACORN CAP INV FUND ORDINARY	LIC - Australian	20%	*	*	*	*
ACF	ACROW FORMWORK ORDINARY	Share	10%	-	-	-	-
ACR	ACRUX LIMITED ORDINARY	PDF	10%	-	-	-	-
ACW	ACTINOGEN MEDICAL ORDINARY	Share	10%	-	-	-	-
XARO	ACTIVEXARDEAREALBND TMF UNITS	ETF - Domestic	50%	*	*	*	*
AD1	AD1 HOLDINGS LIMITED ORDINARY	Share	10%	-	-	-	-
ADA	ADACEL TECHNOLOGIES ORDINARY	Share	10%	-	-	-	-
ADH	ADAIRS LIMITED ORDINARY	Share	20%	-	-	-	-
1AD	ADALTA LIMITED ORDINARY	Share	10%	-	-	-	-
ABC	ADELAIDE BRIGHTON ORDINARY	Share	20%	-	-	-	-
ADR	ADHERIUM LTD ORDINARY	Share	10%	-	-	-	-
AHZ	ADMEDUS LTD ORDINARY	Share	10%	-	-	-	-
ADY	ADMIRALTY RESOURCES. ORDINARY	Share	10%	-	-	-	-
ADT	ADRIATIC METALS CDI 1:1	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
ADJ	ADSLTD LTD ORDINARY	Share	10%	-	-	-	-
ANO	ADVANCE NANOTEK LTD ORDINARY	Share	10%	-	-	-	-
AV1	ADVERTITAS LTD ORDINARY	Share	10%	-	-	-	-
AER	AEERIS LTD ORDINARY	Share	10%	-	-	-	-
AML	AEON METALS LTD. ORDINARY	Share	20%	-	-	-	-
AEI	AERIS ENVIRONMENTAL ORDINARY	Share	10%	-	-	-	-
AIS	AERIS RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
AIS-H	AERIS RESOURCES LTD RET ENT OFFER	Other	10%	-	-	-	-
AMX	AEROMETREX LIMITED ORDINARY	Share	10%	-	-	-	-
AFR	AFRICAN ENERGY RES CHESS DEPOSITARY INT	Share	10%	-	-	-	-
A1G	AFRICAN GOLD LTD. ORDINARY	Share	20%	-	-	-	-
APT	AFTERPAY LIMITED ORDINARY	Share	20%	-	-	-	-
AGL	AGL ENERGY LIMITED. ORDINARY	Share	20%	-	-	-	-
AGJ	AGRICULTURAL LAND ORDINARY UNITS	Listed Property Trust	10%	-	-	-	-
AMN	AGRIMIN LTD ORDINARY	Share	10%	-	-	-	-
AHL	AHALIFE HOLDINGS LTD ORDINARY	Share	10%	-	-	-	-
A1M	AIC MINES LTD ORDINARY	Share	10%	-	-	-	-
APW	AIMS PROR SEC FUND UNITS	Listed Property Trust	10%	-	-	-	-
AGI	AINSWORTH GAME TECH. ORDINARY	Share	20%	-	-	-	-
AIZ	AIR NEW ZEALAND ORDINARY	Share	10%	-	-	-	-
AXP	AIRXPANDERS, INC. CDI 1:3	Share	10%	-	-	-	-
AJL	AJ LUCAS GROUP ORDINARY	Share	20%	-	-	-	-
AQG	ALACER GOLD CORP. CDI 1:1	Share	20%	-	-	-	-
AUQ	ALARA RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
ALY	ALCHEMY RESOURCE LTD ORDINARY	Share	10%	-	-	-	-
ALC	ALCIDION GROUP LTD ORDINARY	Share	10%	-	-	-	-
ARN	ALDORO RESOURCES ORDINARY	Share	10%	-	-	-	-
LEP	ALE PROPERTY GROUP STAPLED SECURITIES	Stapled Security	20%	-	-	-	-
AJX	ALEXIUM INT GROUP ORDINARY	Share	10%	-	-	-	-
AQX	ALICE QUEEN LTD ORDINARY	Share	10%	-	-	-	-
A40	ALITARES ORDINARY	Share	20%	-	-	-	-
ALK	ALKANE RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
AQZ	ALLIANCE AVIATION ORDINARY	Share	20%	-	-	-	-
AGS	ALLIANCE RESOURCES ORDINARY	Share	10%	-	-	-	-
AGE	ALLIGATOR ENERGY ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
A4N	ALPHA HPA LTD ORDINARY	Share	10%	-	-	-	-
ALQ	ALS LTD ORDINARY	Share	20%	-	-	-	-
AGH	ALTHEA GROUP ORDINARY	Share	10%	-	-	-	-
ALU	ALTUM LIMITED ORDINARY	Share	20%	-	-	-	-
AME	ALTO METALS LIMITED ORDINARY	Share	10%	-	-	-	-
AJM	ALTURA MINING LTD ORDINARY	Share	20%	-	-	-	-
AWC	ALUMINA LIMITED ORDINARY	Share	20%	-	-	-	-
AMA	AMA GROUP LIMITED ORDINARY	Share	20%	-	-	-	-
3DA	AMAERO INTERNATIONAL ORDINARY	Share	10%	-	-	-	-
ANL	AMANI GOLD LTD ORDINARY	Share	10%	-	-	-	-
AYS	AMAYSIM AUS LTD ORDINARY	Share	20%	-	-	-	-
AMH	AMCIL LIMITED ORDINARY	LIC - Australian	10%	*	*	*	*
AMC	AMCOR PLC CDI1:1FOREXEMPT NYSE	Share	20%	-	-	-	-
ABR	AMERICAN PACIFIC ORDINARY	Share	10%	-	-	-	-
AMPPB-H	AMP CAP NOTES 2 BROKER FIRM OFFER	Hybrid Security (Ex Date)	20%	-	-	-	-
AGF	AMP CAPITAL CHINA ORDINARY UNITS	ETF - International	20%	*	*	*	*
AMPPA	AMP LIMITED CN 3M PER Q RD T-21	Hybrid Security (Payable Date)	20%	-	-	-	-
AMPPB	AMP LIMITED DEFERRED SETTLEMENT	Hybrid Security (Payable Date)	20%	-	-	-	-
AMP	AMP LIMITED ORDINARY	Share	20%	-	-	-	-
DMKT	AMPCAP DYNAMIC MKTHF TMF UNITS	ETF - Domestic	20%	0.61 %	0.50 %	*	*
GLIN	AMPCAP GLOBAL INFRA TMF UNITS	ETF - Domestic	20%	0.94 %	0.17 %	*	*
RENT	AMPCAP GLOBAL PROP TMF UNITS	ETF - Domestic	20%	0.99 %	0.06 %	*	*
ATX	AMPLIA THERAPEUTICS ORDINARY	Share	10%	-	-	-	-
ANR	ANATARA LS LTD ORDINARY	Share	10%	-	-	-	-
ADN	ANDROMEDA METALS LTD ORDINARY	Share	10%	-	-	-	-
AAR	ANGLO AUSTRALIAN ORDINARY	Share	10%	-	-	-	-
AGG	ANGLOGOLD ASHANTI CDI 5:1	Share	20%	-	-	-	-
ANN	ANSELL LIMITED ORDINARY	Share	20%	-	-	-	-
ASN	ANSON RESOURCES LIMITED	Share	10%	-	-	-	-
ADO	ANTEO DIAGNOSTICS ORDINARY	Share	10%	-	-	-	-
ADORB	ANTEOTECH LTD RENOUNCEABLE RIGHTS EXP 19MAR20	Right	10%	-	-	-	-
AZY	ANTIPA MINERALS LTD ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
APL	ANTIPODES GLOBAL INV ORDINARY	LIC - International	20%	*	*	*	*
ANP	ANTISENSE THERAPEUT. ORDINARY	Share	10%	-	-	-	-
AGX1	ANTPDS GLOBL SHRS TMF UNITS	ETF - Domestic	25%	*	*	*	*
ANZPD	ANZ BANKING GRP LTD CAP NOTE	Hybrid Security (Payable Date)	20%	-	-	-	-
ANZPE	ANZ BANKING GRP LTD CAP NOTE 2	Hybrid Security (Payable Date)	20%	-	-	-	-
ANZPF	ANZ BANKING GRP LTD CAP NOTE 3	Hybrid Security (Payable Date)	20%	-	-	-	-
ANZPG	ANZ BANKING GRP LTD CN 3M PER Q RD T-24	Hybrid Security (Payable Date)	20%	-	-	-	-
ANZPH	ANZ BANKING GRP LTD CN 3M PER Q RD T-25	Hybrid Security (Payable Date)	20%	-	-	-	-
ANZHA	ANZ BANKING GRP LTD FRN 20-JUN-22	FRN	20%	-	-	-	-
ANZ	ANZ BANKING GRP LTD ORDINARY	Share	20%	-	-	-	-
ANZPC	ANZ BANKING GRP LTD PREF SHARE CPS3	Hybrid Security (Payable Date)	20%	-	-	-	-
APH	AP HEMP LTD ORDINARY	Share	10%	-	-	-	-
APA	APA GROUP STAPLED SECURITIES	Stapled Security	20%	-	-	-	-
AHX	APIAM ANIMAL HEALTH ORDINARY	Share	10%	-	-	-	-
AQR	APN CONV RETAIL REIT UNITS STAPLED	Stapled Security	20%	-	-	-	-
ADI	APN INDUSTRIA REIT FPO/UNITS STAPLED	Stapled Security	20%	-	-	-	-
APD	APN PROPERTY GROUP STAPLED SECURITIES	Share	20%	-	-	-	-
AON	APOLLO MINERALS LTD ORDINARY	Share	10%	-	-	-	-
ATL	APOLLO TOURISM ORDINARY	Share	20%	-	-	-	-
APX	APPEN LIMITED ORDINARY	Share	20%	-	-	-	-
AQHHA	APT PIPELINES LTD UNSEC.FRN 30-SEP-72	FRN	20%	-	-	-	-
ARU	ARAFURA RESOURCE LTD ORDINARY	Share	10%	-	-	-	-
ARB	ARB CORPORATION. ORDINARY	Share	20%	-	-	-	-
AXE	ARCHER MATERIALS ORDINARY	Share	10%	-	-	-	-
AR9	ARCHTIS LIMITED ORDINARY	Share	10%	-	-	-	-
ARLXE	ARDEA RESOURCES LTD ENT GODOLPHIN RESLTD	Share	10%	-	-	-	-
ARL	ARDEA RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
ALG	ARDENT LEISURE GRP LTD DEFERRED SETTLEMENT	Share	20%	-	-	-	-
ADV	ARDIDEN LTD ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
ARF	ARENA REIT. ORDINARY UNITS	Stapled Security	20%	-	-	-	-
AXT	ARGO EXPLORATION ORDINARY	Share	10%	-	-	-	-
ALI	ARGO GLOBAL LTD ORDINARY	LIC - Specialist	20%	*	*	*	*
ARG	ARGO INVESTMENTS ORDINARY	LIC - Australian	20%	*	*	*	*
AGY	ARGOSY MINERALS LTD ORDINARY	Share	20%	-	-	-	-
ALL	ARISTOCRAT LEISURE ORDINARY	Share	20%	-	-	-	-
AJQ	ARMOUR ENERGY LTD ORDINARY	Share	10%	-	-	-	-
AWN	AROWANA INTERNAT LTD ORDINARY	Share	10%	-	-	-	-
ARQ	ARQ GROUP LIMITED ORDINARY	Share	20%	-	-	-	-
ARV	ARTEMIS RESOURCES ORDINARY	Share	10%	-	-	-	-
AHY	ASALEO CARE LIMITED ORDINARY	Share	20%	-	-	-	-
AFA	ASF GROUP LIMITED ORDINARY	Share	10%	-	-	-	-
ASH	ASHLEY SERVICES GRP ORDINARY	Share	10%	-	-	-	-
APZ	ASPEN GROUP ORD/UNITS STAPLED	Stapled Security	10%	-	-	-	-
AKM	ASPIRE MINING LTD ORDINARY	Share	10%	-	-	-	-
AJA	ASTRO JAP PROP GROUP UNIT/ORD STAPLED	Stapled Security	10%	-	-	-	-
ATR	ASTRON CORP LTD CDI 1:1	Share	10%	-	-	-	-
ASX	ASX LIMITED ORDINARY	Share	20%	-	-	-	-
ALX-H	ATLAS ARTERIA ORDINARY PLACEMENT NOV19	Share	10%	-	-	-	-
ALX	ATLAS ARTERIA ORDINARY STAPLED	Stapled Security	20%	-	-	-	-
ATP	ATLAS PEARLS LTD ORDINARY	Share	10%	-	-	-	-
AMS	ATOMOS ORDINARY	Share	10%	-	-	-	-
ATU	ATRUM COAL LTD ORDINARY	Share	10%	-	-	-	-
AUB	AUB GROUP LTD ORDINARY	Share	20%	-	-	-	-
AIA	AUCKLAND INTERNATIONAL ORDINARY	Share	20%	-	-	-	-
AVC	AUCTUS ALT INV LTD ORDINARY	Share	10%	-	-	-	-
AD8	AUDINATEGROUPLTD ORDINARY	Share	20%	-	-	-	-
AKP	AUDIO PIXELS LTD ORDINARY	Share	20%	-	-	-	-
AU8	AUMAKE INT LTD ORDINARY	Share	10%	-	-	-	-
AEE	AURA ENERGY ORDINARY	Share	10%	-	-	-	-
AMI	AURELIA METALS LTD ORDINARY	Share	20%	-	-	-	-
AUR	AURIS MINERALS LTD ORDINARY	Share	10%	-	-	-	-
AZJ	AURIZON HOLDINGS LIMITED ORDINARY	Share	20%	-	-	-	-
AOU	AUROCH MINERALS LTD ORDINARY	Share	10%	-	-	-	-
ABW	AURORA ABSOLUTE ORDINARY UNITS	Absolute Return Fund	25%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
A3D	AURORA LABS LIMITED ORDINARY	Share	10%	-	-	-	-
AOD	AURORA SANDRINGHAM ORDINARY UNITS	Exchange Traded Product	20%	*	*	*	*
ANW	AUS TIN MINING ORDINARY	Share	10%	-	-	-	-
AC8	AUSCANN GRP HLGS LTD ORDINARY	Share	20%	-	-	-	-
GSBI30	AUSGOV TREASURY BOND TB 2.50% 05-30 6M	Bond	20%	-	-	-	-
GSBS19	AUSGOV TREASURY BOND TB 2.75% 10-19 6M	Bond	20%	-	-	-	-
GSBU28	AUSGOV TREASURY BOND TB 2.75% 11-28 6M	Bond	20%	-	-	-	-
GSBG29	AUSGOV TREASURY BOND TB 3.25% 04-29 6M	Bond	20%	-	-	-	-
GSBS18	AUSGOV TREASURY BOND TB 3.25% 10-18 6M	Bond	20%	-	-	-	-
GSBG37	AUSGOV TREASURY BOND TB 3.75% 04-37 6M	Bond	20%	-	-	-	-
GSBG33	AUSGOV TREASURY BOND TB 4.50% 04-33 6M	Bond	20%	-	-	-	-
GSBG27	AUSGOV TREASURY BOND TB 4.75% 04-27 6M	Bond	20%	-	-	-	-
GSIC50	AUSGOV TRES.IND BOND TIB +1.00% 02-50 3M	Bond	20%	-	-	-	-
AMG	AUSMEX MINING GP LTD ORDINARY	Share	10%	-	-	-	-
AST	AUSNET SERVICES LTD ORDINARY	Share	20%	-	-	-	-
ABX	AUST BAUXITE LTD. ORDINARY	Share	10%	-	-	-	-
ACO	AUST CAREERS NETWORK ORDINARY	Share	10%	-	-	-	-
AHF	AUST DAIRY GROUP STAPLED	Share	10%	-	-	-	-
AFG	AUST FINANCE GRP ORDINARY	Share	20%	-	-	-	-
APC	AUST POTASH LTD ORDINARY	Share	10%	-	-	-	-
ARC	AUST RURAL CAP LTD ORDINARY	Share	10%	-	-	-	-
AVL	AUST VANADIUM LTD ORDINARY	Share	10%	-	-	-	-
AVG	AUST VINTAGE LTD ORDINARY	Share	20%	-	-	-	-
ASB	AUSTAL LIMITED ORDINARY	Share	20%	-	-	-	-
AUL	AUSTAR GOLD LTD ORDINARY	Share	10%	-	-	-	-
ANG	AUSTIN ENGINEERING ORDINARY	Share	10%	-	-	-	-
APG	AUSTPAC RESOURCES NL ORDINARY	Share	10%	-	-	-	-
AAC	AUSTRALIAN AGRICULT. ORDINARY	Share	20%	-	-	-	-
AYF	AUSTRALIAN ENHANCED UNITS	Exchange Traded Product	10%	*	*	*	*
AEF	AUSTRALIAN ETHICAL ORDINARY	Share	20%	-	-	-	-
AFIG	AUSTRALIAN FOUNDAT. 6.25% CN 28-FEB-17	Convertible Note (Payable Date)	10%	-	-	-	-
AFI	AUSTRALIAN FOUNDAT. ORDINARY	LIC - Australian	20%	*	*	*	*
ALF	AUSTRALIAN LEADERS ORDINARY	LIC - Australian	20%	*	*	*	*
AUZ	AUSTRALIAN MINES LTD ORDINARY	Share	20%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
API	AUSTRALIAN PHARM. ORDINARY	Share	20%	-	-	-	-
AUI	AUSTRALIAN UNITED IN ORDINARY	LIC - Australian	20%	*	*	*	*
AYUHD-H	AUSTRALIAN UNITY LIMITED BOND BROKER FIRM OFFER	Bond	10%	-	-	-	-
AYUHB	AUSTRALIAN UNITY LTD S BND 3M 12-20	Bond	10%	-	-	-	-
AYUHC	AUSTRALIAN UNITY LTD S BND 3M 12-24	Bond	10%	-	-	-	-
AYUHD	AUSTRALIAN UNITY LTD S BND 3M 12-26	Bond	10%	-	-	-	-
AOF	AUSTRALIAN UNITY OFF ORDINARY	Listed Property Trust	20%	-	-	-	-
ATS	AUSTRALIS OIL & GAS ORDINARY	Share	20%	-	-	-	-
ANS	AUSTSINO RES GRP LTD ORDINARY	Share	10%	-	-	-	-
ABA	AUSWIDE BANK LTD ORDINARY	Share	20%	-	-	-	-
AUT	AUTECO MINERALS ORDINARY	Share	10%	-	-	-	-
AHG	AUTOMOTIVE HOLDINGS. ORDINARY	Share	20%	-	-	-	-
ASG	AUTOSPORTS GRP LTD. ORDINARY	Share	20%	-	-	-	-
AVA	AVA RISK GROUP LTD ORDINARY	Share	10%	-	-	-	-
AVE	AVECHO BIOTECH LTD ORDINARY	Share	10%	-	-	-	-
AEV	AVENIRA LIMITED ORDINARY	Share	10%	-	-	-	-
AVN	AVENTUS GROUP STAPLED	Stapled Security	20%	-	-	-	-
AVH	AVITA MEDICAL LTD ORDINARY	Share	10%	-	-	-	-
AVJ	AVJENNINGS LIMITED ORDINARY	Share	20%	-	-	-	-
AVZ	AVZ MINERALS LTD ORDINARY	Share	20%	-	-	-	-
AVQ	AXIOM MINING LIMITED CHESS DEPOSITARY INT	Share	10%	-	-	-	-
AZT	AZURE HEALTH TECH ORDINARY	Share	10%	-	-	-	-
AZV	AZURE HEALTHCARE LTD ORDINARY	Share	10%	-	-	-	-
AZS	AZURE MINERALS ORDINARY	Share	10%	-	-	-	-
BBN	BABY BUNTING GRP LTD ORDINARY	Share	20%	-	-	-	-
BTI	BAILADOR TECH INV ORDINARY	LIC - Australian	20%	*	*	*	*
BOQPE	BANK OF QUEENSLAND. CN 3M PER Q RD T-24	Hybrid Security (Payable Date)	20%	-	-	-	-
BOQPD	BANK OF QUEENSLAND. CONV PREF SHARE	Hybrid Security (Payable Date)	20%	-	-	-	-
BOQ	BANK OF QUEENSLAND. ORDINARY	Share	20%	-	-	-	-
BMN	BANNERMAN RESOURCES ORDINARY	Share	10%	-	-	-	-
BAP	BAPCOR LIMITED ORDINARY	Share	20%	-	-	-	-
BD1	BARD1 LIFE SCIENCES ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
BDC	BARDOC GOLD LTD ORDINARY	Share	10%	-	-	-	-
BAR	BARRA RESOURCES ORDINARY	Share	10%	-	-	-	-
BSE	BASE RES LIMITED ORDINARY	Share	20%	-	-	-	-
BSM	BASS METALS LTD ORDINARY	Share	10%	-	-	-	-
BAT	BATTERY MINERALS LTD ORDINARY	Share	10%	-	-	-	-
BBX	BBX MINERALS LTD ORDINARY	Share	10%	-	-	-	-
BCI	BCI MINERALS LTD ORDINARY	Share	10%	-	-	-	-
BPT	BEACH ENERGY LIMITED ORDINARY	Share	20%	-	-	-	-
BLX	BEACON LIGHTING GRP ORDINARY	Share	20%	-	-	-	-
BDR	BEADELL RESOURCE LTD ORDINARY	Share	10%	-	-	-	-
BEC	BECTON PROPERTY GRP. STAPLED SECURITIES	Stapled Security	10%	-	-	-	-
BGA	BEGA CHEESE LTD ORDINARY	Share	20%	-	-	-	-
BFG	BELL FINANCIAL GROUP ORDINARY	Share	20%	-	-	-	-
BGL	BELLEVUE GOLD LTD ORDINARY	Share	20%	-	-	-	-
BENPD	BENDIGO AND ADELAIDE CONV PREF SHARE	Hybrid Security (Payable Date)	20%	-	-	-	-
BENPE	BENDIGO AND ADELAIDE CONV PREF SHARE 2	Hybrid Security (Payable Date)	20%	-	-	-	-
BENPG	BENDIGO AND ADELAIDE CTG PRE 3M PER RD T-	Hybrid Security (Payable Date)	20%	-	-	-	-
BENPF	BENDIGO AND ADELAIDE CTG PRE 6M PER RD T	Hybrid Security (Payable Date)	20%	-	-	-	-
BEN	BENDIGO AND ADELAIDE ORDINARY	Share	20%	-	-	-	-
BENHB	BENDIGO AND ADELAIDE PERP.FLOATING CAP.NT	FRN	20%	-	-	-	-
BLT	BENITEC BIOPHARMA ORDINARY	Share	10%	-	-	-	-
BHD	BENJAMIN HORNGLD LTD ORDINARY	LIC - Australian	20%	*	*	*	*
BKY	BERKELEY ENERGIA LTD ORDINARY	Share	10%	-	-	-	-
BFC	BESTON GLOBAL LTD ORDINARY	Share	10%	-	-	-	-
HBRD	BETA ACTIVE HYBRIDS TMF UNITS	ETF - Domestic	20%	0.55 %	*	*	*
ASIA	BETA ASIATECH TIGERS ETF UNITS	ETF - Domestic	20%	0.67 %	0.06 %	*	*
BBOZ	BETA AUST STR BEAR TMF UNITS	ETF - Domestic	10%	1.38 %	0.17 %	*	*
HVST	BETA DIVHARVESTER ETF UNITS	ETF - Domestic	25%	0.90 %	0.21 %	*	*
GGUS	BETA GEARED US EQ TMF UNITS	ETF - Domestic	10%	0.80 %	6.92 %	*	*
FOOD	BETA GLOBAL AGRI ETF UNITS	ETF - Domestic	10%	0.57 %	0.27 %	*	*
BNKS	BETA GLOBAL BANKS ETF UNITS	ETF - Domestic	20%	0.57 %	0.15 %	*	*

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
HACK	BETA GLOBAL CYBER ETF UNITS	ETF - Domestic	10%	0.67 %	0.08 %	*	*
FUEL	BETA GLOBAL ENERGY ETF UNITS	ETF - Domestic	25%	0.57 %	0.17 %	*	*
MNRS	BETA GLOBAL GOLD ETF UNITS	ETF - International	25%	0.57 %	0.41 %	*	*
DRUG	BETA GLOBAL HEALTH ETF UNITS	ETF - Domestic	10%	0.57 %	0.23 %	*	*
INCM	BETA INCOME LEADERS ETF UNITS	ETF - Domestic	25%	0.45 %	0.06 %	*	*
BNDS	BETA LM AUS BOND ETF UNITS	ETF - Domestic	100%	*	*	*	*
RINC	BETA LM REAL INCOME TMF UNITS	ETF - Domestic	50%	0.85 %	*	*	*
AUST	BETA MANAGED RISK AU TMF UNITS	ETF - Domestic	25%	0.49 %	0.05 %	*	*
WRLD	BETA MANAGED RISK GL TMF UNITS	ETF - Domestic	25%	0.54 %	0.05 %	*	*
QLTY	BETA QUALITY LEADERS ETF UNITS	ETF - Domestic	25%	0.35 %	0.05 %	*	*
RBTZ	BETA ROBOTICS & AI ETF UNITS	ETF - Domestic	20%	0.57 %	0.02 %	*	*
UMAX	BETA S&P500 YIELDMAX ETF UNITS	ETF - Domestic	25%	0.79 %	0.09 %	*	*
BBUS	BETA US STRONG BEAR TMF UNITS	ETF - International	10%	1.38 %	0.68 %	*	*
QAG	BETAAGRICULTURE ETF UNITS	ETF - Domestic	10%	1.44 %	0.02 %	*	*
QPON	BETAAUSBANKSNRFRBETF ETF UNITS	ETF - Domestic	20%	0.22 %	0.03 %	0.05 %	0.05 %
CRED	BETAAUSCORPBONDETF ETF UNITS	ETF - Domestic	30%	0.25 %	*	*	*
EX20	BETAAUSEX20 ETF UNITS	ETF - Domestic	25%	0.25 %	0.04 %	*	*
AGVT	BETAAUSGOVBONDETF ETF UNITS	ETF - Domestic	50%	0.22 %	0.02 %	*	*
A200	BETAAUSTRALIA200ETF ETF UNITS	ETF - Domestic	100%	0.07 %	*	*	*
FAIR	BETAAUSUSTAINABILITY ETF UNITS	ETF - Domestic	25%	0.49 %	0.01 %	*	*
QCB	BETACOMMODITIES ETF UNITS	ETF - Domestic	10%	1.29 %	*	*	*
EINC	BETALM EQUITY INCOME TMF UNITS	ETF - Domestic	50%	0.85 %	*	*	*
QFN	BETASHARES ASX FIN ETF UNITS	ETF - Domestic	25%	0.39 %	0.01 %	*	*
QRE	BETASHARES ASX RES ETF UNITS	ETF - Domestic	25%	0.39 %	0.02 %	0.30 %	0.30 %
BEAR	BETASHARES AUST BEAR ETF UNITS	ETF - Domestic	25%	1.48 %	0.12 %	*	*
EEU	BETASHARES EURO ETF ETF UNITS	ETF - International	10%	0.45 %	*	*	*
F100	BETASHARES FTSE 100 ETF UNITS	ETF - Domestic	10%	0.45 %	0.04 %	*	*
QAU	BETASHARES GOLD ETF ETF UNITS	ETF - Domestic	10%	0.59 %	0.36 %	*	*
IIND	BETASHARES INDIA. ETF UNITS	ETF - Domestic	10%	*	*	*	*
POU	BETASHARES POUND ETF ETF UNITS	ETF - Domestic	10%	0.45 %	*	*	*
QUS	BETASHARES US 1000 ETF UNITS	ETF - Domestic	50%	0.40 %	0.01 %	*	*
USD	BETASHARES US DOLLAR ETF UNITS	ETF - Domestic	25%	0.45 %	*	*	*
HEUR	BETASHARES WT EUROPE ETF UNITS	ETF - Domestic	20%	0.58 %	0.13 %	*	*
HJPN	BETASHARES WT JAPAN ETF UNITS	ETF - Domestic	20%	0.58 %	0.12 %	*	*
AAA	BETASHARESCASHETF ETF UNITS	ETF - Domestic	50%	0.18 %	*	*	*

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
OOO	BETASHARESCRUDEOIL ETF UNITS	ETF - Domestic	10%	1.29 %	0.01 %	*	*
NDQ	BETASHARESNASDAQ100 ETF UNITS	ETF - Domestic	40%	0.48 %	*	*	*
QOZ	BETASHARESRAFIAUS ETF UNITS	ETF - Domestic	100%	0.40 %	0.01 %	*	*
YMAX	BETASHARESYIELDMAX TMF UNITS	ETF - Domestic	25%	0.79 %	0.54 %	*	*
SMLL	BETASMALLCOMPANIES TMF UNITS	ETF - Domestic	10%	0.39 %	0.02 %	*	*
ETHI	BETASUSTAINABILITY ETF UNITS	ETF - Domestic	50%	0.59 %	0.03 %	*	*
BET	BETMAKERS TECH GROUP ORDINARY	Share	10%	-	-	-	-
GEAR	BETSHARES GEAR FUND ETF UNITS	ETF - Domestic	25%	0.80 %	3.33 %	*	*
BHP	BHP GROUP LIMITED ORDINARY	Share	20%	-	-	-	-
BID	BIDENERGY LIMITED ORDINARY	Share	10%	-	-	-	-
BRV	BIG RIVER GOLD LTD ORDINARY	Share	10%	-	-	-	-
BNL	BIG STAR ENERGY LTD ORDINARY	Share	10%	-	-	-	-
BTH	BIGTINCAN HLDGS LTD ORDINARY	Share	10%	-	-	-	-
BIN	BINGO INDUSTRIES LTD ORDINARY	Share	20%	-	-	-	-
BGT	BIO-GENE TECHNOLOGY ORDINARY	Share	10%	-	-	-	-
BNO	BIONOMICS LIMITED ORDINARY	Share	10%	-	-	-	-
BIT	BIOTRON LIMITED ORDINARY	Share	10%	-	-	-	-
BXN	BIOXYNE LTD ORDINARY	Share	10%	-	-	-	-
BIS	BISALLOY STEEL ORDINARY	Share	10%	-	-	-	-
BKI	BKI INVESTMENT LTD ORDINARY	LIC - Australian	20%	*	*	*	*
BC8	BLACK CAT SYNDICATE ORDINARY	Share	10%	-	-	-	-
BOK	BLACK OAK MIN LTD ORDINARY	Share	10%	-	-	-	-
BKT	BLACK ROCK MINING ORDINARY	Share	10%	-	-	-	-
BLK	BLACKHAM RESOURCES ORDINARY	Share	10%	-	-	-	-
BKL	BLACKMORES LIMITED ORDINARY	Share	20%	-	-	-	-
BSX	BLACKSTONE LTD ORDINARY	Share	10%	-	-	-	-
BWR	BLACKWALL PROP TRUST ORDINARY UNITS	Share	10%	-	-	-	-
BLV	BLOSSOMVALE HOLDINGS ORDINARY	Share	10%	-	-	-	-
BLU	BLUE ENERGY LIMITED. ORDINARY	Share	10%	-	-	-	-
BAF	BLUE SKY ALT ACCESS ORDINARY	LIC - Australian	20%	*	*	*	*
BLA	BLUE SKY LIMITED ORDINARY	LIC - Australian	10%	*	*	*	*
BCT	BLUECHIIP LIMITED ORDINARY	Share	10%	-	-	-	-
BEG	BLUENERGY GROUP LTD ORDINARY	Share	10%	-	-	-	-
BSL	BLUESCOPE STEEL LTD ORDINARY	Share	20%	-	-	-	-
BLG	BLUGLASS LIMITED ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
BOA	BOADICEA RESOURCES ORDINARY	Share	10%	-	-	-	-
BLY	BOART LONGYEAR ORDINARY	Share	10%	-	-	-	-
BDA	BOD AUSTRALIA ORDINARY	Share	10%	-	-	-	-
BOL	BOOM LOGISTICS ORDINARY	Share	10%	-	-	-	-
BLD	BORAL LIMITED. ORDINARY	Share	20%	-	-	-	-
BOE	BOSS RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
BOT	BOTANIX PHARMACEUTICALS LTD	Share	10%	-	-	-	-
BOC	BOUGAINVILLE COPPER ORDINARY	Share	10%	-	-	-	-
B2Y	BOUNTY MINING ORDINARY	Share	10%	-	-	-	-
BCB	BOWEN COAL LIMITED ORDINARY	Share	10%	-	-	-	-
BPH	BPH ENERGY LTD ORDINARY	Share	10%	-	-	-	-
BKN	BRADKEN LIMITED ORDINARY	Share	10%	-	-	-	-
BRN	BRAINCHIP LTD ORDINARY	Share	10%	-	-	-	-
BXB	BRAMBLES LIMITED ORDINARY	Share	20%	-	-	-	-
BVS	BRAVURA SOLUTION LTD ORDINARY	Share	20%	-	-	-	-
BRB	BREAKER RES NL ORDINARY	Share	10%	-	-	-	-
BRG	BREVILLE GROUP LTD ORDINARY	Share	20%	-	-	-	-
BKW	BRICKWORKS LIMITED ORDINARY	Share	20%	-	-	-	-
BGP	BRISCOE GROUP ORD FOR. EXEMPT NZX	Share	10%	-	-	-	-
BRS	BROADSPECTRUM LTD ORDINARY	Share	10%	-	-	-	-
BCK	BROCKMAN MINING LTD ORDINARY	Share	10%	-	-	-	-
BRK	BROOKSIDE ENERGY LTD ORDINARY	Share	10%	-	-	-	-
BSA	BSA LIMITED ORDINARY	Share	10%	-	-	-	-
BTC	BTC HEALTH LTD ORDINARY	PDF	10%	-	-	-	-
BUB	BUBS AUST LTD ORDINARY	Share	20%	-	-	-	-
BUD	BUDDY TECH ORDINARY	Share	10%	-	-	-	-
BIQ	BUILDINGIQ, INC CDI 1:1	Share	10%	-	-	-	-
BPF	BULLETPROOF GROUP ORDINARY	Share	10%	-	-	-	-
BCL	BUNJI CORP LIMITED ORDINARY	Share	10%	-	-	-	-
BRU	BURU ENERGY ORDINARY	Share	10%	-	-	-	-
BUX	BUXTON RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
BWP	BWP TRUST ORDINARY UNITS	Listed Property Trust	20%	-	-	-	-
BWX	BWX LIMITED ORDINARY	Share	20%	-	-	-	-
BYE	BYRON ENERGY LTD ORDINARY	Share	10%	-	-	-	-
CDM	CADENCE CAPITAL ORDINARY	LIC - Australian	20%	*	*	*	*

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
CAD	CAENEUS MINERALS ORDINARY	Share	10%	-	-	-	-
CAI	CALIDUS RESOURCES ORDINARY	Share	10%	-	-	-	-
CE1	CALIMA ENERGY ORDINARY	Share	10%	-	-	-	-
CXL	CALIX LIMITED ORDINARY	Share	10%	-	-	-	-
CTX	CALTEX AUSTRALIA ORDINARY	Share	20%	-	-	-	-
CTXHA	CALTEX AUSTRALIA UNSEC.FRN 15-SEP-37	FRN	20%	-	-	-	-
CGB	CANN GLOBAL LIMITED ORDINARY	Share	10%	-	-	-	-
CAN	CANN GROUP LTD ORDINARY	Share	20%	-	-	-	-
CAE	CANNINDAH RESOURCES ORDINARY	Share	10%	-	-	-	-
CP1	CANNPAL ANIMAL ORDINARY	Share	10%	-	-	-	-
CBY	CANTERBURY RESOURCES ORDINARY	Share	10%	-	-	-	-
CAY	CANYON RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
CFE	CAPE LAMBERT RES LTD ORDINARY	Share	10%	-	-	-	-
CAJ	CAPITOL HEALTH ORDINARY	Share	20%	-	-	-	-
CMM	CAPRICORN METALS ORDINARY	Share	10%	-	-	-	-
CWX	CARAWINE RESOURCES ORDINARY	Share	10%	-	-	-	-
CRB	CARBINE RESOURCES ORDINARY	Share	10%	-	-	-	-
CNX	CARBON ENERGY ORDINARY	Share	10%	-	-	-	-
CBR	CARBON REVOLUTION DEFERRED SETTLEMENT	Share	10%	-	-	-	-
CDV	CARDINAL RESO LTD ORDINARY	Share	20%	-	-	-	-
CDD	CARDNO LIMITED ORDINARY	Share	20%	-	-	-	-
CDP	CARINDALE PROPERTY UNIT	Listed Property Trust	20%	-	-	-	-
CIN	CARLTON INVESTMENTS ORDINARY	LIC - Australian	20%	*	*	*	*
CVN	CARNAVON PETROLEUM ORDINARY	Share	20%	-	-	-	-
CAV	CARNAVALE RESOURCES ORDINARY	Share	10%	-	-	-	-
CCE	CARNEGIE CLN ENERGY ORDINARY	Share	10%	-	-	-	-
CAP	CARPENTARIA RESOURCE ORDINARY	Share	10%	-	-	-	-
CAR	CARSALES.COM LTD. ORDINARY	Share	20%	-	-	-	-
CCV	CASH CONVERTERS ORDINARY	Share	20%	-	-	-	-
CZI	CASSINI RESOURCES ORDINARY	Share	10%	-	-	-	-
CMD	CASSIUS MINING LTD ORDINARY	Share	10%	-	-	-	-
CST	CASTILE LTD	Share	10%	-	-	-	-
CDT	CASTLE MINERALS ORDINARY	Share	10%	-	-	-	-
CYL	CATALYST METALS ORDINARY	Share	10%	-	-	-	-
CAT	CATAPULT GRP INT LTD ORDINARY	Share	20%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
CXD	CATHRX LTD ORDINARY	Share	10%	-	-	-	-
CBC	CBG CAPITAL LIMITED ORDINARY	LIC - Australian	20%	*	*	*	*
CBL	CBL CORPORATION LTD ORD FOR.EXEMPT NZX	Share	10%	-	-	-	-
CT1	CCP TECHNOLOGIES LTD ORDINARY	Share	10%	-	-	-	-
CWP	CEDAR WOODS PROP. ORDINARY	Share	20%	-	-	-	-
CDY	CELLMID LIMITED ORDINARY	Share	10%	-	-	-	-
CTM	CENTAURUS METALS LTD ORDINARY	Share	10%	-	-	-	-
CTL	CENTENNIAL MINIG LTD ORDINARY	Share	10%	-	-	-	-
CTP	CENTRAL PETROLEUM ORDINARY	Share	10%	-	-	-	-
CAF	CENTREPOINT ALLIANCE ORDINARY	Share	10%	-	-	-	-
CXM	CENTREX METALS ORDINARY	Share	10%	-	-	-	-
CNI	CENTURIA CAPITAL STAPLED	Stapled Security	20%	-	-	-	-
CIP	CENTURIA I REIT ORDINARY UNITS	Listed Property Trust	20%	-	-	-	-
COF	CENTURIA OFFICE REIT ORDINARY UNITS	Listed Property Trust	20%	-	-	-	-
CFO	CFOAM LIMITED ORDINARY	Share	10%	-	-	-	-
CHN	CHALICE GOLD MINES ORDINARY	Share	10%	-	-	-	-
CEL	CHALLENGER EXP LTD ORDINARY	Share	10%	-	-	-	-
CGFPB	CHALLENGER LIMITED CN 3M PER Q RD T-23	Hybrid Security (Payable Date)	20%	-	-	-	-
CGFPA	CHALLENGER LIMITED CN CAP NOTE	Hybrid Security (Payable Date)	20%	-	-	-	-
CGF	CHALLENGER LIMITED ORDINARY	Share	20%	-	-	-	-
CIA	CHAMPION IRON LTD ORDINARY	Share	20%	-	-	-	-
CCA	CHANGE FINANCIAL LTD ORDINARY	Share	10%	-	-	-	-
CWL	CHANT WEST HOLDINGS ORDINARY	Share	10%	-	-	-	-
CHC	CHARTER HALL GROUP STAPLED SECURITIES	Stapled Security	20%	-	-	-	-
CQR	CHARTER HALL RETAIL UNITS	Listed Property Trust	20%	-	-	-	-
CQE	CHARTER HALL SOC IN UNITS	Listed Property Trust	20%	-	-	-	-
CML	CHASE MINING LIMITED ORDINARY	Share	10%	-	-	-	-
CHZ	CHESSER RESOURCES ORDINARY	Share	10%	-	-	-	-
CNU	CHORUS LIMITED ORDINARY	Share	20%	-	-	-	-
CLW	CHTR H LWR STAPLED	Stapled Security	20%	-	-	-	-
CLWN	CHTR H LWR STAPLED NEW	Stapled Security	20%	-	-	-	-
CII	CI RESOURCES LIMITED ORDINARY	Share	20%	-	-	-	-
CIM	CIMIC GROUP LTD ORDINARY	Share	20%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
CPT	CIPHERPOINT LIMITED ORDINARY	Share	10%	-	-	-	-
CRO	CIRRALTO LTD ORDINARY	Share	10%	-	-	-	-
CNW	CIRRUS NET HOLD LTD ORDINARY	Share	10%	-	-	-	-
CCX	CITY CHIC COLLECTIVE ORDINARY	Share	20%	-	-	-	-
CL1	CLASS LIMITED ORDINARY	Share	20%	-	-	-	-
CLZ	CLASSIC MIN LTD ORDINARY	Share	10%	-	-	-	-
CSS	CLEAN SEAS LTD DEFERRED SETTLEMENT	Share	10%	-	-	-	-
CLQ	CLEAN TEQ HLDGS LTD ORDINARY	Share	20%	-	-	-	-
CWY	CLEANAWAY WASTE LTD ORDINARY	Share	20%	-	-	-	-
CVW	CLEARVIEW WEALTH LTD ORDINARY	Share	20%	-	-	-	-
CPV	CLEARVUE TECHNOLOGIE ORDINARY	Share	10%	-	-	-	-
CAMPA	CLIME CAPITAL 7.5% NON-CUM. PREF	Hybrid Security (Payable Date)	10%	-	-	-	-
CAMG	CLIME CAPITAL C BND 6.25% 11-21 3M	Convertible Note (Ex Date)	10%	-	-	-	-
CAMGA	CLIME CAPITAL C BND6.25%11-21 3MRD	Convertible Note (Ex Date)	10%	-	-	-	-
CAM	CLIME CAPITAL ORDINARY	LIC - Australian	20%	*	*	*	*
CIW	CLIME INVESTMENT ORDINARY	Share	10%	-	-	-	-
CUV	CLINUVEL PHARMACEUT. ORDINARY	Share	20%	-	-	-	-
CLV	CLOVER CORPORATION ORDINARY	Share	20%	-	-	-	-
CGR	CML GROUP LTD ORDINARY	Share	10%	-	-	-	-
CCL	COCA-COLA AMATIL ORDINARY	Share	20%	-	-	-	-
COH	COCHLEAR LIMITED ORDINARY	Share	20%	-	-	-	-
CDA	CODAN LIMITED ORDINARY	Share	20%	-	-	-	-
CGS	COGSTATE LTD ORDINARY	Share	10%	-	-	-	-
CHK	COHIBA MIN LTD ORDINARY	Share	10%	-	-	-	-
CKA	COKAL LTD ORDINARY	Share	10%	-	-	-	-
COL	COLES GROUP ORDINARY	Share	20%	-	-	-	-
CLH	COLLECTION HOUSE ORDINARY	Share	20%	-	-	-	-
CKF	COLLINS FOODS LTD ORDINARY	Share	20%	-	-	-	-
CRL	COMET RESOURCES ORDINARY	Share	10%	-	-	-	-
COI	COMET RIDGE LIMITED ORDINARY	Share	20%	-	-	-	-
CBAPE	COMMONWEALTH BANK. CN 3M PER Q RD T-21	Hybrid Security (Payable Date)	20%	-	-	-	-
CBAPF	COMMONWEALTH BANK. CN 3M PER Q RD T-22	Hybrid Security (Payable Date)	20%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
CBAPG	COMMONWEALTH BANK. CN 3M PER Q RD T-24	Hybrid Security (Payable Date)	20%	-	-	-	-
CBAPH	COMMONWEALTH BANK. CN 3M PER Q RD T-24	Hybrid Security (Payable Date)	20%	-	-	-	-
CBAPI	COMMONWEALTH BANK. CN 3M PER Q RD T-27	Hybrid Security (Payable Date)	20%	-	-	-	-
CBA	COMMONWEALTH BANK. ORDINARY	Share	20%	-	-	-	-
CBAPD	COMMONWEALTH BANK. PERP.EXC.PERLS VII	Hybrid Security (Payable Date)	20%	-	-	-	-
CCG	COMMSCHOICE ORDINARY	Share	10%	-	-	-	-
CMP	COMPUMEDICS LIMITED ORDINARY	Share	10%	-	-	-	-
CPU	COMPUTERSHARE LTD ORDINARY	Share	20%	-	-	-	-
CLF	CONCENTRATED LEADERS ORDINARY	LIC - Australian	20%	*	*	*	*
CXZ	CONNEXION TELEMATICS ORDINARY	Share	10%	-	-	-	-
CZL	CONS ZINC LTD ORDINARY	Share	10%	-	-	-	-
COG	CONSOLIDATED OPS GP ORDINARY	Share	10%	-	-	-	-
CR1	CONSTELLATION RES ORDINARY	Share	10%	-	-	-	-
CEN	CONTACT ENERGY LTD ORD FOR. EXEMPT NZX	Share	20%	-	-	-	-
CGA	CONTANGO ASSET ORDINARY	Share	10%	-	-	-	-
CIE	CONTANGO INC GEN LTD ORDINARY	LIC - Australian	20%	*	*	*	*
CCC	CONTINENTAL COAL LTD ORDINARY	Share	10%	-	-	-	-
CVF	CONTRARIAN VALFD LTD ORDINARY	LIC - Australian	20%	*	*	*	*
COE	COOPER ENERGY LTD ORDINARY	Share	20%	-	-	-	-
C6C	COPPER MOUNTAIN CDI 1:1	Share	10%	-	-	-	-
CZN	CORAZON LTD ORDINARY	Share	10%	-	-	-	-
CXO	CORE LITHIUM ORDINARY	Share	10%	-	-	-	-
CRN	CORONADO GLOBAL RES CDI10:1USPROHEXCLQIB	Share	20%	-	-	-	-
CTD	CORP TRAVEL LIMITED ORDINARY	Share	20%	-	-	-	-
COS	COSOL LIMITED ORDINARY	Share	10%	-	-	-	-
COS-H1	COSOL LIMITED T2.PLACEMENT	Other	10%	-	-	-	-
CGCR	COSTA GROUP HOLDINGS LTD RENOUNCEABLE RIGHTS EXP 13NOV19	Right	10%	-	-	-	-
CGC	COSTA GROUP HOLDINGS ORDINARY	Share	20%	-	-	-	-
CUP	COUNTPLUS LIMITED ORDINARY	Share	10%	-	-	-	-
CYG	COVENTRY GROUP ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
CXX	CRADLE RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
CCP	CREDIT CORP GROUP ORDINARY	Share	20%	-	-	-	-
CPH	CRESO PHARMA LTD ORDINARY	Share	10%	-	-	-	-
CMW	CROMWELL PROP STAPLED SECURITIES	Stapled Security	20%	-	-	-	-
CLI	CROPLOGIC ORDINARY	Share	10%	-	-	-	-
CUX	CROSSLAND METALS LTD ORDINARY	Share	10%	-	-	-	-
CM8	CROWD MEDIA LIMITED ORDINARY	Share	10%	-	-	-	-
CWNHB	CROWN RESORTS LTD HY 04-75 3M T	FRN	20%	-	-	-	-
CWN	CROWN RESORTS LTD ORDINARY	Share	20%	-	-	-	-
CWNHA	CROWN RESORTS LTD UNSEC.FRN 14-SEP-72	FRN	20%	-	-	-	-
CSL	CSL LIMITED ORDINARY	Share	20%	-	-	-	-
CSR	CSR LIMITED ORDINARY	Share	20%	-	-	-	-
CUE	CUE ENERGY RESOURCE ORDINARY	Share	10%	-	-	-	-
CV1	CV CHECK LTD ORDINARY	Share	10%	-	-	-	-
CVC	CVC LIMITED ORDINARY	LIC - Private Equity	20%	*	*	*	*
CYQ	CYCLIQ GROUP LTD ORDINARY	Share	10%	-	-	-	-
DCN	DACIAN GOLD LTD ORDINARY	Share	20%	-	-	-	-
DTC-H	DAMSTRA HOLDINGS BROKER FIRM OFFER	Other	10%	-	-	-	-
DTC	DAMSTRA HOLDINGS DEFERRED SETTLEMENT	Share	10%	-	-	-	-
DNK	DANAKALI LIMITED ORDINARY	Share	20%	-	-	-	-
DHR	DARK HORSE RESOURCES ORDINARY	Share	10%	-	-	-	-
DTL	DATA#3 LIMITED ORDINARY	Share	20%	-	-	-	-
DDT	DATADOT TECHNOLOGY ORDINARY	Share	10%	-	-	-	-
DEG	DE GREY MINING ORDINARY	Share	10%	-	-	-	-
DEM	DE.MEM LTD ORDINARY	Share	10%	-	-	-	-
DCG	DECMIL GROUP LIMITED ORDINARY	Share	20%	-	-	-	-
DYL	DEEP YELLOW LIMITED ORDINARY	Share	10%	-	-	-	-
D13	DELAWARE THIRTEEN ORDINARY	Share	10%	-	-	-	-
DGH	DESANE GROUP HLDINGS ORDINARY	Share	10%	-	-	-	-
DEV	DEVEX RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
DVN	DEVINE LIMITED ORDINARY	Share	10%	-	-	-	-
DXS	DEXUS STAPLED UNITS	Stapled Security	20%	-	-	-	-
DGR	DGR GLOBAL LTD ORDINARY	Share	10%	-	-	-	-
DDR	DICKER DATA LIMITED ORDINARY	Share	20%	-	-	-	-
DCC	DIGITALX LIMITED ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
DXB	DIMERIX LTD ORDINARY	Share	10%	-	-	-	-
DXF	DING SHENG XIN LTD ORDINARY	Share	10%	-	-	-	-
DAF	DISCOVERY AFRICA LTD ORDINARY	Share	10%	-	-	-	-
DCX	DISCOVEX RES LTD ORDINARY	Share	10%	-	-	-	-
DVA	DIVERSA LIMITED ORDINARY	Share	10%	-	-	-	-
DUI	DIVERSIFIED UNITED ORDINARY	LIC - Australian	20%	*	*	*	*
DJW	DJERRIWARRH ORDINARY	LIC - Australian	20%	*	*	*	*
DCL	DOMACOM LIMITED ORDINARY	Share	10%	-	-	-	-
DHG	DOMAIN HOLDINGS AUS ORDINARY	Share	20%	-	-	-	-
DMP	DOMINO PIZZA ENTERPR ORDINARY	Share	20%	-	-	-	-
DNA	DONACO INTERNATIONAL ORDINARY	Share	10%	-	-	-	-
DFM	DONGFANG MODERN AG	Share	20%	-	-	-	-
DVL	DORSAVI LTD ORDINARY	Share	10%	-	-	-	-
DTZ	DOTZ NANO LTD ORDINARY	Share	10%	-	-	-	-
DOW	DOWNER EDI LIMITED ORDINARY	Share	20%	-	-	-	-
DRA	DRAGON MINING LTD ORDINARY	Share	10%	-	-	-	-
DMG	DRAGON MOUNTAIN GOLD ORDINARY	Share	10%	-	-	-	-
DTS	DRAGONTAIL SYSTEMS ORDINARY	Share	10%	-	-	-	-
DRE	DREDNOUGHT RESOURCES ORDINARY	Share	10%	-	-	-	-
DRO	DRONESHIELD LIMITED ORDINARY	Share	10%	-	-	-	-
DSE	DROPSUITE LTD ORDINARY	Share	10%	-	-	-	-
DTI	DTI GROUP LTD ORDINARY	Share	10%	-	-	-	-
DUB	DUBBER CORP LTD ORDINARY	Share	10%	-	-	-	-
DUE	DUET GROUP STAPLED US PROHIBIT.	Stapled Security	10%	-	-	-	-
DKM	DUKETON MINING ORDINARY	Share	10%	-	-	-	-
DLX	DULUXGROUP LIMITED ORDINARY	Share	20%	-	-	-	-
DBF	DUXTON BROADACRE ORDINARY	Share	10%	-	-	-	-
D2O	DUXTON WATER LTD ORDINARY	Share	10%	-	-	-	-
DWS	DWS LTD ORDINARY	Share	20%	-	-	-	-
EAL	E & A LIMITED ORDINARY	Share	10%	-	-	-	-
E2M	E2 METALS ORDINARY	Share	10%	-	-	-	-
EM2	EAGLE MOUNTAIN ORDINARY	Share	10%	-	-	-	-
EFE	EASTERN IRON ORDINARY	Share	10%	-	-	-	-
EAS	EASTON INVESTMENTS ORDINARY	Share	10%	-	-	-	-
ECO	ECHOICE LIMITED ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
EPM	ECLIPSE METALS ORDINARY	Share	10%	-	-	-	-
ECX	ECLIPX GROUP LTD ORDINARY	Share	20%	-	-	-	-
ESL	ECO SYSTEMS LTD ORDINARY	Share	10%	-	-	-	-
EOF	ECOFIBRE LIMITED ORDINARY	Share	10%	-	-	-	-
EGR	ECOGRAF LIMITED ORDINARY	Share	10%	-	-	-	-
ECP	ECP EMERGING GROWTH ORDINARY	LIC - Australian	20%	*	*	*	*
ECS	ECS BOTANICS HOLDING ORDINARY	Share	10%	-	-	-	-
EDE	EDEN INV LTD ORDINARY	Share	10%	-	-	-	-
EDC	EILDON CAPITAL LTD ORDINARY	Share	10%	-	-	-	-
EIGA	EINVEST INCOME TMF UNITS	ETF - Domestic	50%	*	*	*	*
ECF	ELANOR COMM PROP DEFERRED SETTLEMENT	Share	10%	-	-	-	-
ECF-H	ELANOR COMM PROP IPO	Share	10%	-	-	-	-
ENN	ELANOR INVESTORS GRP STAPLED	Stapled Security	20%	-	-	-	-
ERF	ELANORETL PROPERTY STAPLED	Stapled Security	20%	-	-	-	-
ELD	ELDERS LIMITED ORDINARY	Share	20%	-	-	-	-
EOS	ELECTRO OPTIC SYS. ORDINARY	Share	20%	-	-	-	-
E25	ELEMENT 25 LTD ORDINARY	Share	10%	-	-	-	-
ELT	ELEMENTOS LIMITED ORDINARY	Share	10%	-	-	-	-
EXL	ELIXINOL GLOBAL ORDINARY	Share	10%	-	-	-	-
EXR	ELIXIR ENERGY LTD ORDINARY	Share	10%	-	-	-	-
EAI	ELLERSTON ASIAN ORDINARY	LIC - International	20%	*	*	*	*
EGI	ELLERSTON GLOBAL INV ORDINARY	LIC - International	20%	*	*	*	*
ELX	ELLEX MEDICAL LASERS ORDINARY	Share	10%	-	-	-	-
ELO	ELMO SOFTWARE ORDINARY	Share	20%	-	-	-	-
ELE	ELMORE LTD ORDINARY	Share	10%	-	-	-	-
ELS	ELSIGHT LTD ORDINARY	Share	10%	-	-	-	-
EHL	EMECO HOLDINGS ORDINARY	Share	20%	-	-	-	-
EMRDC	EMERALD RES NL DEFERRED SETTLEMENT	Share	10%	-	-	-	-
EMR-H1	EMERALD RES NL T1 PLCMNT	Share	10%	-	-	-	-
EMR-H2	EMERALD RESOURCES NL TRANCHE 2 PLACEMENT MAR20	Share	10%	-	-	-	-
EML	EML PAYMENTS LTD ORDINARY	Share	20%	-	-	-	-
EEG	EMPIRE ENERGY LTD ORDINARY	Share	10%	-	-	-	-
EPD	EMPIRED LTD ORDINARY	Share	10%	-	-	-	-
ENR	ENCOUNTER RESOURCES ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
ENX	ENEGEX LIMITED ORDINARY	Share	10%	-	-	-	-
EAX	ENERGY ACTION LTD ORDINARY	Share	10%	-	-	-	-
ERAR	ENERGY RESOURCES OF AUSTRALIA LIMITED RENOUNCEABLE RIGHTS EXP 06DEC19	Right	10%	-	-	-	-
ERA	ENERGY RESOURCES ORDINARY 'A'	Share	20%	-	-	-	-
EWC	ENERGY WORLD CORPOR. ORDINARY	Share	20%	-	-	-	-
EGG	ENERO GROUP LTD ORDINARY	Share	10%	-	-	-	-
EN1	ENGAGE:BDR LIMITED ORDINARY	Share	10%	-	-	-	-
EGN	ENGENCO LIMITED ORDINARY	Share	20%	-	-	-	-
EXO	ENNOX GROUP LTD ORDINARY	Share	10%	-	-	-	-
ENA	ENSURANCE LTD ORDINARY	Share	10%	-	-	-	-
ECT	ENV CLEAN TECH LTD. ORDINARY	Share	10%	-	-	-	-
EVS	ENVIROSUITE LTD ORDINARY	Share	10%	-	-	-	-
EOR	EOR GROUP LIMITED ORDINARY	Share	10%	-	-	-	-
EQX	EQUATORIAL RES LTD ORDINARY	Share	10%	-	-	-	-
EQT	EQUITY HL ORDINARY	Share	20%	-	-	-	-
EQE	EQUUS MINING LTD ORDINARY	Share	10%	-	-	-	-
ESH	ESPORTS MOGUL LTD ORDINARY	Share	10%	-	-	-	-
EHE	ESTIA HEALTH LTD ORDINARY	Share	20%	-	-	-	-
ZYAU	ETFS ASX300 YIELD ETF UNITS	ETF - Domestic	25%	0.35 %	0.06 %	0.13 %	0.13 %
ACDC	ETFS BATT TECH LITH ETF UNITS	ETF - Domestic	20%	0.82 %	0.02 %	*	*
CORE	ETFS GLB CORE INFRA ETF UNITS	ETF - Domestic	25%	0.55 %	0.05 %	0.13 %	0.13 %
ROBO	ETFS GLB ROBO ETF UNITS	ETF - Domestic	20%	0.82 %	0.02 %	0.13 %	0.13 %
NDIA	ETFS INDIA NIFTY 50 ETF UNITS	ETF - Domestic	10%	0.85 %	*	*	*
GOLD	ETFS METALS. ETFS GOLD	Exchange Traded Product	10%	*	*	*	*
ETPMAG	ETFS PHYSICAL SILVER	ETC	10%	*	*	*	*
CURE	ETFS S&P BIOTECH ETF UNITS	ETF - Domestic	10%	0.45 %	0.04 %	*	*
ZYUS	ETFS S&P500 YIELD ETF UNITS	ETF - Domestic	25%	0.35 %	0.04 %	0.13 %	0.13 %
ZUSD	ETFS US DOLLAR ETF UNITS	ETF - Domestic	20%	0.30 %	*	0.13 %	0.13 %
ESTX	ETFSEUROSTOXX50 ETF UNITS	ETF - Domestic	20%	0.35 %	0.02 %	0.13 %	0.13 %
TECH	ETFSGLOBALTECH ETF UNITS	ETF - Domestic	25%	0.45 %	0.06 %	0.13 %	0.13 %
EGH	EUREKA GROUP LTD ORDINARY	Share	10%	-	-	-	-
EMN	EUROMANGANESE CDI 1:1	Share	10%	-	-	-	-
EUC	EUROPEAN COBALT LTD ORDINARY	Share	10%	-	-	-	-
EUR	EUROPEAN LITHIUM LTD ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
EZL	EUROZ LIMITED ORDINARY	Share	20%	-	-	-	-
ED1	EVANS DIXON ORDINARY	Share	20%	-	-	-	-
EGD	EVANS GLOB DISRPFUND ORDINARY	Exchange Traded Product	20%	*	*	*	*
EVE	EVE INVESTMENTS LTD ORDINARY	Share	10%	-	-	-	-
EVT	EVENT HOSPITALITY ORDINARY	Share	20%	-	-	-	-
EVN	EVOLUTION MINING LTD ORDINARY	Share	20%	-	-	-	-
EVO	EVOLVE EDUCATION GRP ORDINARY	Share	10%	-	-	-	-
ERX	EXORE RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
EXP	EXPERIENCE CO LTD ORDINARY	Share	20%	-	-	-	-
EXU	EXPLAURUM LTD ORDINARY	Share	10%	-	-	-	-
FTT	FACTOR THERAPTCS LTD ORDINARY	Share	10%	-	-	-	-
FAM	FAMILY INSIGHTS ORDINARY	Share	10%	-	-	-	-
FZO	FAMILY ZONE CYBER ORDINARY	Share	10%	-	-	-	-
FAR	FAR LTD ORDINARY	Share	20%	-	-	-	-
FRM	FARM PRIDE FOODS ORDINARY	Share	10%	-	-	-	-
FFC	FARMAFORCE LTD ORDINARY	Share	10%	-	-	-	-
FPC	FAT PROPHETS GBL LTD ORDINARY	LIC - International	20%	*	*	*	*
FFG	FATFISH BLOCKCHAIN ORDINARY	Share	10%	-	-	-	-
FBR	FBR LTD ORDINARY	Share	20%	-	-	-	-
FEX	FENIX RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
FEMX	FIDELITY GEM TMF UNITS	ETF - Domestic	25%	*	*	*	*
FID	FIDUCIAN GROUP LTD ORDINARY FULLY PAID	Share	20%	-	-	-	-
FIN	FIN RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
FRI	FINBAR GROUP LIMITED ORDINARY	Share	20%	-	-	-	-
FND	FINDERS RESOURCES ORDINARY	Share	10%	-	-	-	-
FCL-H	FINEOS CORP HOLD PLC BROKER FIRM OFFER	Share	10%	-	-	-	-
FCL	FINEOS CORP HOLD PLC CDI 1:1 DEF SET	Share	10%	-	-	-	-
FTC	FINTECH CHAIN LTD CDI 1:1	Share	10%	-	-	-	-
FGR	FIRST GRAPHENE LTD ORDINARY	Share	10%	-	-	-	-
FCT	FIRSTWAVE CLOUD TECH ORDINARY	Share	10%	-	-	-	-
FPH	FISHER & PAYKEL H. ORDINARY	Share	20%	-	-	-	-
FWD	FLEETWOOD CORP ORDINARY	Share	20%	-	-	-	-
FBU	FLETCHER BUILDING ORDINARY	Share	20%	-	-	-	-
FXL	FLEXIGROUP LIMITED ORDINARY	Share	20%	-	-	-	-
FLT	FLIGHT CENTRE TRAVEL ORDINARY	Share	20%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
FMS	FLINDERS MINES LTD ORDINARY	Share	20%	-	-	-	-
FLC	FLUENCE CORPORATION ORDINARY	Share	20%	-	-	-	-
FML	FOCUS MINERALS LTD ORDINARY	Share	10%	-	-	-	-
FSF	FONTERRA SHARE FUND ORDINARY UNITS	Share	10%	-	-	-	-
FOR	FORAGER AUST SHS FND ORDINARY	Exchange Traded Product	20%	*	*	*	*
4CE	FORCE COM LTD ORDINARY	Share	10%	-	-	-	-
FMG	FORTESCUE METALS GRP ORDINARY	Share	20%	-	-	-	-
FFL	FOUNDERS ORDINARY	Share	10%	-	-	-	-
FNP	FREEDOM FOOD LTD ORDINARY	Share	20%	-	-	-	-
FIG	FREEDOM INSURANCE ORDINARY	Share	10%	-	-	-	-
FDM	FREEDOM OIL GAS ORDINARY	Share	20%	-	-	-	-
FHS	FREEHILL MINING LTD. ORDINARY	Share	10%	-	-	-	-
FLN	FREELANCER LTD ORDINARY	Share	20%	-	-	-	-
FDX	FRONTIER DIAMONDS ORDINARY	Share	10%	-	-	-	-
FDV	FRONTIER DIGITAL LTD ORDINARY	Share	10%	-	-	-	-
FSA	FSA GROUP LIMITED ORDINARY	Share	20%	-	-	-	-
FGX	FUTURE GEN LTD ORDINARY	LIC - Australian	20%	*	*	*	*
FGG	FUTURE GLB INVEST CO ORDINARY	LIC - International	20%	*	*	*	*
FYI	FYI RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
GMV	G MEDICAL ORDINARY	Share	10%	-	-	-	-
GUD	G.U.D. HOLDINGS ORDINARY	Share	20%	-	-	-	-
GEM	G8 EDUCATION LIMITED ORDINARY	Share	20%	-	-	-	-
GLN	GALAN LITHIUM LTD ORDINARY	Share	10%	-	-	-	-
GXY	GALAXY RESOURCES ORDINARY	Share	20%	-	-	-	-
GAP	GALE PACIFIC LIMITED ORDINARY	Share	10%	-	-	-	-
G1A	GALENA MINING ORDINARY	Share	10%	-	-	-	-
GJT	GALILEO JAPAN TRUST UNIT	Listed Property Trust	10%	-	-	-	-
GAL	GALILEO MINING LTD ORDINARY	Share	10%	-	-	-	-
GDF	GARDA PRPTY GROUP FPO/UNITS STAPLED	Stapled Security	10%	-	-	-	-
GGX	GAS2GRID LIMITED ORDINARY	Share	10%	-	-	-	-
GCY	GASCOYNE RES LTD ORDINARY	Share	10%	-	-	-	-
GTY	GATEWAY LIFESTYLE STAPLED	Stapled Security	10%	-	-	-	-
GML	GATEWAY MINING ORDINARY	Share	10%	-	-	-	-
GBZ	GBM RSOURCES LTD ORDINARY	Share	10%	-	-	-	-
GDI	GDI PROPERTY GRP STAPLED SECURITIES	Stapled Security	20%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
GBI	GENERA BIOSYSTEMS ORDINARY	Share	10%	-	-	-	-
GDG	GENERATION DEV GROUP ORDINARY	PDF	10%	-	-	-	-
GNE	GENESIS ENERGY LTD ORDINARY	Share	20%	-	-	-	-
GMD	GENESIS MINERALS ORDINARY	Share	10%	-	-	-	-
GSS	GENETIC SIGNATURES ORDINARY	Share	10%	-	-	-	-
GTG	GENETIC TECHNOLOGIES ORDINARY	Share	10%	-	-	-	-
GNX	GENEX POWER LTD ORDINARY	Share	10%	-	-	-	-
GTK	GENTRACK GROUP LTD ORDINARY	Share	10%	-	-	-	-
GMA	GENWORTH MORTGAGE ORDINARY	Share	20%	-	-	-	-
GPR	GEPACIFIC RESOURCES ORDINARY	Share	10%	-	-	-	-
GSW	GETSWIFT LIMITED ORDINARY	Share	10%	-	-	-	-
GID	GI DYNAMICS, INC CDI US PROHIBITED	Share	10%	-	-	-	-
GC1	GLENNON SML CO LTD ORDINARY	LIC - Australian	20%	*	*	*	*
GEV	GLOBAL ENE VEN LTD ORDINARY	Share	10%	-	-	-	-
GLH	GLOBAL HEALTH LTD ORDINARY	Share	10%	-	-	-	-
GVF	GLOBAL VALUE FND LTD ORDINARY	LIC - International	20%	*	*	*	*
GLV	GLOBALVANA ORDINARY	Share	10%	-	-	-	-
GLB	GLOBE INTERNATIONAL ORDINARY	Share	10%	-	-	-	-
GFY	GODFREYS GRP LTD ORDINARY	Share	10%	-	-	-	-
GRL	GODOLPHIN RESOURCES ORDINARY	Share	10%	-	-	-	-
GOR	GOLD ROAD RES LTD ORDINARY	Share	20%	-	-	-	-
G88	GOLDEN MILE RES LTD ORDINARY	Share	10%	-	-	-	-
GMRRRA	GOLDEN RIM RESOURCES LTD RENOUNCEABLE RTS EXP 06SEP19	Right	10%	-	-	-	-
GMR	GOLDEN RIM RESOURCES ORDINARY	Share	10%	-	-	-	-
GMG	GOODMAN GROUP STAPLED US PROHIBIT.	Stapled Security	20%	-	-	-	-
GMPPA	GOODMAN PLUS TRUST STEP-UP UNITS	Hybrid Security (Ex Date)	20%	-	-	-	-
GOO	GOOROO VENTURES LTD ORDINARY	Share	10%	-	-	-	-
GOW	GOWING BROS. LIMITED ORDINARY	LIC - Australian	20%	*	*	*	*
GPT	GPT GROUP STAPLED SEC.	Stapled Security	20%	-	-	-	-
GNG	GR ENGINEERING LTD ORDINARY	Share	20%	-	-	-	-
GNC	GRAINCORP LIMITED A CLASS ORDINARY	Share	20%	-	-	-	-
GRR	GRANGE RESOURCES. ORDINARY	Share	20%	-	-	-	-
GNM	GREAT NORTHERN ORDINARY	Share	10%	-	-	-	-
GSN	GREAT SOUTHERN ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
GGG	GREENLAND MINERALS ORDINARY	Share	10%	-	-	-	-
GOZ	GROWTHPOINT PROPERTY ORD/UNIT STAPLED SEC	Stapled Security	20%	-	-	-	-
GCI	GRYPHON CAPITAL ORDINARY UNITS	Exchange Traded Product	10%	*	*	*	*
GCI-SF1	GRYPHON CAPITAL SHORTFALL PLACEMENT DEC19	Exchange Traded Product	10%	*	*	*	*
GTR	GTI RESOURCES ORDINARY	Share	10%	-	-	-	-
GTN	GTN LIMITED ORDINARY	Share	20%	-	-	-	-
GMC	GULF MANGANESE CORP ORDINARY	Share	10%	-	-	-	-
GWA	GWA GROUP LTD ORDINARY	Share	20%	-	-	-	-
GWR	GWR GROUP LTD ORDINARY	Share	10%	-	-	-	-
HMX	HAMMER METALS LTD ORDINARY	Share	10%	-	-	-	-
HNR	HANNANS LTD ORDINARY	Share	10%	-	-	-	-
HSN	HANSEN TECHNOLOGIES ORDINARY	Share	20%	-	-	-	-
HVM-H	Happy Valley Nutrition Limited	Share	10%	-	-	-	-
HT8	HARRIS TECHNOLOGY GL ORDINARY	Share	10%	-	-	-	-
HVNRB	HARVEY NORMAN HOLDINGS LTD RENOUNCEABLE RTS EXP 08OCT19	Right	20%	-	-	-	-
HVN	HARVEY NORMAN ORDINARY	Share	20%	-	-	-	-
HAS	HASTINGS TECH MET ORDINARY	Share	20%	-	-	-	-
HAV	HAVILAH RESOURCES ORDINARY	Share	10%	-	-	-	-
HOG	HAWKLEY OIL AND GAS ORDINARY	Share	10%	-	-	-	-
HWK	HAWKSTONE MNG LTD ORDINARY	Share	10%	-	-	-	-
HZR	HAZER GROUP LIMITED ORDINARY	Share	10%	-	-	-	-
HLS	HEALIUS LTD ORDINARY	Share	20%	-	-	-	-
HLA	HEALTHIA LIMITED ORDINARY	Share	10%	-	-	-	-
HM1R	HEARTS AND MINDS INVESTMENTS LIMITED RENOUNCEABLE RIGHTS - EXP 11DEC19	Right	10%	-	-	-	-
HM1	HEARTS AND MINDS ORDINARY	LIC - Australian	20%	*	*	*	*
HE8	HELIOS ENERGY LTD ORDINARY	Share	20%	-	-	-	-
HLX	HELIX RESOURCES ORDINARY	Share	10%	-	-	-	-
HLO	HELLOWORLD TRAVL LTD ORDINARY	Share	20%	-	-	-	-
HRR	HERON RESOURCES ORDINARY	Share	20%	-	-	-	-
HXG	HEXAGON ENERGY ORDINARY	Share	10%	-	-	-	-
HGM	HIGH GRADE METALS ORDINARY	Share	10%	-	-	-	-
HPR	HIGH PEAK ROYALTIES ORDINARY	Share	10%	-	-	-	-
HFR	HIGHFIELD RES LTD ORDINARY	Share	20%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
HGO	HILLGROVE RES LTD ORDINARY	Share	10%	-	-	-	-
HIL	HILLS LTD ORDINARY	Share	10%	-	-	-	-
HIP	HIPO RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
HMC	HOME CONSORTIUM FPO STAPLED DEF SET	Stapled Security	10%	-	-	-	-
HMC-H	HOME CONSORTIUM INITIAL PUBLIC OFFER	Stapled Security	10%	-	-	-	-
HSC	HOMESTAY CARE ORDINARY	Share	10%	-	-	-	-
HZN	HORIZON OIL LIMITED ORDINARY	Share	20%	-	-	-	-
HRZ	HORIZON ORDINARY	Share	10%	-	-	-	-
HCH	HOT CHILI LTD ORDINARY	Share	10%	-	-	-	-
HOT	HOTCOPPER HOLDINGS ORDINARY	Share	10%	-	-	-	-
HPI	HOTEL PROPERTY STAPLED	Stapled Security	20%	-	-	-	-
HWH	HOUSTON WE HAVE LTD ORDINARY	Share	10%	-	-	-	-
HRL	HRLHOLDING ORDINARY	Share	10%	-	-	-	-
HT1	HT&E LIMITED ORDINARY	Share	20%	-	-	-	-
HUB	HUB24 LTD ORDINARY	Share	20%	-	-	-	-
HUO	HUON AQUACULTURE GRP ORDINARY	Share	20%	-	-	-	-
HTA	HUTCHISON ORDINARY	Share	20%	-	-	-	-
HYD	HYDRIX LIMITED ORDINARY	Share	10%	-	-	-	-
HCD	HYDROCARBON DYNAMIC ORDINARY	Share	10%	-	-	-	-
ICQ	ICAR ASIA LTD ORDINARY	Share	10%	-	-	-	-
ICT	ICOLLEGE LIMITED ORDINARY	Share	10%	-	-	-	-
ICN	ICON ENERGY LIMITED ORDINARY	Share	10%	-	-	-	-
ICS	ICSGlobal LIMITED ORDINARY	Share	10%	-	-	-	-
ID8	IDENTITII LIMITED ORDINARY	Share	10%	-	-	-	-
IEL	IDP EDUCATION LTD ORDINARY	Share	20%	-	-	-	-
IDT	IDT AUSTRALIA LTD ORDINARY	Share	10%	-	-	-	-
IGN	IGNITE LTD ORDINARY	Share	10%	-	-	-	-
IGO	IGO LIMITED ORDINARY	Share	20%	-	-	-	-
ILU	ILUKA RESOURCES ORDINARY	Share	20%	-	-	-	-
IMA	IMAGE RESOURCES NL ORDINARY	Share	10%	-	-	-	-
IBX	IMAGION BIOSYS LTD ORDINARY	Share	10%	-	-	-	-
IBXR	IMAGION BIOSYSTEMS LIMITED RENOUNCEABLE RIGHTS EXP 11NOV19	Right	10%	-	-	-	-
IMD	IMDEX LIMITED ORDINARY	Share	20%	-	-	-	-
IME	IMEXHS LIMITED ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
IMF	IMF BENTHAM LTD ORDINARY	Share	20%	-	-	-	-
IHL	IMHEALTH LTD ORDINARY	Share	10%	-	-	-	-
IMC	IMMURON LIMITED ORDINARY	Share	10%	-	-	-	-
IMM	IMMUTEP LTD ORDINARY	Share	10%	-	-	-	-
IPD	IMPEDIMED LIMITED ORDINARY	Share	10%	-	-	-	-
IMS	IMPELUS LTD ORDINARY	Share	10%	-	-	-	-
IMR	IMRICOR MED SYS FORUS DEF SET	Share	10%	-	-	-	-
IMU	IMUGENE LIMITED ORDINARY	Share	10%	-	-	-	-
INP	INCENTIAPAY LTD ORDINARY	Share	10%	-	-	-	-
IPL	INCITEC PIVOT ORDINARY	Share	20%	-	-	-	-
IDA	INDIANA RESOURCES ORDINARY	Share	10%	-	-	-	-
IDZ	INDOOR SKYDIVE AUST ORDINARY	Share	10%	-	-	-	-
IFN	INFIGEN ENERGY STAPLED SECURITIES	Stapled Security	20%	-	-	-	-
INF	INFINITY LITHIUM ORDINARY	Share	10%	-	-	-	-
IFM	INFOMEDIA LTD ORDINARY	Share	20%	-	-	-	-
INA	INGENIA GROUP STAPLED SECURITIES	Stapled Security	20%	-	-	-	-
ING	INGHAMS GROUP ORDINARY	Share	20%	-	-	-	-
IAGPD	INSURANCE AUSTRALIA CN 3M PER Q RD T-23	Hybrid Security (Payable Date)	20%	-	-	-	-
IAG	INSURANCE AUSTRALIA ORDINARY	Share	20%	-	-	-	-
IGE	INT GREEN ENERGY ORDINARY	Share	10%	-	-	-	-
ITG	INTEGA GROUP LIMITED DEFERRED SETTLEMENT	Share	10%	-	-	-	-
IDX	INTEGRAL DIAGNOSTICS ORDINARY	Share	20%	-	-	-	-
IRI	INTEGRATED RESEARCH ORDINARY	Share	20%	-	-	-	-
IHR	INTELLIHR LIMITED ORDINARY	Share	10%	-	-	-	-
IAM	INTIGER GROUP LTD ORDINARY	Share	10%	-	-	-	-
IEC	INTRA ENERGY CORP ORDINARY	Share	10%	-	-	-	-
IAP-H	INVESTEC AUST PROP BROKER FIRM OFFER	Listed Property Trust	10%	-	-	-	-
IAP	INVESTEC AUST PROP DEFERRED SETTLEMENT	Listed Property Trust	10%	-	-	-	-
IVR	INVESTIGATOR RES LTD ORDINARY	Share	10%	-	-	-	-
INV	INVESTSMART GROUP ORDINARY	Share	10%	-	-	-	-
IXC	INVEX THER ORDINARY	Share	10%	-	-	-	-
IVX	INVION LTD ORDINARY	Share	10%	-	-	-	-
IVQ	INVITROCUE LTD ORDINARY	Share	10%	-	-	-	-
IVC	INVOCARE LIMITED ORDINARY	Share	20%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
IOD	IODM LIMITED ORDINARY	Share	10%	-	-	-	-
INR	IONEER LTD ORDINARY	Share	20%	-	-	-	-
IXR	IONIC RARE EARTHS ORDINARY	Share	10%	-	-	-	-
IFL	IOOF HOLDINGS LTD ORDINARY	Share	20%	-	-	-	-
IOT	IOT GROUP LIMITED ORDINARY	Share	10%	-	-	-	-
IPH	IPH LIMITED ORDINARY	Share	20%	-	-	-	-
IRE	IRESS LIMITED ORDINARY	Share	20%	-	-	-	-
INM	IRON MOUNTAIN INCORP CDI1:1FOREXEMPT NYSE	Share	10%	-	-	-	-
IBG	IRONBARK ZINC LTD ORDINARY	Share	10%	-	-	-	-
ISU	ISELECT LTD ORDINARY	Share	20%	-	-	-	-
ISD	ISENTIA GROUP LTD ORDINARY	Share	10%	-	-	-	-
IAF	ISHARES COMP BND. ETF UNITS	ETF - Domestic	50%	0.20 %	*	*	*
BILL	ISHARES CORE CASH ETF UNITS	ETF - Domestic	100%	0.07 %	*	*	*
IHWL	ISHARES CORE WLD AUD ETF UNITS	ETF - Domestic	50%	0.12 %	0.01 %	*	*
IWLD	ISHARES CORE WLD ETF UNITS	ETF - Domestic	25%	0.09 %	0.01 %	*	*
AUMF	ISHARES EDGE AU MF ETF UNITS	ETF - Domestic	50%	0.30 %	0.06 %	*	*
MVOL	ISHARES EDGE AU MVOL ETF UNITS	ETF - Domestic	50%	0.30 %	0.04 %	*	*
WDMF	ISHARES EDGE WLD MF ETF UNITS	ETF - Domestic	50%	0.35 %	0.02 %	*	*
WVOL	ISHARES EDGEWLD MVOL ETF UNITS	ETF - Domestic	50%	0.30 %	0.16 %	*	*
ISEC	ISHARES ENHANCED CASH ETF UNITS	ETF - Domestic	100%	0.12 %	*	*	*
IHCB	ISHARES GBL BND AUD. ETF UNITS	ETF - Domestic	25%	0.27 %	0.05 %	*	*
IHHY	ISHARES GBLHGHYD AUD ETF UNITS	ETF - Domestic	20%	0.62 %	0.12 %	*	*
IHO0	ISHARES GLOBAL 100 ETF UNITS	ETF - Domestic	50%	0.43 %	0.05 %	*	*
ILB	ISHARES GOV INFL ETF UNITS	ETF - Domestic	25%	0.26 %	*	*	*
IJH	ISHARES MID-CAP ETF ETF UNITS	ETF - Domestic	50%	0.09 %	*	*	*
IKO	ISHARES MSCI SK ETF ETF UNITS	ETF - Domestic	20%	0.65 %	0.04 %	*	*
ITW	ISHARES MSCI TW ETF ETF UNITS	ETF - Domestic	20%	0.64 %	0.03 %	*	*
IHVV	ISHARES S&P 500 AUD ETF UNITS	ETF - Domestic	50%	0.10 %	0.02 %	*	*
IVV	ISHARES S&P 500 ETF ETF UNITS	ETF - Domestic	50%	0.04 %	*	*	*
IHD	ISHARES S&P HIGH DIV ISHARES S&P HIGH DIV	ETF - Domestic	50%	0.30 %	0.02 %	*	*
ILC	ISHARES S&P/ASX 20 ISHARES S&P/ASX20	ETF - Domestic	50%	0.24 %	*	*	*
IOZ	ISHARES S&P/ASX 200. ETF UNITS	ETF - Domestic	100%	0.09 %	*	*	*
ISO	ISHARES SMALL ORDS ISHARES SMALL ORDS	ETF - Domestic	50%	0.55 %	0.02 %	*	*
IJR	ISHARES SMALL-CAP ETF UNITS	ETF - Domestic	25%	0.12 %	*	*	*
IGB	ISHARES TREASURY ETF UNITS	ETF - Domestic	50%	0.26 %	0.01 %	*	*

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
IHEB	ISHARES USDEMBOND AU ETF UNITS	ETF - Domestic	10%	0.53 %	0.08 %	*	*
IAA	ISHS ASIA 50 ETF ETF UNITS	ETF - Domestic	50%	0.50 %	*	*	*
IZZ	ISHS CHINA ETF ETF UNITS	ETF - Domestic	25%	0.75 %	*	*	*
IEU	ISHS EUROPE ETF ETF UNITS	ETF - Domestic	50%	0.60 %	0.01 %	*	*
IXJ	ISHS GLOB HEALTH ETF ETF UNITS	ETF - Domestic	25%	0.47 %	*	*	*
IOO	ISHS GLOBAL 100 ETF ETF UNITS	ETF - Domestic	50%	0.40 %	*	*	*
IXI	ISHS GLOBAL CONS ETF ETF UNITS	ETF - Domestic	25%	0.47 %	0.01 %	*	*
IVE	ISHS MSCI EAFE ETF ETF UNITS	ETF - Domestic	50%	0.32 %	0.01 %	*	*
IEM	ISHS MSCI EMG MKTETF ETF UNITS	ETF - Domestic	25%	0.70 %	0.03 %	*	*
IJP	ISHS MSCI JAPAN ETF ETF UNITS	ETF - Domestic	25%	0.50 %	*	*	*
ISX	ISIGNTHIS LTD ORDINARY	Share	20%	-	-	-	-
ITD	ITL HEALTH GROUP LTD ORDINARY	Share	10%	-	-	-	-
IGL	IVEGROUP ORDINARY	Share	20%	-	-	-	-
IXU	IXUP LIMITED ORDINARY	Share	10%	-	-	-	-
JDR	JADAR RESOURCES ORDINARY	Share	10%	-	-	-	-
JHX	JAMES HARDIE INDUST PLC CHESS DEPOSITARY INT	Share	20%	-	-	-	-
JAL	JAMESON RESOURCES ORDINARY	Share	10%	-	-	-	-
JAN	JANISON EDU GROUP ORDINARY	Share	10%	-	-	-	-
JHG	JANUS HENDERSON CDI 1:1	Share	20%	-	-	-	-
JHC	JAPARA HEALTHCARE LT ORDINARY	Share	20%	-	-	-	-
JAT	JATENERGY LIMITED ORDINARY	Share	10%	-	-	-	-
JBH	JB HI-FI LIMITED ORDINARY	Share	20%	-	-	-	-
JCS	JCURVE SOLUTIONS ORDINARY	Share	10%	-	-	-	-
JRV	JERVOIS MINING ORDINARY	Share	10%	-	-	-	-
JLG	JOHNS LYNG GROUP ORDINARY	Share	20%	-	-	-	-
JYC	JOYCE CORPORATION ORDINARY	Share	10%	-	-	-	-
JIN	JUMBO INTERACTIVE ORDINARY	Share	20%	-	-	-	-
JPR	JUPITER ENERGY ORDINARY	Share	10%	-	-	-	-
JMS	JUPITER MINES. ORDINARY	Share	20%	-	-	-	-
KSC	K & S CORPORATION ORDINARY	Share	20%	-	-	-	-
KAM	K2 ASSET MGMT HLDGS ORDINARY	Share	10%	-	-	-	-
KTE	K2 ENERGY LTD ORDINARY	Share	10%	-	-	-	-
K2F	K2FLY LTD ORDINARY	Share	10%	-	-	-	-
KII	K2GLOBALEQUITIESFUND TMF UNITS	ETF - International	10%	3.54 %	*	*	*
KLR	KAILI RESOURCES LTD ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
KAI	KAIROS MINERALS LTD ORDINARY	Share	10%	-	-	-	-
KZR	KALAMAZOO RESOURCES ORDINARY	Share	10%	-	-	-	-
KLL	KALIUM LAKES LTD ORDINARY	Share	10%	-	-	-	-
KPT	KANGAROO PLANTATION ORDINARY	Share	10%	-	-	-	-
KAR	KARON ENERGY LTD ORDINARY	Share	20%	-	-	-	-
KAS	KASBAH RESOURCES ORDINARY	Share	10%	-	-	-	-
KAT	KATANA CAPITAL ORDINARY	LIC - Australian	20%	*	*	*	*
KMD	KATHMANDU HOLD LTD ORDINARY	Share	20%	-	-	-	-
KZA	KAZIA THERAPEUTICS ORDINARY	Share	10%	-	-	-	-
KPG	KELLY PARTNERS GROUP ORDINARY	Share	10%	-	-	-	-
KBC	KEYBRIDGE CAPITAL ORDINARY	Share	10%	-	-	-	-
KTD	KEYTONE DAIRY ORDINARY	Share	10%	-	-	-	-
KGL	KGL RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
KIN	KIN MIN NL ORDINARY	Share	10%	-	-	-	-
KSL	KINA SECURITIES LTD ORDINARY	Share	20%	-	-	-	-
KIS	KING ISLAND ORDINARY	Share	10%	-	-	-	-
KRR	KING RIVER RESOURCES ORDINARY	Share	10%	-	-	-	-
KCN	KINGSGATE CONSOLID. ORDINARY	Share	10%	-	-	-	-
KRM	KINGSROSE MINING LTD ORDINARY	Share	10%	-	-	-	-
KSND	KINGSTON RESOURCES DEFERRED SETTLEMENT	Share	10%	-	-	-	-
KME	KIP MCGRATH EDU.CNTR ORDINARY	Share	10%	-	-	-	-
KLA	KIRKLAND LAKE GOLD CDI1:1FOREXEMPT XTSE	Share	10%	-	-	-	-
KKC	KKR CREDIT INC FUND ORDINARY UNITS	Exchange Traded Product	10%	*	*	*	*
KKC-H	KKR CREDIT INCOME FUND BROKER FIRM OFFER	Exchange Traded Product	10%	*	*	*	*
KNM	KNEOMEDIA LIMITED ORDINARY	Share	10%	-	-	-	-
KNO	KNOSYS LIMITED ORDINARY	Share	10%	-	-	-	-
KGN	KOGAN.COM LTD ORDINARY	Share	20%	-	-	-	-
KFE	KOGI IRON LTD ORDINARY	Share	10%	-	-	-	-
KTG	K-TIG LIMITED ORDINARY	Share	10%	-	-	-	-
KGD	KULA GOLD LIMITED ORDINARY	Share	10%	-	-	-	-
KYK	KYCKR LIMITED ORDINARY	Share	10%	-	-	-	-
LSF	L1 LONG SHORT FUND ORDINARY	Share	20%	-	-	-	-
LHM	LAND HOMES GRP LTD ORDINARY	Share	10%	-	-	-	-
LNY	LANEWAY RES LTD ORDINARY	Share	10%	-	-	-	-
LGR	LANKA GRAPHITE LTD ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
LBL	LASERBOND LIMITED ORDINARY	Share	10%	-	-	-	-
LAA	LATAM AUTOS LTD ORDINARY	Share	10%	-	-	-	-
LRS	LATIN RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
LCD	LATITUDE CONSOLIDATE ORDINARY	Share	10%	-	-	-	-
LFS-H	LATITUDE FINANCIAL GROUP BROKER FIRM OFFER	Share	10%	-	-	-	-
LMG	LATROBE MAGNESIUM ORDINARY	Share	10%	-	-	-	-
LBT	LBT INNOVATIONS ORDINARY	Share	10%	-	-	-	-
LER	LEAF RES LTD ORDINARY	Share	10%	-	-	-	-
LEG	LEGEND MINING ORDINARY	Share	10%	-	-	-	-
LCK	LEIGH CRK ENERGY LTD ORDINARY	Share	10%	-	-	-	-
LLC	LENLEASE GROUP UNIT/ORD STAPLED	Stapled Security	20%	-	-	-	-
LPD	LEPIDICO LTD ORDINARY	Share	10%	-	-	-	-
360	LIFE360 INC. FORUS DEF SET	Share	10%	-	-	-	-
LSH	LIFESPOT HEALTH LTD ORDINARY	Share	10%	-	-	-	-
LIC	LIFESTYLE COMMUNIT. ORDINARY	Share	20%	-	-	-	-
LME	LIMEADE, INC. CDI 1:1USPROHEXCLQIB	Share	10%	-	-	-	-
LIN	LINDIAN RESOURCES ORDINARY	Share	10%	-	-	-	-
LAU	LINDSAY AUSTRALIA ORDINARY	Share	10%	-	-	-	-
LNU	LINIUS TECH LIMITED ORDINARY	Share	10%	-	-	-	-
LNK	LINK ADMIN HLDG ORDINARY	Share	20%	-	-	-	-
LTR	LIONTOWN RESOURCES ORDINARY	Share	10%	-	-	-	-
LNG	LIQUEFIED NATURAL ORDINARY	Share	20%	-	-	-	-
LIT	LITHIUM AUSTRALIA NL ORDINARY	Share	10%	-	-	-	-
LI3	LITHIUM CONSOLIDATED ORDINARY	Share	10%	-	-	-	-
LPI	LITHIUM PWR INT LTD ORDINARY	Share	10%	-	-	-	-
LGP	LITTLE GREEN PHARMA ORDINARY	Share	10%	-	-	-	-
LVH	LIVEHIRE LIMITED ORDINARY	Share	20%	-	-	-	-
LVT	LIVETILES LIMITED ORDINARY	Share	20%	-	-	-	-
LCT	LIVING CELL TECH. ORDINARY	Share	10%	-	-	-	-
LPE	LOCALITY PLANNING ORDINARY	Share	10%	-	-	-	-
LCM	LOGICAMMS LIMITED ORDINARY	Share	10%	-	-	-	-
LON	LONGTABLE GROUP LTD ORDINARY	Share	10%	-	-	-	-
LCL	LOS CERROS LIMITED ORDINARY	Share	10%	-	-	-	-
LOT	LOTUS RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
LOV	LOVISA HOLDINGS LTD ORDINARY	Share	20%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
LRT	LOWELL RES FUND ORDINARY UNITS	Share	10%	-	-	-	-
LOM	LUCAPA DIAMOND LTD ORDINARY	Share	10%	-	-	-	-
LYL	LYCOPODIUM LIMITED ORDINARY	Share	20%	-	-	-	-
LYC	LYNAS CORPORATION ORDINARY	Share	20%	-	-	-	-
MLD	MACA LIMITED ORDINARY	Share	20%	-	-	-	-
M7T	MACH7 TECH LIMITED ORDINARY	Share	10%	-	-	-	-
MAH	MACMAHON HOLDINGS ORDINARY	Share	20%	-	-	-	-
MRN	MACQ MEDIA LTD ORDINARY	Share	20%	-	-	-	-
MBLPB-H	MACQUARIE BANK CONVERTIBLE CAPITAL NOTES 2 (BCN2) PUBLIC OFFER	Hybrid Security (Payable Date)	20%	-	-	-	-
MBLPA	MACQUARIE BANK LTD CNV CAP NOTE	Hybrid Security (Payable Date)	20%	-	-	-	-
MBLHB	MACQUARIE BANK LTD STAPLED PERP. FRN.	FRN	10%	-	-	-	-
MQGPC	MACQUARIE GROUP LTD CN 3M PER Q RD T-24	Hybrid Security (Payable Date)	20%	-	-	-	-
MQGPD	MACQUARIE GROUP LTD CN 3M PER Q RD T-26	Hybrid Security (Payable Date)	20%	-	-	-	-
MQGPB	MACQUARIE GROUP LTD CN 6M PER Q RD T-21	Hybrid Security (Payable Date)	20%	-	-	-	-
MQG	MACQUARIE GROUP LTD ORDINARY	Share	20%	-	-	-	-
MAQ	MACQUARIE TELECOM GP ORDINARY	Share	20%	-	-	-	-
MAD-H	MADER GROUP LIMITED IPO	Share	10%	-	-	-	-
MAD	MADER GROUP LIMITED ORDINARY	Share	10%	-	-	-	-
MGE	MAG GLOBAL EQUITIES TMF UNITS	ETF - Domestic	100%	1.56 %	0.05 %	0.10 %	0.10 %
MHH	MAG HIGH CONVNTN TRST ORDINARY UNITS	Exchange Traded Product	25%	*	*	*	*
MICH	MAG. INFRA. FUND CH TMF UNITS	ETF - Domestic	20%	1.32 %	0.17 %	0.15 %	0.15 %
MFG	MAGELLAN FIN GRP LTD ORDINARY	Share	20%	-	-	-	-
MHG	MAGELLAN GBL EQ FUND TMF UNITS	ETF - Domestic	100%	1.52 %	0.06 %	0.10 %	0.10 %
MGG	MAGELLAN GLOB TRUST ORDINARY UNITS	Exchange Traded Product	50%	*	*	*	*
MHH-P	MAGELLAN HIGH CONVICTION TRUST PRIORITY OFFER	Exchange Traded Product	25%	*	*	*	*
MAG	MAGMATIC RESRCE LTD ORDINARY	Share	10%	-	-	-	-
MAU	MAGNETIC RESOURCES ORDINARY	Share	10%	-	-	-	-
MGT	MAGNETITE MINES ORDINARY	Share	10%	-	-	-	-
MNS	MAGNIS ENERGY TECH ORDINARY	Share	20%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
MGU	MAGNUM MINING & EXP ORDINARY	Share	10%	-	-	-	-
MGL	MAGONTEC LIMITED ORDINARY	Share	10%	-	-	-	-
MAI	MAINSTREAM GROUP ORDINARY	Share	10%	-	-	-	-
MKG	MAKO GOLD ORDINARY	Share	10%	-	-	-	-
MAR	MALACHITE RESOURCES ORDINARY	Share	10%	-	-	-	-
MLL	MALI LITHIUM LIMITED ORDINARY	Share	10%	-	-	-	-
MTR	MANTRA GROUP LTD ORDINARY	Share	10%	-	-	-	-
MCX	MARINER CORP LTD ORDINARY	Share	10%	-	-	-	-
MMM	MARLEY SPOON CDI 1000:1	Share	10%	-	-	-	-
MQR	MARQUEE RESOURCE LTD ORDINARY	Share	10%	-	-	-	-
MYE	MASTERMYNE GROUP LTD ORDINARY	Share	10%	-	-	-	-
MCE	MATRIX C & E LTD ORDINARY	Share	10%	-	-	-	-
MAT	MATSA RESOURCES ORDINARY	Share	10%	-	-	-	-
MXI	MAXITRANS INDUSTRIES ORDINARY	Share	10%	-	-	-	-
MFD	MAYFIELD CHILDCR LTD ORDINARY	Share	10%	-	-	-	-
MYX	MAYNE PHARMA LTD ORDINARY	Share	20%	-	-	-	-
MCM	MC MINING LTD ORDINARY	Share	10%	-	-	-	-
MCS	MCALEESE LTD ORDINARY	Share	10%	-	-	-	-
MEA	MCGRATH LTD ORDINARY	Share	10%	-	-	-	-
MMS	MCMILLAN SHAKESPEARE ORDINARY	Share	20%	-	-	-	-
MOT	MCP INCOME OPP TRUST ORDINARY UNITS	Exchange Traded Product	25%	*	*	*	*
MOT-H	MCP Income Opportunities Trust	Exchange Traded Product	25%	*	*	*	*
MXT	MCP MASTER INCOME ORDINARY UNITS	Exchange Traded Product	25%	*	*	*	*
MXT-PL	MCP MASTER INCOME PLACEMENT	Exchange Traded Product	25%	*	*	*	*
MXT-SF1	MCP MASTER INCOME SHORTFALL BROKER FIRM	Exchange Traded Product	25%	*	*	*	*
MXT-H1	MCP MASTER INCOME TRUST BROKER FIRM ENTITLEMENT OFFER MAR'20	Exchange Traded Product	25%	*	*	*	*
MCP	MCPHERSON'S LTD ORDINARY	Share	20%	-	-	-	-
MDR	MEDADVISOR LIMITED ORDINARY	Share	10%	-	-	-	-
MPL	MEDIBANK PRIVATE LTD ORDINARY	Share	20%	-	-	-	-
MEB	MEDIBIO LIMITED ORDINARY	Share	10%	-	-	-	-
MVP	MEDICAL DEVELOPMENTS ORDINARY	Share	20%	-	-	-	-
MPH	MEDILAND PHARM ORDINARY	Share	10%	-	-	-	-
MDC	MEDLAB CLINICAL LTD ORDINARY	Share	10%	-	-	-	-
MML	MEDUSA MINING LTD ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
MP1	MEGAPORT LIMITED ORDINARY	Share	20%	-	-	-	-
MAY	MELBANA ENERGY LTD ORDINARY	Share	10%	-	-	-	-
MVT	MERCANTILE INVEST ORDINARY	Share	10%	-	-	-	-
MCY	MERCURY NZ LIMITED ORD FOR.EXEMPT NZX	Share	10%	-	-	-	-
MEZ	MERIDIAN ENERGY ORDINARY	Share	20%	-	-	-	-
MSB	MESOBLAST LIMITED ORDINARY	Share	20%	-	-	-	-
MCT	METALICITY LIMITED ORDINARY	Share	10%	-	-	-	-
MLS	METALS AUSTRALIA ORDINARY	Share	10%	-	-	-	-
MLX	METALS X LIMITED ORDINARY	Share	20%	-	-	-	-
MTC	METALSTECH LTD ORDINARY	Share	10%	-	-	-	-
MTS	METCASH LIMITED ORDINARY	Share	20%	-	-	-	-
MEI	METEORIC RESOURCES ORDINARY	Share	10%	-	-	-	-
MEL	METGASCO LIMITED ORDINARY	Share	10%	-	-	-	-
MMI	METRO MINING LTD ORDINARY	Share	20%	-	-	-	-
MFF	MFF CAPITAL INV LTD ORDINARY	LIC - International	20%	*	*	*	*
MGC	MG UNIT TRUST ORDINARY	Exchange Traded Product	25%	*	*	*	*
MXC	MGC PHARMACEUTICALS ORDINARY	Share	10%	-	-	-	-
MHJ	MICHAEL HILL INT ORDINARY	Share	20%	-	-	-	-
MX1	MICRO-X LIMITED ORDINARY	Share	10%	-	-	-	-
MDI	MIDDLE ISLAND RES ORDINARY	Share	10%	-	-	-	-
MWY	MIDWAY LTD ORDINARY	Share	20%	-	-	-	-
MIL	MILLENNIUM GRP LTD ORDINARY	Share	10%	-	-	-	-
MOY	MILLENNIUM MIN LTD ORDINARY	Share	20%	-	-	-	-
MLT	MILTON CORPORATION ORDINARY	LIC - Australian	20%	*	*	*	*
MCR	MINCOR RESOURCES NL ORDINARY	Share	10%	-	-	-	-
MDL	MINERAL DEPOSITS ORDINARY	Share	10%	-	-	-	-
MIN	MINERAL RESOURCES. ORDINARY	Share	20%	-	-	-	-
MEP	MINOTAUR EXPLORATION ORDINARY	Share	10%	-	-	-	-
MNW	MINT PAYMENTS LTD ORDINARY	Share	10%	-	-	-	-
MBN	MIRABELA NICKEL LTD ORDINARY	Share	10%	-	-	-	-
MIR	MIRRABOOKA INVEST. ORDINARY	LIC - Australian	20%	*	*	*	*
MGR	MIRVAC GROUP STAPLED SECURITIES	Stapled Security	20%	-	-	-	-
MSV	MITCHELL SERVICES ORDINARY	Share	10%	-	-	-	-
MRM	MMAOFFSHOR ORDINARY	Share	20%	-	-	-	-
MMJ	MMJ GROUP HLDS LTD ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
MNF	MNF GROUP LTD ORDINARY	Share	20%	-	-	-	-
MOB	MOBILICOM LTD ORDINARY	Share	10%	-	-	-	-
MOE	MOELIS AUSTRALIA LTD ORDINARY	Share	20%	-	-	-	-
MOGL	MOGL (MANAGED FUND) TMF UNITS	ETF - Domestic	25%	*	*	*	*
MPO	MOLOPO ENERGY LTD ORDINARY	Share	10%	-	-	-	-
MND	MONADELPHOUS GROUP ORDINARY	Share	20%	-	-	-	-
MA1	MONASH ABSOLUTE ORDINARY	LIC - Australian	20%	*	*	*	*
MVF	MONASH IVF GROUP LTD ORDINARY	Share	20%	-	-	-	-
MNY	MONEY3 CORPORATION ORDINARY	Share	20%	-	-	-	-
MME-H	MONEYME LIMITED IPO PLACEMENT	Share	10%	-	-	-	-
MME	MONEYME LIMITED ORDINARY	Share	10%	-	-	-	-
MEC	MORPHIC EEF LTD ORDINARY	LIC - International	20%	*	*	*	*
MOC	MORTGAGE CHOICE LTD ORDINARY	Share	20%	-	-	-	-
MOZ	MOSAIC BRANDS LTD ORDINARY	Share	20%	-	-	-	-
MTO	MOTORCYCLE HLDG ORDINARY	Share	20%	-	-	-	-
MGX	MOUNT GIBSON IRON ORDINARY	Share	20%	-	-	-	-
MRD	MOUNT RIDLEY MINES ORDINARY	Share	10%	-	-	-	-
MPW	MSL SOLUTIONS LTD ORDINARY	Share	10%	-	-	-	-
MSM	MSM CORPORATION INTL ORDINARY	Share	10%	-	-	-	-
MSTR	MSTR INT SHR ACT ETF TMF UNITS	ETF - Domestic	25%	*	*	*	*
MUE	MULTIPLEX EUROPEAN UNITS	Listed Property Trust	10%	-	-	-	-
MXUPA	MULTIPLEX SITES	Hybrid Security (Ex Date)	10%	-	-	-	-
MCA	MURRAY COD AUST LTD ORDINARY	Share	10%	-	-	-	-
MRG	MURRAY RIVER GRP ORDINARY	Share	10%	-	-	-	-
MYL	MYANMAR METALS ORDINARY	Share	10%	-	-	-	-
MYR	MYER HOLDINGS LTD ORDINARY	Share	20%	-	-	-	-
MYQ	MYFIZIQ LIMITED ORDINARY	Share	10%	-	-	-	-
MYS	MYSTATE LIMITED ORDINARY	Share	20%	-	-	-	-
NABPG-H	NAB CAPITAL NOTES 4 BROKER FIRM OFFER	Hybrid Security (Payable Date)	20%	-	-	-	-
NAM	NAMOI COTTON CO-OP CO-OPERATIVE CAP.UNT	Share	10%	-	-	-	-
NAN	NANOSONICS LIMITED ORDINARY	Share	20%	-	-	-	-
NVU	NANOVEU LIMITED ORDINARY	Share	10%	-	-	-	-
NCC	NAOS EMERG OPP ORDINARY	LIC - Australian	20%	*	*	*	*
NAC	NAOS EX-50 ORDINARY	LIC - Australian	20%	*	*	*	*

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
NSC	NAOS SMLCAP COM LTD ORDINARY	LIC - Australian	20%	*	*	*	*
NABPE	NATIONAL AUST. BANK CN 3M 09-28 Q RD	FRN	20%	-	-	-	-
NABPD	NATIONAL AUST. BANK CN 3M PER Q RD T-22	Hybrid Security (Payable Date)	20%	-	-	-	-
NABPF	NATIONAL AUST. BANK CN 3M PER Q RD T-26	Hybrid Security (Payable Date)	20%	-	-	-	-
NABPC	NATIONAL AUST. BANK CN 3MTH PER T-03-22	Hybrid Security (Payable Date)	20%	-	-	-	-
NABPB	NATIONAL AUST. BANK CPS II	Hybrid Security (Payable Date)	20%	-	-	-	-
NABHA	NATIONAL AUST. BANK NAT.INC.SEC. STAPLED	FRN	20%	-	-	-	-
NAB	NATIONAL AUST. BANK ORDINARY	Share	20%	-	-	-	-
NABPA	NATIONAL AUST. BANK PREF SHARE	Hybrid Security (Payable Date)	20%	-	-	-	-
NABHB	NATIONAL AUST. BANK UNSEC.NOTE 18-JUN-22	FRN	20%	-	-	-	-
NSR	NATIONAL STORAGE STAPLED	Stapled Security	20%	-	-	-	-
NTD	NATIONAL TYRE&WHEEL ORDINARY	Share	10%	-	-	-	-
NVL	NATIONAL VETERINARY ORDINARY	Share	20%	-	-	-	-
NML	NAVARRE MINERALS LTD ORDINARY	Share	10%	-	-	-	-
NGI	NAVIGATOR GLOBAL LTD ORDINARY	Share	20%	-	-	-	-
NBI-SF1	NB GLOBAL CORPORATE INCOME TRUST - SHORTFALL OFFER MARCH2020	Exchange Traded Product	15%	*	*	*	*
NBI	NBGLBCORPINCOMTRUST ORDINARY UNITS	Exchange Traded Product	15%	*	*	*	*
NEA	NEARMAP LTD ORDINARY	Share	20%	-	-	-	-
NXR	NEMEX RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
NMT	NEOMETALS LTD ORDINARY	Share	20%	-	-	-	-
NEN	NEON CAPITAL LTD ORDINARY	Share	10%	-	-	-	-
NET	NETLINKZ LIMITED ORDINARY	Share	10%	-	-	-	-
NWL	NETWEALTH GROUP ORDINARY	Share	20%	-	-	-	-
NSB	NEUROSCIENTIFIC ORDINARY	Share	10%	-	-	-	-
NTI	NEUROTECH INTL ORDINARY	Share	10%	-	-	-	-
NCZ	NEW CENTURY RESOURCE ORDINARY	Share	20%	-	-	-	-
NXE	NEW ENERGY MINERALS ORDINARY	Share	10%	-	-	-	-
NEW	NEW ENERGY SOLAR STAPLED	Stapled Security	20%	-	-	-	-
NHC	NEW HOPE CORPORATION ORDINARY	Share	20%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
NSE	NEW STANDARD ENERGY ORDINARY	Share	10%	-	-	-	-
NCM	NEWCREST MINING ORDINARY	Share	20%	-	-	-	-
NWSLV	NEWS CORP.. A NON-VOTING CDI	Share	10%	-	-	-	-
NWS	NEWS CORP.. B VOTING CDI	Share	20%	-	-	-	-
NXS	NEXT SCIENCE LIMITED ORDINARY	Share	10%	-	-	-	-
NXS-H	NEXT SCIENCE LIMITED ORDINARY	Share	10%	-	-	-	-
NXT	NEXTDC LIMITED ORDINARY	Share	20%	-	-	-	-
NGE	NGE CAPITAL LIMITED ORDINARY	Share	10%	-	-	-	-
NHF	NIB HOLDINGS LIMITED ORDINARY	Share	20%	-	-	-	-
NCK	NICK SCALI LIMITED ORDINARY	Share	20%	-	-	-	-
NIC	NICKELMINESLIMITED ORDINARY	Share	20%	-	-	-	-
NDO	NIDO PETROLEUM ORDINARY	Share	10%	-	-	-	-
NEC	NINE ENTERTAINMENT ORDINARY	Share	20%	-	-	-	-
NTO	NITRO SOFTWARE LTD ORDINARY	Share	10%	-	-	-	-
NKP	NKWE PLATINUM 10C US COMMON	Share	10%	-	-	-	-
NTU	NORTHERN MIN LTD ORDINARY	Share	10%	-	-	-	-
NST	NORTHERN STAR ORDINARY	Share	20%	-	-	-	-
NOR	NORWOOD SYSTEMS LTD. ORDINARY	Share	10%	-	-	-	-
NVA	NOVA MINERALS LTD ORDINARY	Share	10%	-	-	-	-
NOV	NOVATTI GROUP LTD ORDINARY	Share	10%	-	-	-	-
NVX	NOVONIX LIMITED ORDINARY	Share	10%	-	-	-	-
NOX	NOXOPHARM LIMITED ORDINARY	Share	10%	-	-	-	-
NWH	NRW HOLDINGS LIMITED ORDINARY	Share	20%	-	-	-	-
NSX	NSX LIMITED ORDINARY	Share	10%	-	-	-	-
NTM	NTM GOLD LTD ORDINARY	Share	10%	-	-	-	-
NUC	NUCHEV LIMITED DEFERRED SETTLEMENT	Share	10%	-	-	-	-
NUC-H	NUCHEV LIMITED IPO PLACEMENT	Share	10%	-	-	-	-
NCR	NUCOAL RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
NGY	NUENERGY GAS LTD ORDINARY	Share	10%	-	-	-	-
NFNG	NUFARM FINANCE (NZ) 6.94%PERP.24NOV11	Convertible Note (Payable Date)	10%	-	-	-	-
NUF	NUFARM LIMITED ORDINARY	Share	20%	-	-	-	-
NUH	NUHEARA LIMITED ORDINARY	Share	10%	-	-	-	-
NUS	NUSANTARA RESOURCES ORDINARY	Share	10%	-	-	-	-
NVO	NVOI LTD ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
NYO	NYOTA MINERALS LTD ORDINARY	Share	10%	-	-	-	-
NZK	NZK SALMON LTD ORD FOR. EXEMPT NZX	Share	10%	-	-	-	-
NZM	NZME LIMITED ORD FOR. EXEMPT NZX	Share	10%	-	-	-	-
OBJ	OBJ LIMITED ORDINARY	Share	10%	-	-	-	-
OCL	OBJECTIVE CORP ORDINARY	Share	20%	-	-	-	-
OGA	OCEAN GROWN ABALONE ORDINARY	Share	10%	-	-	-	-
OGC	OCEANAGOLD CORP. CHESS DEPOSITARY INT	Share	20%	-	-	-	-
ODM	ODIN METALS LIMITED ORDINARY	Share	10%	-	-	-	-
OFX	OFX GROUP LTD ORDINARY	Share	20%	-	-	-	-
OSH	OIL SEARCH LTD ORDINARY	Share	20%	-	-	-	-
OLI	OLIVER'S REAL FOOD ORDINARY	Share	10%	-	-	-	-
OMH	OM HOLDINGS LIMITED ORDINARY	Share	20%	-	-	-	-
OSL	ONCOSIL MEDICAL ORDINARY	Share	10%	-	-	-	-
OMN	ONEMARKET ORDINARY	Share	10%	-	-	-	-
ONE	ONEVIEW HEALTHCARE CDI 1:1	Share	10%	-	-	-	-
OVH	ONEVUE HOLDINGS LTD ORDINARY	Share	20%	-	-	-	-
OML	OOH!MEDIA LIMITED ORDINARY	Share	20%	-	-	-	-
OLL	OPENLEARNING ORDINARY	Share	10%	-	-	-	-
OPH	OPHIR HIGH CF ORDINARY UNITS	Exchange Traded Product	20%	*	*	*	*
OPT	OPTHEA LIMITED ORDINARY	Share	20%	-	-	-	-
OPC	OPTICOMM LTD ORDINARY	Share	10%	-	-	-	-
OBM	ORA BANDA MINING LTD ORDINARY	Share	10%	-	-	-	-
OEC	ORBITAL CORP LIMITED ORDINARY	Share	10%	-	-	-	-
ODA	ORCODA LIMITED ORDINARY	Share	20%	-	-	-	-
ORR	ORECORP LTD ORDINARY	Share	10%	-	-	-	-
ORI	ORICA LIMITED ORDINARY	Share	20%	-	-	-	-
ORGHA	ORIGIN ENERGY FRN 22-DEC-71	FRN	20%	-	-	-	-
ORG	ORIGIN ENERGY ORDINARY	Share	20%	-	-	-	-
ONX	ORMINEXLTD ORDINARY	Share	10%	-	-	-	-
ORE	OROCOBRE LIMITED ORDINARY	Share	20%	-	-	-	-
ORA	ORORA LIMITED ORDINARY	Share	20%	-	-	-	-
OCC	ORTHOCELL LIMITED ORDINARY	Share	10%	-	-	-	-
OSP	OSPREY MED INC CDI 2:1	Share	10%	-	-	-	-
OSX	OSTEOPORE LIMITED ORDINARY	Share	0%	-	-	-	-
OLV	OTHERLEVELS HOLDINGS ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
OEL	OTTO ENERGY LIMITED ORDINARY	Share	10%	-	-	-	-
OVT	OVATO LIMITED ORDINARY	Share	10%	-	-	-	-
OVN	OVENTUS MEDICAL LTD ORDINARY	Share	10%	-	-	-	-
OTW	OVER THE WIRE LTD ORDINARY	Share	20%	-	-	-	-
OZL	OZ MINERALS ORDINARY	Share	20%	-	-	-	-
P2P	P2P TRANSPORT ORDINARY	Share	10%	-	-	-	-
PAK	PACIFIC AMERICAN HLD ORDINARY	Share	10%	-	-	-	-
PAC	PACIFIC GRP LTD ORDINARY	Share	20%	-	-	-	-
PSQ	PACIFIC SMILES GRP ORDINARY	Share	20%	-	-	-	-
PGH	PACT GROUP HLDGS LTD ORDINARY	Share	20%	-	-	-	-
PCK	PAINCHEK LTD ORDINARY	Share	10%	-	-	-	-
PDN	PALADIN ENERGY LTD ORDINARY	Share	20%	-	-	-	-
PAL	PALLA PHARMA LTD ORDINARY	Share	10%	-	-	-	-
PCL	PANCONTINENTAL OIL ORDINARY	Share	10%	-	-	-	-
PAN	PANORAMIC RESOURCES ORDINARY	Share	20%	-	-	-	-
PANRC	PANORAMIC RESOURCES RENOUNCEABLE RTS EXP 18SEP19	Right	20%	-	-	-	-
PNR	PANTORO LIMITED ORDINARY	Share	20%	-	-	-	-
PAR	PARADIGM BIO. ORDINARY	Share	20%	-	-	-	-
PGC	PARAGON CARE LIMITED ORDINARY	Share	20%	-	-	-	-
PNL	PARINGA RESOURCES ORDINARY	Share	10%	-	-	-	-
PWN	PARKWAY MINLS NL ORDINARY	Share	10%	-	-	-	-
PAB	PATRYS LIMITED ORDINARY	Share	10%	-	-	-	-
PYG	PAYGROUP LIMITED ORDINARY	Share	10%	-	-	-	-
PEK	PEAK RESOURCES ORDINARY	Share	10%	-	-	-	-
PG1	PEARL GLOBAL LTD ORDINARY	Share	10%	-	-	-	-
PEX	PEEL MINING LIMITED ORDINARY	Share	10%	-	-	-	-
PPC	PEET LIMITED ORDINARY	Share	20%	-	-	-	-
PPCHB	PEET LIMITED S BND 3M 10-22	Bond	10%	-	-	-	-
PPCHA	PEET LIMITED S BND 7.50% 6M 06-21	Bond	10%	-	-	-	-
PDL	PENDAL GROUP LTD ORDINARY	Share	20%	-	-	-	-
PCG	PENGANA CAPITAL ORDINARY	Share	20%	-	-	-	-
PIA	PENGANA INT EQU LTD ORDINARY	LIC - International	20%	*	*	*	*
PE1-H2	PENGANA PRIVATE EQUITY TRUST- BROKER FIRM OFFER MARCH2020	Exchange Traded Product	10%	*	*	*	*

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
PE1-H1	PENGANA PRIVATE EQUITY TRUST- SHORTFALL & PUBLIC OFFER	Exchange Traded Product	10%	*	*	*	*
PE1-H	PENGANA PRIVATE IPO	Exchange Traded Product	10%	*	*	*	*
PE1	PENGANA PRIVATE ORDINARY UNITS	Exchange Traded Product	10%	*	*	*	*
PENRB	PENINSULA ENERGY LIMITED RENOUNCEABLE RIGHTS EXP 31DEC19	Right	10%	-	-	-	-
PEN	PENINSULA ENERGY LTD ORDINARY	Share	10%	-	-	-	-
PM8	PENSANA METALS LTD ORDINARY	Share	10%	-	-	-	-
PTL	PENTAL LTD ORDINARY	Share	10%	-	-	-	-
PPE	PEOPLEINFRASTRUCTURE ORDINARY	Share	20%	-	-	-	-
PNN	PEPINNINI LITHIUM ORDINARY	Share	10%	-	-	-	-
PIL	PEPPERMINT INV LTD ORDINARY	Share	10%	-	-	-	-
PRN	PERENTI GLOBAL LTD ORDINARY	Share	20%	-	-	-	-
PCI-H	PERPETUAL CRED TRUST BROKER FIRM	Exchange Traded Product	25%	*	*	*	*
PCI	PERPETUAL CRED TRUST ORDINARY UNITS	Exchange Traded Product	25%	*	*	*	*
PIC	PERPETUAL EQUITY LTD ORDINARY	LIC - Australian	20%	*	*	*	*
PPT	PERPETUAL LIMITED ORDINARY	Share	20%	-	-	-	-
PRU	PERSEUS MINING LTD ORDINARY	Share	20%	-	-	-	-
PMGOLD	PERTH MINT GOLD - CALL OPTION	ETC	10%	*	*	*	*
PXS	PHARMAXIS LTD ORDINARY	Share	10%	-	-	-	-
PETBN	PHOSLOCK ENV TEC LTD BONUS DEFERRED	Share	20%	-	-	-	-
PET	PHOSLOCK ENV TEC LTD ORDINARY	Share	20%	-	-	-	-
PYC	PHYLOGICA LIMITED ORDINARY	Share	10%	-	-	-	-
PLL	PIEDMONT LITHIUM LTD ORDINARY	Share	10%	-	-	-	-
PLS	PILBARA MIN LTD ORDINARY	Share	20%	-	-	-	-
PGY	PILOT ENERGY LTD ORDINARY	Share	10%	-	-	-	-
PNI	PINNACLE INVESTMENT ORDINARY	Share	20%	-	-	-	-
PNC	PIONEER CREDIT LTD ORDINARY	Share	20%	-	-	-	-
PVS	PIVOTAL SYSTEMS CDI 1:1 USPROHIBITED	Share	20%	-	-	-	-
PKS	PKS HOLDINGS ORDINARY	Share	10%	-	-	-	-
PAI	PLATINUM ASIA LTD ORDINARY	LIC - International	20%	*	*	*	*
PTM	PLATINUM ASSET ORDINARY	Share	20%	-	-	-	-
PMC	PLATINUM CAPITAL LTD ORDINARY	LIC - International	20%	*	*	*	*
PAXX	PLATINUM_ASIA_FUND TMF UNITS	ETF - Domestic	25%	1.58 %	*	0.25 %	0.25 %
PIXX	PLATINUM_INT_FUND TMF UNITS	ETF - Domestic	50%	1.76 %	*	0.25 %	0.25 %

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
PL8	PLATO INC MAX LTD. ORDINARY	LIC - Australian	20%	*	*	*	*
PL8-SF	PLATO INCOME MAXIMISER LTD.SHORTFALL BROKER FIRM	LIC - Australian	20%	*	*	*	*
PAF	PM CAPITAL ASIAN OPS ORDINARY	LIC - International	20%	*	*	*	*
PGF	PM CAPITAL FUND ORDINARY	LIC - International	20%	*	*	*	*
POD	PODIUM MINERALS ORDINARY	Share	10%	-	-	-	-
3DP	POINTERRA LIMITED ORDINARY	Share	10%	-	-	-	-
PBHR	POINTS BET HOLDINGS LIMITED RENOUNCEABLE RIGHTS EXP 14NOV19	Right	10%	-	-	-	-
PBH	POINTS BET HOLDINGS ORDINARY	Share	10%	-	-	-	-
PXX	POLARX LIMITED ORDINARY	Share	10%	-	-	-	-
PNV	POLYNOVO LIMITED ORDINARY	Share	20%	-	-	-	-
POS	POSEIDON NICK LTD ORDINARY	Share	10%	-	-	-	-
PWL	POWERWRAP LIMITED ORDINARY	Share	10%	-	-	-	-
PPK	PPK GROUP LIMITED ORDINARY	Share	10%	-	-	-	-
PPS	PRAEMIUM LIMITED ORDINARY	Share	20%	-	-	-	-
PDZ	PRAIRIE MINING LTD ORDINARY	Share	10%	-	-	-	-
PMV	PREMIER INVESTMENTS ORDINARY	Share	20%	-	-	-	-
PTX	PRESCIENT LTD ORDINARY	Share	10%	-	-	-	-
PFG	PRIME FINANCIAL ORDINARY	Share	10%	-	-	-	-
PRT	PRIME MEDIA GRP LTD ORDINARY	Share	10%	-	-	-	-
PGX	PRIMERO GROUP ORDINARY	Share	10%	-	-	-	-
PWG	PRIMEWEST FPO/UNITS STAPLED	Other	10%	-	-	-	-
PWG-H	PRIMEWEST GROUP LTD - BROKER FIRM OFFER	Stapled Security	10%	-	-	-	-
PME	PRO MEDICUS LIMITED ORDINARY	Share	20%	-	-	-	-
PBP	PROBIOTEC LIMITED ORDINARY	Share	10%	-	-	-	-
PRX	PRODIGY GOLD NL ORDINARY	Share	10%	-	-	-	-
PFP	PROPEL FUNERAL ORDINARY	Share	20%	-	-	-	-
PGU-H	PROPERTYGURU LTD CDI - BROKER FIRM OFFER	Share	10%	-	-	-	-
PLG	PROPERTYLINK GRP STAPLED US PROHIBIT	Stapled Security	10%	-	-	-	-
PGL	PROSPA GROUP. DEFERRED SETTLEMENT	Share	10%	-	-	-	-
POW	PROTEAN ENERGY LTD ORDINARY	Share	10%	-	-	-	-
PSZ	PS&C LIMITED ORDINARY	Share	10%	-	-	-	-
PSI	PSC INSURANCE LTD ORDINARY	Share	20%	-	-	-	-
PTB	PTB GROUP LIMITED ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
PM1	PURE MINERALS LTD ORDINARY	Share	10%	-	-	-	-
PO3	PURIFLOH LTD ORDINARY	Share	10%	-	-	-	-
PPH	PUSHPAY HOLDINGS LTD ORD FOR. EXEMPT NZX	Share	20%	-	-	-	-
PWH	PWR HOLDINGS LIMITED ORDINARY	Share	20%	-	-	-	-
PLX	PYROLYX AG CDI 15:1 FXMP FSE	Share	10%	-	-	-	-
QTG	Q TECHNOLOGY GRP LTD ORDINARY	Share	10%	-	-	-	-
QAN	QANTAS AIRWAYS ORDINARY	Share	20%	-	-	-	-
QIP	QANTM INTELLECTUAL ORDINARY	Share	20%	-	-	-	-
QBE	QBE INSURANCE GROUP ORDINARY	Share	20%	-	-	-	-
QRX	QRXPHERMA LTD ORDINARY	Share	10%	-	-	-	-
QRI	QUALITAS RE INCOME ORDINARY UNITS	Exchange Traded Product	20%	*	*	*	*
QFY	QUANTIFY TECH LTD ORDINARY	Share	10%	-	-	-	-
QGL	QUANTUM GRAPHITE ORDINARY	Share	10%	-	-	-	-
QPR	QUATTRO PLUS ESTATE UNIT	Listed Property Trust	10%	-	-	-	-
QUBHA	QUBE HOLDINGS LTD HY 3M 10-23 Q	FRN	20%	-	-	-	-
QUB	QUBE HOLDINGS LTD ORDINARY	Share	20%	-	-	-	-
QHL	QUICKSTEP HOLDINGS ORDINARY	Share	10%	-	-	-	-
QIN	QUINTIS LTD ORDINARY	Share	10%	-	-	-	-
QVE	QV EQUITIES LIMITED ORDINARY	LIC - Australian	20%	*	*	*	*
RAC	RACE ONCOLOGY LTD ORDINARY	Share	10%	-	-	-	-
RDN	RAIDEN RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
RZI-H	RAIZ INVEST LIMITED IPO	Other	10%	-	-	-	-
RZI	RAIZ INVEST LIMITED ORDINARY	Share	10%	-	-	-	-
RMS	RAMELIUS RESOURCES ORDINARY	Share	20%	-	-	-	-
RHCPA	RAMSAY HEALTH CARE CARES	Hybrid Security (Payable Date)	20%	-	-	-	-
RHC	RAMSAY HEALTH CARE ORDINARY	Share	20%	-	-	-	-
RAN	RANGE INTERNATIONAL ORDINARY	Share	10%	-	-	-	-
RAW	RAWSON OIL & GAS LTD ORDINARY	Share	10%	-	-	-	-
RBR	RBR GROUP LTD ORDINARY	Share	10%	-	-	-	-
REA	REA GROUP ORDINARY	Share	20%	-	-	-	-
RCL	READCLOUD ORDINARY	Share	10%	-	-	-	-
RDY-H	READYTECH HOLDINGS BROKER FIRM OFFER	Other	10%	-	-	-	-
RDY	READYTECH HOLDINGS DEFERRED SETTLEMENT	Share	10%	-	-	-	-
RLE	REAL ENERGY CORP ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
RCE	RECCE PHARMACEUTICAL ORDINARY	Share	10%	-	-	-	-
RKN	RECKON LIMITED ORDINARY	Share	10%	-	-	-	-
RED	RED 5 LIMITED ORDINARY	Share	10%	-	-	-	-
RHI	RED HILL IRON ORDINARY	Share	10%	-	-	-	-
RMX	RED MOUNT MIN LTD ORDINARY	Share	10%	-	-	-	-
RVR	RED RIVER RESOURCES ORDINARY	Share	10%	-	-	-	-
RCP	REDBANK COPPER LTD ORDINARY	Share	10%	-	-	-	-
RBL	REDBUBBLE LIMITED ORDINARY	Share	20%	-	-	-	-
RDC	REDCAPE HOTEL GROUP STAPLED UNITS	Stapled Security	20%	-	-	-	-
RDF	REDFLEX HOLDINGS ORDINARY	Share	10%	-	-	-	-
RFX	REDFLOW LIMITED ORDINARY	Share	10%	-	-	-	-
RDH	REDHILL EDUCATION ORDINARY	Share	10%	-	-	-	-
RDS	REDSTONE RESOURCES ORDINARY	Share	10%	-	-	-	-
REH	REECE LIMITED ORDINARY	Share	20%	-	-	-	-
RCT	REEF CASINO TRUST UNITS	Listed Property Trust	20%	-	-	-	-
RFN	REFFIND LIMITED ORDINARY	Share	10%	-	-	-	-
RF1-H	REGAL INVEST FUND BROKER FIRM OFFER	Exchange Traded Product	10%	*	*	*	*
RF1	REGAL INVEST FUND ORDINARY UNITS	Exchange Traded Product	10%	*	*	*	*
RGS	REGENEUS LTD ORDINARY	Share	10%	-	-	-	-
REX	REGIONAL EXPRESS ORDINARY	Share	20%	-	-	-	-
REG	REGIS HEALTHCARE LTD ORDINARY	Share	20%	-	-	-	-
RRL	REGIS RESOURCES ORDINARY	Share	20%	-	-	-	-
RWC	RELIANCE WORLDWIDE ORDINARY	Share	20%	-	-	-	-
RNS	RENAISSANCE MINERALS ORDINARY	Share	10%	-	-	-	-
RNT	RENT.COM.AU LIMITED ORDINARY	Share	10%	-	-	-	-
RNE	RENU ENERGY LTD ORDINARY	Share	10%	-	-	-	-
RE1	RESA GROUP LTD ORDINARY	Share	10%	-	-	-	-
RAP	RESAPP HEALTH LTD ORDINARY	Share	10%	-	-	-	-
RMC	RESIMAC GRP LTD ORDINARY	Share	20%	-	-	-	-
RMD	RESMED INC CDI 10:1	Share	20%	-	-	-	-
RSG	RESOLUTE MINING ORDINARY	Share	20%	-	-	-	-
RML	RESOLUTION MINERALS ORDINARY	Share	10%	-	-	-	-
RHT	RESONANCE HEALTH ORDINARY	Share	10%	-	-	-	-
REZ	RESOURC & EN GRP LTD ORDINARY	Share	10%	-	-	-	-
RES	RESOURCE GENERATION ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
RSH	RESPIRI LIMITED ORDINARY	Share	10%	-	-	-	-
RFG	RETAIL FOOD GROUP ORDINARY	Share	10%	-	-	-	-
RVA	REVA MEDICAL, INC CDI 10:1	Share	10%	-	-	-	-
RVS	REVASUM FORUS DEF SET	Share	10%	-	-	-	-
RWD	REWARD MINERALS LTD ORDINARY	Share	10%	-	-	-	-
RXM	REX MINERALS LIMITED ORDINARY	Share	10%	-	-	-	-
RNO	RHINOMED LTD ORDINARY	Share	10%	-	-	-	-
RHP	RHIPE LTD ORDINARY	Share	20%	-	-	-	-
RHY	RHYTHM BIOSCIENCES ORDINARY	Share	10%	-	-	-	-
RIC	RIDLEY CORPORATION ORDINARY	Share	20%	-	-	-	-
RCW	RIGHTCROWD ORDINARY	Share	10%	-	-	-	-
RIM	RIMFIRE PACIFIC ORDINARY	Share	10%	-	-	-	-
RIO	RIO TINTO LIMITED ORDINARY	Share	20%	-	-	-	-
RGL	RIVERSGOLD ORDINARY	Share	10%	-	-	-	-
RMY	RMA GLOBAL ORDINARY	Share	10%	-	-	-	-
RNY	RNY PROPERTY TRUST UNITS	Listed Property Trust	10%	-	-	-	-
RLG	ROOLIFE GROUP LTD ORDINARY	Share	10%	-	-	-	-
ROO	ROOTS SUSTAINABLE CDI 1:1	Share	10%	-	-	-	-
RGI	ROTO-GRO INTL LTD ORDINARY	Share	10%	-	-	-	-
RWH	ROYAL WOLF HOLDINGS ORDINARY	Share	10%	-	-	-	-
RUL	RPMGLOBAL HLDGS LTD ORDINARY	Share	20%	-	-	-	-
RTR	RUMBLE RES LIMITED ORDINARY	Share	10%	-	-	-	-
RFF	RURAL FUNDS GROUP STAPLED	Stapled Security	20%	-	-	-	-
RSM	RUSSAUSEMIGOVNBONDETF ETF UNITS	ETF - Domestic	20%	0.26 %	0.01 %	*	*
RCB	RUSSAUSTCORPBONDETF ETF UNITS	ETF - Domestic	20%	0.28 %	0.03 %	*	*
RGB	RUSSAUSTGOVTBONDETF ETF UNITS	ETF - Domestic	20%	0.24 %	0.02 %	*	*
RARI	RUSSAUSTRESPINVETF ETF UNITS	ETF - Domestic	25%	0.45 %	0.12 %	*	*
RVL	RUSSELL AUS VAL ETF ETF UNITS	ETF - Domestic	50%	0.34 %	*	*	*
RDV	RUSSELL HIGH DIV ETF ETF UNITS	ETF - Domestic	50%	0.34 %	0.16 %	*	*
RXP	RXP SERVICES LTD ORDINARY	Share	10%	-	-	-	-
RYD	RYDER CAPITAL LTD ORDINARY	Share	10%	-	-	-	-
S2R	S2 RESOURCES ORDINARY	Share	10%	-	-	-	-
SGC	SACGASCO LTD ORDINARY	Share	10%	-	-	-	-
SRH	SAFEROADS HOLDINGS ORDINARY	Share	10%	-	-	-	-
SLM	SALMAT LIMITED ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
SO4	SALT LAKE POTASH LTD ORDINARY	Share	10%	-	-	-	-
SSN	SAMSON OIL & GAS LTD ORDINARY	Share	10%	-	-	-	-
SANREMO	SAN REMO PREFERENCE SHARE (UNLISTED)	Share	0%	-	-	-	-
SFR	SANDFIRE RESOURCES ORDINARY	Share	20%	-	-	-	-
SNC	SANDON CAPITAL LTD ORDINARY	LIC - Australian	20%	*	*	*	*
SMI	SANTANA MINERALS LTD ORDINARY	Share	10%	-	-	-	-
STO	SANTOS LTD ORDINARY	Share	20%	-	-	-	-
SAR	SARACEN MINERAL ORDINARY	Share	20%	-	-	-	-
STN	SATURN METALS ORDINARY	Share	10%	-	-	-	-
SND	SAUNDERS INTL LTD ORDINARY	Share	10%	-	-	-	-
SYARC	SAYONA MINING LIMITED RENOUNCEABLE RIGHTS EXP 31MAR20	Right	10%	-	-	-	-
SYA	SAYONA MINING LTD ORDINARY	Share	10%	-	-	-	-
SCP	SCA PROPERTY GROUP STAPLED SECURITIES	Stapled Security	20%	-	-	-	-
SVD	SCANDIVANADIUM LTD ORDINARY	Share	10%	-	-	-	-
SCG	SCENTRE GRP STAPLED	Stapled Security	20%	-	-	-	-
GROW	SCH REAL RETURN FUND TMF UNITS	ETF - Domestic	20%	0.98 %	*	*	*
SFC	SCHAFFER CORP. LTD. ORDINARY	Share	20%	-	-	-	-
SCL	SCHROLE GROUP LTD ORDINARY	Share	10%	-	-	-	-
SDV	SCIDEV LTD ORDINARY	Share	10%	-	-	-	-
SCT	SCOUT SECURITY LTD ORDINARY	Share	10%	-	-	-	-
SDI	SDI LIMITED ORDINARY	Share	10%	-	-	-	-
SFG	SEAFARMS GROUP LTD ORDINARY	Share	10%	-	-	-	-
SLK	SEALINK TRAVEL GRP ORDINARY	Share	20%	-	-	-	-
SMX	SECURITY MATTERS ORDINARY	Share	10%	-	-	-	-
SEK	SEEK LIMITED ORDINARY	Share	20%	-	-	-	-
SHV	SELECT HARVESTS ORDINARY	Share	20%	-	-	-	-
SWF	SELFWEALTH ORDINARY	Share	10%	-	-	-	-
SEN	SENETAS CORPORATION ORDINARY	Share	10%	-	-	-	-
SXY	SENEX ENERGY LIMITED ORDINARY	Share	20%	-	-	-	-
SNS	SENSEN NETWORKS LTD ORDINARY	Share	10%	-	-	-	-
SE1	SENSERA LTD ORDINARY	Share	10%	-	-	-	-
SEQ	SEQUOIA FIN GRP LTD ORDINARY	Share	10%	-	-	-	-
SKO	SERKO ORD FOR. EXEMPT NZX	Share	10%	-	-	-	-
SRV	SERVCORP LIMITED ORDINARY	Share	20%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
SSM	SERVICE STREAM ORDINARY	Share	20%	-	-	-	-
SVWPA	SEVEN GROUP HOLDINGS CONV. RED. PREF.	Hybrid Security (Payable Date)	10%	-	-	-	-
SVW	SEVEN GROUP HOLDINGS ORDINARY	Share	20%	-	-	-	-
SWM	SEVEN WEST MEDIA LTD ORDINARY	Share	20%	-	-	-	-
SZL	SEZZLE INC. FORUS DEF SET	Share	10%	-	-	-	-
SGF	SG FLEET GROUP LTD ORDINARY	Share	20%	-	-	-	-
SSG	SHAVER SHOP GRP LTD ORDINARY	Share	10%	-	-	-	-
SFX	SHEFFIELD RES LTD ORDINARY	Share	20%	-	-	-	-
SHJ	SHINE CORPORATE ORDINARY	Share	20%	-	-	-	-
SHM	SHRIRO HOLDINGS LTD ORDINARY	Share	10%	-	-	-	-
SDX	SIENNA CANCER ORDINARY	Share	10%	-	-	-	-
SIG	SIGMA HEALTH LTD ORDINARY	Share	20%	-	-	-	-
SIH	SIHAYO GOLD LIMITED ORDINARY	Share	10%	-	-	-	-
SLX	SILEX SYSTEMS ORDINARY	Share	10%	-	-	-	-
SIV	SILVER CHEF LIMITED ORDINARY	Share	10%	-	-	-	-
SCI	SILVER CITY MINERALS ORDINARY	Share	10%	-	-	-	-
SVH	SILVER HERITAGE GRP ORDINARY	Share	10%	-	-	-	-
SLR	SILVER LAKE RESOURCE ORDINARY	Share	20%	-	-	-	-
SVL	SILVER MINES LIMITED ORDINARY	Share	10%	-	-	-	-
SVA	SIMAVITA LTD CDI 1:1	Share	10%	-	-	-	-
SIS	SIMBLE SOLUTIONS ORDINARY	Share	10%	-	-	-	-
SIO	SIMONDS GRP LTD ORDINARY	Share	10%	-	-	-	-
SGM	SIMS LIMITED ORDINARY	Share	20%	-	-	-	-
STC	SINETECH LTD ORDINARY	Share	10%	-	-	-	-
SRI	SIPA RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
SAM	SIV ASSET MGMT LTD ORDINARY	Share	10%	-	-	-	-
SI6	SIX SIGMA METALS LTD ORDINARY	Share	10%	-	-	-	-
SAS	SKY AND SPACE GLOBAL ORDINARY	Share	10%	-	-	-	-
SKT	SKY NETWORK ORDINARY	Share	20%	-	-	-	-
SKC	SKYCITY ENT GRP LTD ORDINARY	Share	20%	-	-	-	-
SGH	SLATER & GORDON ORDINARY	Share	20%	-	-	-	-
SPZ	SMART PARKING LTD ORDINARY	Share	10%	-	-	-	-
SIQ	SMARTGRP CORPORATION ORDINARY	Share	20%	-	-	-	-
SIL	SMILES INCLUSIVE ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
SOM	SOMNOMED LIMITED ORDINARY	Share	10%	-	-	-	-
SHL	SONIC HEALTHCARE ORDINARY	Share	20%	-	-	-	-
SOL	SOUL PATTINSON (W.H) ORDINARY	Share	20%	-	-	-	-
S32	SOUTH32 LIMITED ORDINARY	Share	20%	-	-	-	-
SXX	SOUTHERN CROSS EXPL ORDINARY	Share	10%	-	-	-	-
SXL	SOUTHERN CROSS MEDIA GROUP LIMITED	Share	20%	-	-	-	-
SUH	SOUTHERN HEM MIN ORDINARY	Share	10%	-	-	-	-
SVM	SOVEREIGN METALS ORDINARY	Share	10%	-	-	-	-
SKI	SPARK INFRASTRUCTURE STAPLED SECURITIES	Stapled Security	20%	-	-	-	-
SPK	SPARK NEW ZEALAND ORDINARY	Share	20%	-	-	-	-
OZF	SPDR 200 FINANCIALS ETF UNITS	ETF - Domestic	50%	0.40 %	0.01 %	*	*
STW	SPDR 200 FUND ETF UNITS	ETF - Domestic	50%	0.19 %	0.02 %	*	*
OZR	SPDR 200 RESOURCES ETF UNITS	ETF - Domestic	25%	0.40 %	*	*	*
SFY	SPDR 50 FUND ETF UNITS	ETF - Domestic	50%	0.29 %	0.03 %	*	*
BOND	SPDR AUST BOND ETF UNITS	ETF - Domestic	25%	0.24 %	0.06 %	0.11 %	0.11 %
DJRE	SPDR DJ GLOBAL REIT ETF UNITS	ETF - Domestic	25%	0.50 %	0.01 %	*	*
WEMG	SPDR EMERGING MKTS ETF UNITS	ETF - Domestic	25%	0.65 %	0.04 %	*	*
WDIV	SPDR GLOBAL DIVIDEND ETF UNITS	ETF - Domestic	50%	0.50 %	0.10 %	*	*
GOVT	SPDR GOVT BOND ETF UNITS	ETF - Domestic	25%	0.22 %	0.06 %	0.11 %	0.11 %
QMIX	SPDR MSCI WORLD QMIX ETF UNITS	ETF - Domestic	25%	0.40 %	0.06 %	*	*
SPY	SPDR S&P 500 ETF CDI 1:1	ETF - International	50%	0.09 %	*	*	*
SLF	SPDR S&P/ASX PROP FU ETF UNITS	ETF - Domestic	25%	0.40 %	0.05 %	*	*
SSO	SPDR SMALL ORDS ETF UNITS	ETF - Domestic	25%	0.50 %	*	*	*
WXOZ	SPDR WORLD EX OZ ETF UNITS	ETF - Domestic	50%	0.30 %	*	*	*
WXHG	SPDR WORLD EX OZ HDG ETF UNITS	ETF - Domestic	50%	0.35 %	0.04 %	*	*
SYI	SPDRMSCIAUSELECTHDY ETF UNITS	ETF - Domestic	50%	0.35 %	0.02 %	*	*
SPX	SPECTRUM METALS LTD ORDINARY	Share	10%	-	-	-	-
SDA	SPEEDCAST INT LTD ORDINARY	Share	20%	-	-	-	-
SEC	SPHERIA EMERGING CO ORDINARY	LIC - Australian	20%	*	*	*	*
ST1	SPIRIT TELECOM LTD ORDINARY	Share	10%	-	-	-	-
SPT	SPLITIT ORDINARY	Share	10%	-	-	-	-
SHO	SPORTSHERO LTD ORDINARY	Share	10%	-	-	-	-
SPO	SPOTLESS GRP HLD LTD ORDINARY	Share	20%	-	-	-	-
SIX	SPRINTEX LTD ORDINARY	Share	10%	-	-	-	-
SRG	SRG GLOBAL LTD ORDINARY	Share	20%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
SBM	ST BARBARA LIMITED ORDINARY	Share	20%	-	-	-	-
SGQ	ST GEORGE MIN LTD ORDINARY	Share	10%	-	-	-	-
SMR	STANMORE COAL LTD ORDINARY	Share	20%	-	-	-	-
SPL	STARPHARMA HOLDINGS ORDINARY	Share	20%	-	-	-	-
GAS	STATE GAS LIMITED ORDINARY	Share	10%	-	-	-	-
SVY	STAVELY MINERALS LTD ORDINARY	Share	10%	-	-	-	-
SDF	STEADFAST GROUP LTD ORDINARY	Share	20%	-	-	-	-
SGI	STEALTH GLOBAL ORDINARY	Share	10%	-	-	-	-
SST	STEAMSHIPS TRADING ORDINARY	Share	20%	-	-	-	-
SXE	STH CRS ELECT ENGNR ORDINARY	Share	20%	-	-	-	-
SGP	STOCKLAND UNITS/ORD STAPLED	Stapled Security	20%	-	-	-	-
STG	STRAKER TRANSLATIONS ORDINARY	Share	10%	-	-	-	-
SOR	STRATEGIC ELEMENTS ORDINARY	PDF	10%	-	-	-	-
SER	STRATEGIC ENERGY ORDINARY	Share	10%	-	-	-	-
STX	STRIKE ENERGY LTD ORDINARY	Share	20%	-	-	-	-
SMN	STRUCTURAL MONITOR. CHESS DEPOSITARY INT	Share	10%	-	-	-	-
SUD	SUDA PHARMACEUTICALS ORDINARY	Share	10%	-	-	-	-
SUNPH-H	SUNCORP CAPITAL NOTES 3 - NEW MONEY FLOAT CODE	Hybrid Security (Ex Date)	20%	-	-	-	-
SUNPF	SUNCORP GROUP LTD CN 3M PER Q RD T-22	Hybrid Security (Payable Date)	20%	-	-	-	-
SUNPG	SUNCORP GROUP LTD CN 3M PER Q RD T-24	Hybrid Security (Payable Date)	20%	-	-	-	-
SUNPE	SUNCORP GROUP LTD CONV PREF CPS3	Hybrid Security (Payable Date)	20%	-	-	-	-
SUNPC	SUNCORP GROUP LTD CONV PREF SHARE CPS2	Hybrid Security (Payable Date)	20%	-	-	-	-
SUNPH	SUNCORP GROUP LTD DEFERRED SETTLEMENT	Hybrid Security (Payable Date)	20%	-	-	-	-
SUN	SUNCORP GROUP LTD ORDINARY	Share	20%	-	-	-	-
SUNPD	SUNCORP GROUP LTD SUB.NOTE 22-NOV-23	Hybrid Security (Payable Date)	20%	-	-	-	-
SBKHB	SUNCORP-METWAY . UNSEC.PERP.CAP NOTE	FRN	10%	-	-	-	-
SEA	SUNDANCE ENERGY ORDINARY	Share	20%	-	-	-	-
SDL	SUNDANCE RESOURCES ORDINARY	Share	20%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
SDG	SUNLAND GROUP LTD ORDINARY	Share	20%	-	-	-	-
STM	SUNSTONE METALS LTD ORDINARY	Share	10%	-	-	-	-
SUL	SUPER RET REP LTD ORDINARY	Share	20%	-	-	-	-
SUP	SUPERIOR LAKE RES ORDINARY	Share	10%	-	-	-	-
SLC	SUPERLOOP LIMITED ORDINARY	Share	20%	-	-	-	-
SNL	SUPPLY NETWORK ORDINARY	Share	20%	-	-	-	-
SRF	SURFSTITCH GROUP LTD ORDINARY	Share	10%	-	-	-	-
SWK	SWICK MINING ORDINARY	Share	10%	-	-	-	-
SW1	SWIFT MEDIA LIMITED ORDINARY	Share	10%	-	-	-	-
SYD	SYD AIRPORT STAPLED US PROHIBIT.	Stapled Security	20%	-	-	-	-
SL1	SYMBOL MINING LTD ORDINARY	Share	10%	-	-	-	-
SM1	SYNLAIT MILK LTD ORD FOR. EXEMPT NZX	Share	20%	-	-	-	-
SYT	SYNTONIC LIMITED ORDINARY	Share	10%	-	-	-	-
SYR	SYRAH RESOURCES ORDINARY	Share	20%	-	-	-	-
TAH	TABCORP HOLDINGS LTD ORDINARY	Share	20%	-	-	-	-
TAHHB	TABCORP HOLDINGS LTD UNSEC.RED 22-MAR-37	FRN	20%	-	-	-	-
TLG	TALGA RESOURCES ORDINARY	Share	10%	-	-	-	-
TD1	TALI DIGITAL LIMITED ORDINARY	Share	10%	-	-	-	-
TLM	TALISMAN MINING ORDINARY	Share	10%	-	-	-	-
TPD	TALON PETROLEUM LTD ORDINARY	Share	10%	-	-	-	-
TWD	TAMAWOOD LIMITED ORDINARY	Share	10%	-	-	-	-
TAM	TANAMI GOLD NL ORDINARY	Share	10%	-	-	-	-
TAO	TAO COMMODITIES ORDINARY	Share	20%	-	-	-	-
TAP	TAP OIL LIMITED ORDINARY	Share	10%	-	-	-	-
TAS	TASMAN RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
TGR	TASSAL GROUP LIMITED ORDINARY	Share	20%	-	-	-	-
TDL	TBG DIAGNOSTICS LTD ORDINARY	Share	10%	-	-	-	-
TMT	TECHNOLOGY METALS ORDINARY	Share	10%	-	-	-	-
TNE	TECHNOLOGY ONE ORDINARY	Share	20%	-	-	-	-
TGH	TEGEL GROUP HOLDINGS ORD FOR. EXEMPT NZX	Share	10%	-	-	-	-
TLX	TELIX PHARMACEUTICAL ORDINARY	Share	10%	-	-	-	-
TLS	TELSTRA CORPORATION. ORDINARY	Share	20%	-	-	-	-
TPW	TEMPLE & WEBSTER LTD ORDINARY	Share	10%	-	-	-	-
TGG	TEMPLETON GLOBAL ORDINARY	LIC - International	20%	*	*	*	*
TPP	TEMPO AUSTRALIA ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
TMR	TEMPUS RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
TER	TERRACOM LTD ORDINARY	Share	20%	-	-	-	-
TZN	TERRAMIN AUSTRALIA. ORDINARY	Share	20%	-	-	-	-
THC	THC GLOBAL GRP LTD ORDINARY	Share	10%	-	-	-	-
A2M	THE A2 MILK COMPANY ORDINARY	Share	20%	-	-	-	-
AU1	THE AGENCY GROUP AUS ORDINARY	Share	10%	-	-	-	-
CGL	THE CITADEL GRP ORDINARY	Share	20%	-	-	-	-
FOD	THE FOOD REVOLUTION ORDINARY	Share	10%	-	-	-	-
PGR	THE PAS GROUP LTD ORDINARY	Share	10%	-	-	-	-
TRS	THE REJECT SHOP ORDINARY	Share	10%	-	-	-	-
SGR	THE STAR ENT GRP ORDINARY	Share	20%	-	-	-	-
GO2	THEGO2PEOPLE ORDINARY	Share	10%	-	-	-	-
YTMAGL	THETA ASSET LTD THETA-ACBC AGL 11-21	ETF - Domestic	20%	*	*	*	*
YTMF01	THETA ASSET LTD THETA-ACBC AMP 06-18	ETF - Domestic	20%	*	*	*	*
YTMANZ	THETA ASSET LTD THETA-ACBC ANZ 06-20	ETF - Domestic	20%	*	*	*	*
YTMF07	THETA ASSET LTD THETA-ACBC ANZF04-20	ETF - Domestic	20%	*	*	*	*
YTMAPA	THETA ASSET LTD THETA-ACBC APA 07-20	ETF - Domestic	20%	*	*	*	*
YTMAP1	THETA ASSET LTD THETA-ACBC APA 10-23	ETF - Domestic	10%	*	*	*	*
YTMAST	THETA ASSET LTD THETA-ACBC AST 06-22	ETF - Domestic	10%	*	*	*	*
YTMAWC	THETA ASSET LTD THETA-ACBC AWC 11-19	ETF - Domestic	10%	*	*	*	*
YTMZJ	THETA ASSET LTD THETA-ACBC AZJ 10-20	ETF - Domestic	20%	*	*	*	*
YTMBH1	THETA ASSET LTD THETA-ACBC BH1 03-20	ETF - Domestic	20%	*	*	*	*
YTMBOQ	THETA ASSET LTD THETA-ACBC BO1 06-18	ETF - Domestic	10%	*	*	*	*
YTMF03	THETA ASSET LTD THETA-ACBC BOQ 06-18	ETF - Domestic	20%	*	*	*	*
YTMF02	THETA ASSET LTD THETA-ACBC BOQ 11-16	ETF - Domestic	20%	*	*	*	*
YTMCCA	THETA ASSET LTD THETA-ACBC CCA 11-19	ETF - Domestic	10%	*	*	*	*
YTMCTX	THETA ASSET LTD THETA-ACBC CTX 11-18	ETF - Domestic	20%	*	*	*	*
YTMCWN	THETA ASSET LTD THETA-ACBC CWN 07-17	ETF - Domestic	20%	*	*	*	*
YTMDO1	THETA ASSET LTD THETA-ACBC DO1 03-22	ETF - Domestic	10%	*	*	*	*
YTMDOV	THETA ASSET LTD THETA-ACBC DOW 11-18	ETF - Domestic	10%	*	*	*	*
YTMDXS	THETA ASSET LTD THETA-ACBC DXS 10-18	ETF - Domestic	20%	*	*	*	*
YTMDX1	THETA ASSET LTD THETA-ACBC DXS 11-25	ETF - Domestic	20%	*	*	*	*
YTMGPT	THETA ASSET LTD THETA-ACBC GPT 01-19	ETF - Domestic	20%	*	*	*	*
YTMGP1	THETA ASSET LTD THETA-ACBC GPT 08-26	ETF - Domestic	10%	*	*	*	*
YTM IPL	THETA ASSET LTD THETA-ACBC IPL 02-19	ETF - Domestic	20%	*	*	*	*

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
YTMLL1	THETA ASSET LTD THETA-ACBC LLC 05-20	ETF - Domestic	20%	*	*	*	*
YTMLLC	THETA ASSET LTD THETA-ACBC LLC 11-18	ETF - Domestic	20%	*	*	*	*
YTMGR	THETA ASSET LTD THETA-ACBC MGR 09-20	ETF - Domestic	20%	*	*	*	*
YTMG2	THETA ASSET LTD THETA-ACBC MGR 09-23	ETF - Domestic	10%	*	*	*	*
YTMG1	THETA ASSET LTD THETA-ACBC MGR 12-17	ETF - Domestic	20%	*	*	*	*
YTMQ	THETA ASSET LTD THETA-ACBC MQG 03-20	ETF - Domestic	20%	*	*	*	*
YTMF09	THETA ASSET LTD THETA-ACBC MQGF03-20	ETF - Domestic	20%	*	*	*	*
YTMNAB	THETA ASSET LTD THETA-ACBC NA1 05-19	ETF - Domestic	20%	*	*	*	*
YTMF04	THETA ASSET LTD THETA-ACBC NAB 11-18	ETF - Domestic	20%	*	*	*	*
YTMNA1	THETA ASSET LTD THETA-ACBC NAB 12-21	ETF - Domestic	20%	*	*	*	*
YTMF10	THETA ASSET LTD THETA-ACBC NABF06-20	ETF - Domestic	20%	*	*	*	*
YTMNVN	THETA ASSET LTD THETA-ACBC NVN 12-19	ETF - Domestic	20%	*	*	*	*
YTMQF1	THETA ASSET LTD THETA-ACBC QAN 04-20	ETF - Domestic	20%	*	*	*	*
YTMQF3	THETA ASSET LTD THETA-ACBC QAN 05-22	ETF - Domestic	20%	*	*	*	*
YTMQF2	THETA ASSET LTD THETA-ACBC QAN 06-21	ETF - Domestic	20%	*	*	*	*
YTMSCG	THETA ASSET LTD THETA-ACBC SCG 10-19	ETF - Domestic	20%	*	*	*	*
YTMSP	THETA ASSET LTD THETA-ACBC SGP 09-19	ETF - Domestic	20%	*	*	*	*
YTMG1	THETA ASSET LTD THETA-ACBC SGP 11-20	ETF - Domestic	20%	*	*	*	*
YTMG2	THETA ASSET LTD THETA-ACBC SGP 11-22	ETF - Domestic	20%	*	*	*	*
YTMF06	THETA ASSET LTD THETA-ACBC SUN 04-19	ETF - Domestic	20%	*	*	*	*
YTMSYD	THETA ASSET LTD THETA-ACBC SYD 07-18	ETF - Domestic	20%	*	*	*	*
YTMCL	THETA ASSET LTD THETA-ACBC TCL 12-21	ETF - Domestic	20%	*	*	*	*
YMTLS	THETA ASSET LTD THETA-ACBC TLS 09-20	ETF - Domestic	20%	*	*	*	*
YMTL1	THETA ASSET LTD THETA-ACBC TLS 09-22	ETF - Domestic	20%	*	*	*	*
YTMWBC	THETA ASSET LTD THETA-ACBC WBC 01-20	ETF - Domestic	20%	*	*	*	*
YTMWB1	THETA ASSET LTD THETA-ACBC WBC 07-20	ETF - Domestic	20%	*	*	*	*
YTMF11	THETA ASSET LTD THETA-ACBC WBCF07-20	ETF - Domestic	20%	*	*	*	*
YTMWES	THETA ASSET LTD THETA-ACBC WES 03-19	ETF - Domestic	20%	*	*	*	*
YTMWE1	THETA ASSET LTD THETA-ACBC WES 03-20	ETF - Domestic	20%	*	*	*	*
YTMWOW	THETA ASSET LTD THETA-ACBC WOW 03-19	ETF - Domestic	20%	*	*	*	*
YTMAS2	THETA ASSET LTD XTB AS2 4.40% AUG-27	ETF - Domestic	10%	*	*	*	*
YTMX2	THETA ASSET LTD XTB DX2 4.25% MAY-27	ETF - Domestic	20%	*	*	*	*
YTMF13	THETA ASSET LTD XTB F13 ANZ MAR-22	ETF - Domestic	20%	*	*	*	*
YTMF14	THETA ASSET LTD XTB F14 BOQ NOV-21	ETF - Domestic	10%	*	*	*	*
YTMF15	THETA ASSET LTD XTB F15 NAB FEB-23	ETF - Domestic	20%	*	*	*	*

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
YTMF16	THETA ASSET LTD XTB F16 WBC OCT-22	ETF - Domestic	20%	*	*	*	*
YTMF17	THETA ASSET LTD XTB F17 AMP MAY-21	ETF - Domestic	10%	*	*	*	*
YTMTL2	THETA ASSET LTD XTB TL1 4.00% APR-27	ETF - Domestic	20%	*	*	*	*
YTMVC1	THETA ASSET LTD XTB VC1 4.00% APR-27	ETF - Domestic	20%	*	*	*	*
YTMVCX	THETA ASSET LTD XTB VCX 3.50% APR-24	ETF - Domestic	20%	*	*	*	*
TNK	THINK CHILDCARE FPO STAPLED	Stapled Security	10%	-	-	-	-
TGA	THORN GROUP LIMITED ORDINARY	Share	10%	-	-	-	-
TEK	THORNEY TECH LTD ORDINARY	Share	10%	-	-	-	-
TPS	THREAT PROTECT LTD ORDINARY	Share	10%	-	-	-	-
TB8	TIANMEI BG CORP LTD ORDINARY	Share	10%	-	-	-	-
TIG	TIGERS REALM COAL ORDINARY	Share	10%	-	-	-	-
TKF	TIKFORCE LIMITED ORDINARY	Share	10%	-	-	-	-
TNY	TINYBEANS GROUP LTD ORDINARY	Share	10%	-	-	-	-
TTM	TITAN MINERALS ORDINARY	Share	10%	-	-	-	-
TTT	TITOMIC LIMITED ORDINARY	Share	10%	-	-	-	-
TOU	TLOU ENERGY LTD ORDINARY	Share	10%	-	-	-	-
TNG	TNG LIMITED ORDINARY	Share	10%	-	-	-	-
TIN	TNT MINES LIMITED ORDINARY	Share	10%	-	-	-	-
TRT	TODD RIVER RES LTD ORDINARY	Share	10%	-	-	-	-
TNR	TORIAN RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
TOE	TORO ENERGY LIMITED ORDINARY	Share	10%	-	-	-	-
TTB	TOTAL BRAIN LTD ORDINARY	Share	10%	-	-	-	-
TWR	TOWER LIMITED ORDINARY	Share	10%	-	-	-	-
TPM	TPG TELECOM LIMITED ORDINARY	Share	20%	-	-	-	-
TTI	TRAFFIC TECHNOLOGIES ORDINARY	Share	10%	-	-	-	-
TTL	TRANSCENDENCE ORDINARY	Share	10%	-	-	-	-
TCL	TRANSURBAN GROUP TRIPLE STAPLED SEC.	Stapled Security	20%	-	-	-	-
TWE	TREASURY WINE ESTATE ORDINARY	Share	20%	-	-	-	-
TKM	TREK METALS LTD CHESS DEPOSITARY INT	Share	10%	-	-	-	-
TEG	TRIANGLE ENERGY LTD ORDINARY	Share	10%	-	-	-	-
TGF	TRIBECA GLOBAL ORDINARY	LIC - Australian	20%	*	*	*	*
TBR	TRIBUNE RES LTD ORDINARY	Share	20%	-	-	-	-
TON	TRITON MIN LTD ORDINARY	Share	10%	-	-	-	-
TRY	TROY RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
2BE	TUBI LIMITED ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
TGN	TUNGSTEN MIN NL ORDINARY	Share	20%	-	-	-	-
TV2	TV2U INTER LIMITED ORDINARY	Share	10%	-	-	-	-
TSC	TWENTY SEVEN CO. LTD ORDINARY	Share	10%	-	-	-	-
TYX	TYRANNA RES LTD ORDINARY	Share	10%	-	-	-	-
TYR	TYRO PAYMENTS DEFERRED SETTLEMENT	Share	10%	-	-	-	-
TYR-H	TYRO PAYMENTS IPO	Share	10%	-	-	-	-
MONY	UBS IQ CASH ETF UNITS	ETF - Domestic	100%	0.18 %	*	*	*
UBP	UBS IQ MSCI ASIA ETF UNITS	ETF - Domestic	25%	0.45 %	*	*	*
UBA	UBS IQ MSCI AUST ETF ETF UNITS	ETF - Domestic	100%	0.17 %	*	*	*
UBE	UBS IQ MSCI EUROPE ETF UNITS	ETF - Domestic	25%	0.40 %	*	*	*
UBJ	UBS IQ MSCI JAPAN ETF UNITS	ETF - Domestic	25%	0.40 %	*	*	*
UBU	UBS IQ MSCI USA ETF UNITS	ETF - Domestic	25%	0.20 %	*	*	*
DIV	UBS IQ MSTAR AUS DIV ETF UNITS	ETF - Domestic	25%	0.30 %	0.01 %	*	*
ETF	UBS IQ MSTAR AUS ETF UNITS	ETF - Domestic	50%	0.30 %	0.01 %	*	*
UBW	UBS IQ WORLD EX AUS ETF UNITS	ETF - Domestic	50%	0.35 %	*	*	*
UCW	UCW LTD ORDINARY	Share	10%	-	-	-	-
UGL	UGL LIMITED ORDINARY	Share	10%	-	-	-	-
UTR	ULTRACHARGE LTD ORDINARY	Share	10%	-	-	-	-
URW	UNIBAILRODAWESTFIELD CDI 20:1	Share	20%	-	-	-	-
UNS	UNILIFE CORPORATION CDI 6:1	Share	10%	-	-	-	-
UMG	UNITED MALT GROUP LIMITED	Share	10%	-	-	-	-
UNL	UNITED NETWORKS LTD ORDINARY	Share	10%	-	-	-	-
UOS	UNITED OVERSEAS AUST ORDINARY	Share	20%	-	-	-	-
UWL	UNITI GROUP LTD ORDINARY	Share	10%	-	-	-	-
UBI	UNIVERSAL BIOSENSORS CDI US PROHIBITED	Share	10%	-	-	-	-
UNV	UNIVERSAL COAL PLC CDI 1:1	Share	10%	-	-	-	-
UBN	URBANISE.COM LTD ORDINARY	Share	10%	-	-	-	-
URF	US MASTERS RES FUND ORDINARY UNITS	Listed Property Trust	20%	-	-	-	-
UCM	USCOM LIMITED ORDINARY	Share	10%	-	-	-	-
UUV	UUV AQUABOTIX LTD ORDINARY	Share	10%	-	-	-	-
VMX	VALMEC LIMITED ORDINARY	Share	10%	-	-	-	-
VACF	VAN AUS CORP FI ETF ETF UNITS	ETF - Domestic	50%	0.26 %	0.04 %	*	*
VR8	VANADIUM RESOURCES ORDINARY	Share	10%	-	-	-	-
PLUS	VANECK AU CORP BOND ETF UNITS	ETF - Domestic	50%	0.32 %	0.15 %	*	*
FLOT	VANECK AU FLOAT RATE ETF UNITS	ETF - Domestic	25%	0.22 %	0.11 %	*	*

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
MVB	VANECK BANKS ETF UNITS	ETF - Domestic	25%	0.28 %	0.06 %	*	*
CETF	VANECK CHINA ASHARE ETF UNITS	ETF - Domestic	10%	0.72 %	0.11 %	*	*
CNEW	VANECK CHINA NEW ETF UNITS	ETF - Domestic	5%	0.95 %	0.27 %	*	*
EMKT	VANECK EMERGING MKT ETF UNITS	ETF - Domestic	20%	0.69 %	0.25 %	*	*
MVW	VANECK EQUAL WEIGHT ETF UNITS	ETF - Domestic	25%	0.35 %	0.04 %	*	*
GRNV	VANECK ESG AUSTRALIA ETF UNITS	ETF - Domestic	20%	0.35 %	0.07 %	*	*
ESGI	VANECK ESG INTERNATL ETF UNITS	ETF - Domestic	50%	0.55 %	0.09 %	*	*
GDX	VANECK GOLD MINERS ETF UNITS	ETF - Domestic	10%	0.51 %	0.03 %	*	*
IFRA	VANECK INFRASTRUCT ETF UNITS	ETF - Domestic	20%	0.52 %	0.17 %	*	*
REIT	VANECK INTERNAT REIT ETF UNITS	ETF - Domestic	20%	0.43 %	0.13 %	*	*
MVE	VANECK MIDCAP ETF UNITS	ETF - Domestic	25%	0.45 %	0.03 %	*	*
QUAL	VANECK MSCI WORLD EX AUST QUAL ETF	ETF - Domestic	50%	0.40 %	0.07 %	*	*
MVA	VANECK PROPERTY ETF UNITS	ETF - Domestic	25%	0.35 %	0.05 %	*	*
QHAL	VANECK QUAL HEDGED ETF UNITS	ETF - Domestic	50%	0.43 %	0.07 %	*	*
MVR	VANECK RESOURCES ETF UNITS	ETF - Domestic	25%	0.35 %	0.03 %	*	*
MVS	VANECK SMALL CAP DVD ETF UNITS	ETF - Domestic	25%	0.49 %	0.04 %	*	*
MOAT	VANECK US WIDE MOAT ETF UNITS	ETF - Domestic	10%	0.49 %	0.04 %	*	*
VAN	VANGO MINING LTD ORDINARY	Share	10%	-	-	-	-
VGMF	VANGUARDMULTIFACTOR TMF UNITS	ETF - Domestic	50%	0.34 %	*	*	*
VAR	VARISCAN MINES LTD ORDINARY	Share	10%	-	-	-	-
VLT	VAULT INTELLIGENCE ORDINARY	Share	10%	-	-	-	-
VIF	VD INT (HEDGED) ETF ETF UNITS	ETF - Domestic	50%	0.20 %	0.07 %	*	*
VCF	VD INT CRD SEC ETF ETF UNITS	ETF - Domestic	25%	0.30 %	0.12 %	*	*
VMG	VDM GROUP LIMITED ORDINARY	Share	10%	-	-	-	-
VEC	VECTOR RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
VEE	VEEMLTD ORDINARY	Share	10%	-	-	-	-
VMS	VENTURE MINERALS ORDINARY	Share	10%	-	-	-	-
VXR	VENTUREX RESOURCES ORDINARY	Share	10%	-	-	-	-
VMC	VENUS METALS COR LTD ORDINARY	Share	10%	-	-	-	-
VRS	VERIS LTD ORDINARY	Share	10%	-	-	-	-
VAE	VGD FTSE ASIA EX JPN ETF UNITS	ETF - Domestic	20%	0.40 %	*	*	*
VEQ	VGD FTSE EUR SHARES ETF UNITS	ETF - Domestic	20%	0.35 %	*	*	*
VG8-P	VGI PARTNERS ASIAN - PRIORITY OFFER	LIC - Australian	20%	*	*	*	*
VG8-H	VGI PARTNERS ASIAN INVESTMENTS LTD - BROKER FIRM OFFER	LIC - Australian	20%	*	*	*	*

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
VG8	VGI PARTNERS ASIAN ORDINARY	LIC - Australian	20%	*	*	*	*
VG1	VGI PARTNERS GLOBAL ORDINARY	LIC - International	20%	*	*	*	*
VGI	VGI PARTNERS LIMITED ORDINARY	Share	10%	-	-	-	-
VCX	VICINITY CENTRES ORD/UNIT STAPLED SEC	Stapled Security	20%	-	-	-	-
VIC	VICTORY MINES LTD ORDINARY	Share	10%	-	-	-	-
VOL-H	VICTORY OFFICES LTD BROKER FIRM OFFER	Share	10%	-	-	-	-
VOL	VICTORY OFFICES LTD ORDINARY	Share	10%	-	-	-	-
VLWHA	VILLA WORLD LTD. S BND 3M 04-22	Bond	20%	-	-	-	-
VRL	VILLAGE ROADSHOW LTD ORDINARY	Share	20%	-	-	-	-
VMY	VIMY RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
VEN	VINTAGE ENERGY ORDINARY	Share	10%	-	-	-	-
VAHHA	VIRGIN AUS HLDG LTD BND8.00%11-24 6M RDT	Bond	20%	-	-	-	-
VAH	VIRGIN AUS HLDG LTD ORDINARY	Share	20%	-	-	-	-
VAHHA-H	VIRGIN AUSTRALIA NOTES - BROKER FIRM OFFER	Hybrid Security (Payable Date)	20%	-	-	-	-
VUK	VIRGIN MONEY UK PLC CDI 1:1FOREXEMPT LSE	Share	20%	-	-	-	-
VRT	VIRTUS HEALTH LTD ORDINARY	Share	20%	-	-	-	-
VTI	VISION TECH, INC CDI 1:1 USPROHIBITED	Share	10%	-	-	-	-
VGL	VISTA GROUP INT LTD ORD FOR. EXEMPT NZX	Share	10%	-	-	-	-
VTG	VITA GROUP LIMITED	Share	20%	-	-	-	-
VTH	VITALHARVEST ORDINARY UNITS	Share	20%	-	-	-	-
VEA	VIVA ENERGY GROUP ORDINARY	Share	20%	-	-	-	-
VVR	VIVA ENERGY REIT STAPLED	Stapled Security	20%	-	-	-	-
VVA-H	VIVA LEISURE LIMITED - BROKER FIRM OFFER	Share	10%	-	-	-	-
VVA	VIVA LEISURE LIMITED ORDINARY	Share	10%	-	-	-	-
VMT	VMOTO LIMITED ORDINARY	Share	10%	-	-	-	-
VEU	VNGD ALL-WORLD EX-US CDI 1:1	ETF - International	50%	0.09 %	*	*	*
VAF	VNGD AUS FI ETF UNITS	ETF - Domestic	50%	0.20 %	0.05 %	*	*
VGB	VNGD AUS GOV BOND ETF UNITS	ETF - Domestic	50%	0.20 %	0.01 %	*	*
VHY	VNGD AUS HIGH YIELD ETF UNITS	ETF - Domestic	50%	0.25 %	0.04 %	*	*
VLC	VNGD AUS LARGE ETF UNITS	ETF - Domestic	50%	0.20 %	0.02 %	*	*
VAP	VNGD AUS PROP SEC ETF UNITS	ETF - Domestic	25%	0.23 %	0.01 %	*	*
VAS	VNGD AUS SHARES ETF UNITS	ETF - Domestic	100%	0.10 %	0.01 %	*	*
VSO	VNGD AUS SMALL ETF UNITS	ETF - Domestic	25%	0.30 %	0.05 %	*	*
VDBA	VNGD DIV BALANCE ETF UNITS	ETF - Domestic	100%	0.27 %	*	*	*

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
VDCO	VNGD DIV CONSERV ETF UNITS	ETF - Domestic	100%	0.27 %	*	*	*
VDGR	VNGD DIV GROWTH ETF UNITS	ETF - Domestic	100%	0.27 %	*	*	*
VDHG	VNGD DIV HIGH GROWTH ETF UNITS	ETF - Domestic	100%	0.27 %	*	*	*
VGE	VNGD EMERGING MKTS ETF UNITS	ETF - Domestic	25%	0.48 %	0.02 %	*	*
VEFI	VNGD ETHI GLB BOND ETF UNITS	ETF - Domestic	25%	0.26 %	*	*	*
VESG	VNGD ETHI INTL SHS ETF UNITS	ETF - Domestic	25%	0.18 %	*	*	*
VBND	VNGD GLB AGG BOND ETF UNITS	ETF - Domestic	100%	0.20 %	4.00 %	*	*
VBLD	VNGD GLB INFRA ETF UNITS	ETF - Domestic	25%	0.47 %	*	*	*
VVLU	VNGD GLOBVLU ATV ETF TMF UNITS	ETF - Domestic	25%	0.29 %	16.00 %	*	*
VGS	VNGD INTL SHARES ETF UNITS	ETF - Domestic	50%	0.18 %	*	*	*
VGAD	VNGD INTL SHARES H ETF UNITS	ETF - Domestic	50%	0.21 %	0.04 %	*	*
VISM	VNGD INTL SMALL CAP ETF UNITS	ETF - Domestic	25%	0.33 %	*	*	*
VMIN	VNGD MIN VOL ATV ETF TMF UNITS	ETF - International	20%	0.28 %	15.00 %	*	*
VTS	VNGD US TOTAL MARKET CDI 1:1	ETF - International	50%	0.01 %	*	*	*
VOC	VOCUS GROUP LTD ORDINARY	Share	20%	-	-	-	-
VHT	VOLPARA HEALTH TECH ORDINARY	Share	20%	-	-	-	-
VPR	VOLT POWER GROUP ORDINARY	Share	10%	-	-	-	-
VRC	VOLT RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
VOR	VORTIV LTD ORDINARY	Share	10%	-	-	-	-
VPC	VPCL LTD ORDINARY	Share	10%	-	-	-	-
VRX	VRX SILICA LTD ORDINARY	Share	10%	-	-	-	-
VYS	VYSARN LTD ORDINARY	Share	10%	-	-	-	-
WGN	WAGNERS HLD COMPANY ORDINARY	Share	20%	-	-	-	-
WGNR	WAGNERS HOLDING COMPANY LIMITED RENOUNCEABLE RIGHTS EXP 12NOV19	Right	10%	-	-	-	-
WKT	WALKABOUT RESOURCES ORDINARY	Share	10%	-	-	-	-
WAA	WAM ACTIVE LIMITED ORDINARY	LIC - Australian	10%	*	*	*	*
WAM	WAM CAPITAL LIMITED ORDINARY	LIC - Australian	20%	*	*	*	*
WGB	WAM GLOBAL LIMITED ORDINARY	LIC - International	20%	*	*	*	*
WLE	WAM LEADERS LIMITED ORDINARY	LIC - Australian	20%	*	*	*	*
WMI	WAM MICROCAP LIMITED ORDINARY	LIC - Australian	20%	*	*	*	*
WAX	WAM RESEARCH LTD ORDINARY	LIC - Australian	20%	*	*	*	*
WJA	WAMEJA LIMITED ORDINARY	Share	20%	-	-	-	-
WGO	WARREGO ENERGY LTD ORDINARY	Share	10%	-	-	-	-
WMK	WATERMARK FUND LTD ORDINARY	LIC - Australian	20%	*	*	*	*

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
WTP	WATPAC LIMITED ORDINARY	Share	10%	-	-	-	-
WHA	WATTLE HEALTH AU LTD ORDINARY	Share	20%	-	-	-	-
WQG	WCM GLOBAL GROWTH ORDINARY	Share	10%	-	-	-	-
WDS	WDS LIMITED ORDINARY	Share	10%	-	-	-	-
WEB	WEBJET LIMITED ORDINARY	Share	20%	-	-	-	-
WBT	WEEBIT NANO LTD ORDINARY	Share	10%	-	-	-	-
WLD	WELLARD LIMITED ORDINARY	Share	10%	-	-	-	-
WNB	WELLNESS AND BEAUTY ORDINARY	Share	10%	-	-	-	-
WES	WESFARMERS LIMITED ORDINARY	Share	20%	-	-	-	-
WAF	WEST AFRICAN RES LTD ORDINARY	Share	20%	-	-	-	-
WWI	WEST WITS MINING LTD ORDINARY	Share	10%	-	-	-	-
WSA	WESTERN AREAS LTD ORDINARY	Share	20%	-	-	-	-
WGX	WESTGOLD RESOURCES. ORDINARY	Share	20%	-	-	-	-
WIC	WESTOZ INV LTD ORDINARY	LIC - Australian	20%	*	*	*	*
WBCPF	WESTPAC BANKING CORP CN 3M PER Q RD T-21	Hybrid Security (Payable Date)	20%	-	-	-	-
WBCPG	WESTPAC BANKING CORP CN 3M PER Q RD T-21	Hybrid Security (Payable Date)	20%	-	-	-	-
WBCPH	WESTPAC BANKING CORP CN 3M PER Q RD T-25	Share	20%	-	-	-	-
WBCPI	WESTPAC BANKING CORP CN 3M PER Q RD T-26	Hybrid Security (Payable Date)	20%	-	-	-	-
WBCPE	WESTPAC BANKING CORP CONV PERPTL NOTE II	Hybrid Security (Payable Date)	20%	-	-	-	-
WBCPC	WESTPAC BANKING CORP CONV PREF SHS	Hybrid Security (Payable Date)	20%	-	-	-	-
WBCNB	WESTPAC BANKING CORP NEW	Share	20%	-	-	-	-
WBC	WESTPAC BANKING CORP ORDINARY	Share	20%	-	-	-	-
WBCHB	WESTPAC BANKING CORP UNSEC.FRN 22-AUG-23	FRN	20%	-	-	-	-
WSI	WESTSTAR INDUSTRIAL ORDINARY	Share	10%	-	-	-	-
WSP	WHISPIR LIMITED ORDINARY	Share	10%	-	-	-	-
WECRA	WHITE ENERGY COMPANY LIMITED RENOUNCEABLE RIGHTS EXP 06APR20	Right	10%	-	-	-	-
WEC	WHITE ENERGY COMPANY ORDINARY	Share	10%	-	-	-	-
WRM	WHITE ROCK MIN LTD ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
WHFPB	WHITEFIELD LTD CNV.RESET.PRF	Hybrid Security (Payable Date)	10%	-	-	-	-
WHF	WHITEFIELD LTD ORDINARY	LIC - Australian	20%	*	*	*	*
WHC	WHITEHAVEN COAL ORDINARY	Share	20%	-	-	-	-
WHK	WHITEHAWK LIMITED ORDINARY	Share	10%	-	-	-	-
WOA	WIDE OPEN AGRICULTUR ORDINARY	Share	10%	-	-	-	-
WND	WINDLAB LIMITED ORDINARY	Share	10%	-	-	-	-
WNR	WINGARA AG LTD ORDINARY	Share	10%	-	-	-	-
WFE	WINMAR RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
WTC	WISETECH GLOBAL LTD ORDINARY	Share	20%	-	-	-	-
WZR	WISR LTD ORDINARY	Share	10%	-	-	-	-
WGF	WMARK GLOBAL FUND ORDINARY	LIC - International	20%	*	*	*	*
WLC	WOLLONGONG COAL LTD ORDINARY	Share	10%	-	-	-	-
WPL	WOODSIDE PETROLEUM ORDINARY	Share	20%	-	-	-	-
WOW	WOOLWORTHS GROUP LTD ORDINARY	Share	20%	-	-	-	-
WML	WOOMERA MINING LTD ORDINARY	Share	10%	-	-	-	-
WOR	WORLEY LIMITED ORDINARY	Share	20%	-	-	-	-
WPP	WPP AUNZ LTD ORDINARY	Share	20%	-	-	-	-
XAM	XANADU MINES LTD ORDINARY	Share	10%	-	-	-	-
XCD	XCD ENERGY LIMITED ORDINARY	Share	10%	-	-	-	-
XRO	XERO LTD ORDINARY	Share	20%	-	-	-	-
XPL	XPLOREWLTH LIMITED ORDINARY	Share	10%	-	-	-	-
XF1	XRF LIMITED ORDINARY	Share	10%	-	-	-	-
XRF	XRF SCIENTIFIC ORDINARY	Share	10%	-	-	-	-
XST	XSTATE RESOURCES ORDINARY	Share	10%	-	-	-	-
XTD	XTD LTD ORDINARY	Share	10%	-	-	-	-
XTE	XTEK LIMITED. ORDINARY	Share	10%	-	-	-	-
YAL	YANCOAL AUST LTD ORDINARY	Share	20%	-	-	-	-
YBR	YELLOW BRICK ROAD ORDINARY	Share	10%	-	-	-	-
YOJ	YOJEE LIMITED ORDINARY	Share	10%	-	-	-	-
YOW	YOWIE GROUP ORDINARY	Share	10%	-	-	-	-
YPB	YPB GROUP LTD ORDINARY	Share	10%	-	-	-	-
ZEL	Z ENERGY LTD. ORDINARY	Share	20%	-	-	-	-
ZLD	ZELIRA THERAPEUTICS ORDINARY	Share	10%	-	-	-	-
ZEN	ZENITH ENERGY LTD ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [‡]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
ZNC	ZENITH MINERALS LTD ORDINARY	Share	10%	-	-	-	-
ZER	ZETA RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
ZGL	ZICOM GROUP LIMITED ORDINARY	Share	10%	-	-	-	-
ZIM	ZIMPLATS HOLDING LTD ORDINARY	Share	20%	-	-	-	-
Z1P	ZIP CO LTD. ORDINARY	Share	20%	-	-	-	-
ZNO	ZOONO GROUP LTD ORDINARY	Share	10%	-	-	-	-
ZYB	ZYBER HOLDINGS LTD ORDINARY	Share	10%	-	-	-	-

* this means that the fee or cost was not available as at the date of producing the Investment Booklet. You should refer to underlying product disclosure statement for the investment option for full details about fees and costs.

- this means that the fee or cost is not applicable for this investment.

[‡] Aggregated holding limits apply. Please refer to 'Investment Holding Limits' in this Booklet for the aggregated holding limits that apply to Australian Listed Securities.

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ¹⁴
DRI.ETR	1&1 Drillisch AG	XETRA	Share	10%
MMM.NYS	3M Co	New York Stock Exchange	Share	20%
JOBS.NAS	51job Inc	NASDAQ Global Select	Share	10%
ABBN.SWX	ABB Ltd	SIX Swiss Exchange Europe	Share	20%
ABT.NYS	Abbott Laboratories	New York Stock Exchange	Share	20%
ABBV.NYS	AbbVie Inc	New York Stock Exchange	Share	20%
ABMD.NAS	ABIOMED Inc	NASDAQ Global Select	Share	10%
ACAD.NAS	ACADIA Pharmaceuticals Inc	NASDAQ Global Select	Share	10%
ACN.NYS	Accenture PLC	New York Stock Exchange	Share	20%
ATVI.NAS	Activision Blizzard Inc	NASDAQ Global Select	Share	20%
ADEN.SWX	Adecco Group AG	SIX Swiss Exchange Europe	Share	20%
ADS.ETR	adidas AG	XETRA	Share	20%
ADBE.NAS	Adobe Systems Inc	NASDAQ Global Select	Share	20%
AMD.NAS	Advanced Micro Devices Inc	NASDAQ Global Select	Share	10%
ADYEN.AMS	Adyen NV	Euronext Amsterdam	Share	10%
AJRD.NYS	Aerojet Rocketdyne Holdings Inc	New York Stock Exchange	Share	10%
1299.HKE	AIA Group Ltd	Hong Kong Stock Exchange	Share	20%
AIR.PAR	Airbus SE	Euronext Paris	Share	20%
AKAM.NAS	Akamai Technologies Inc	NASDAQ Global Select	Share	20%
ALRM.NAS	Alarm.com Holdings Inc	NASDAQ Global Select	Share	10%
ALB.NYS	Albemarle Corp	New York Stock Exchange	Share	20%
ALC.SWX	Alcon Inc	SIX Swiss Exchange Europe	Share	10%
BABA.NYS	Alibaba Group Holding Ltd	New York Stock Exchange	Share	10%
ALGN.NAS	Align Technology Inc	NASDAQ Global Select	Share	10%
ALLE.NYS	Allegion PLC	New York Stock Exchange	Share	10%
AGN.NYS	Allergan PLC	New York Stock Exchange	Share	20%
ALV.ETR	Allianz SE	XETRA	Share	20%
ALSN.NYS	Allison Transmission Holdings Inc	New York Stock Exchange	Share	10%
ALLY.NYS	Ally Financial Inc	New York Stock Exchange	Share	20%
GOOGL.NAS	Alphabet Inc	NASDAQ Global Select	Share	20%
GOOG.NAS	Alphabet Inc Class C	NASDAQ Global Select	Share	20%
AYX.NYS	Alteryx Inc	New York Stock Exchange	Share	10%
MO.NYS	Altria Group Inc	New York Stock Exchange	Share	20%
AMS.MAD	Amadeus IT Group SA	Madrid Stock Exchange	Share	10%
AMZN.NAS	Amazon.com Inc	NASDAQ Global Select	Share	20%
AMED.NAS	Amedisys Inc	NASDAQ Global Select	Share	10%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ^H
AEO.NYS	American Eagle Outfitters Inc	New York Stock Exchange	Share	10%
AXP.NYS	American Express Co	New York Stock Exchange	Share	20%
AWK.NYS	American Water Works Co Inc	New York Stock Exchange	Share	20%
AMP.NYS	Ameriprise Financial Inc	New York Stock Exchange	Share	20%
AMGN.NAS	Amgen Inc	NASDAQ Global Select	Share	20%
APH.NYS	Amphenol Corp	New York Stock Exchange	Share	20%
ANGI.NAS	ANGI Homeservices Inc	NASDAQ Global Select	Share	10%
AAL.LSE	Anglo American PLC	London Stock Exchange	Share	20%
ABI.BRU	Anheuser-Busch InBev SA/NV	Euronext Brussels	Share	5%
ANSS.NAS	ANSYS Inc	NASDAQ Global Select	Share	20%
ANTM.NYS	Anthem Inc	New York Stock Exchange	Share	20%
AOS.NYS	AO Smith Corp	New York Stock Exchange	Share	20%
AON.NYS	AON PLC	New York Stock Exchange	Share	20%
AAPL.NAS	Apple Inc	NASDAQ Global Select	Share	20%
AMAT.NAS	Applied Materials Inc	NASDAQ Global Select	Share	10%
APTV.NYS	Aptiv PLC	New York Stock Exchange	Share	20%
ADM.NYS	Archer-Daniels-Midland Co	New York Stock Exchange	Share	20%
ARNC.NYS	Arconic Inc	New York Stock Exchange	Share	10%
ANET.NYS	Arista Networks Inc	New York Stock Exchange	Share	10%
ASCL.LSE	Ascential PLC	London Stock Exchange	Share	10%
AHT.LSE	Ashtead Group PLC	London Stock Exchange	Share	20%
ASML.AMS	ASML Holding NV	Euronext Amsterdam	Share	20%
AZPN.NAS	Aspen Technology Inc	NASDAQ Global Select	Share	10%
AIZ.NYS	Assurant Inc	New York Stock Exchange	Share	10%
AZN.LSE	AstraZeneca PLC	London Stock Exchange	Share	20%
HOME.NYS	At Home Group Inc	New York Stock Exchange	Share	10%
TEAM.NAS	Atlassian Corp PLC	NASDAQ Global Select	Share	10%
ACB.TSX	Aurora Cannabis Inc	Toronto Stock Exchange	Share	10%
ADSK.NAS	Autodesk Inc	NASDAQ Global Select	Share	20%
AGL.MTA	Autogrill SpA	Borsa Italiana	Share	5%
ATHM.NYS	Autohome Inc	New York Stock Exchange	Share	10%
ALV.NYS	Autoliv Inc	New York Stock Exchange	Share	20%
ADP.NAS	Automatic Data Processing Inc	NASDAQ Global Select	Share	20%
AVTR.NYS	Avantor Inc	New York Stock Exchange	Share	10%
AV.LSE	Aviva PLC	London Stock Exchange	Share	20%
AXGN.NAS	AxoGen Inc	NASDAQ Global Select	Share	10%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ¹⁴
AZM.MTA	Azimut Holding SpA	Borsa Italiana	Share	10%
BA.LSE	BAE Systems PLC	London Stock Exchange	Share	20%
BIDU.NAS	Baidu Inc	NASDAQ Global Select	Share	10%
BCSF.NYS	Bain Capital Specialty Finance Inc	New York Stock Exchange	Share	10%
BLDP.NAS	Ballard Power Systems Inc	NASDAQ Global Select	Share	10%
BAC.NYS	BANK OF AMERICA CORP	New York Stock Exchange	Share	10%
BK.NYS	Bank of New York Mellon Corp	New York Stock Exchange	Share	20%
BNS.TSX	Bank of Nova Scotia/The	Toronto Stock Exchange	Share	20%
BZUN.NAS	Baozun Inc	NASDAQ Global Select	Share	10%
BARC.LSE	Barclays PLC	London Stock Exchange	Share	20%
BCS.NYS	Barclays PLC	New York Stock Exchange	Share	10%
ABX.TSX	Barrick Gold Corp	Toronto Stock Exchange	Share	20%
GOLD.NYS	Barrick Gold Corp	New York Stock Exchange	Share	10%
BAYN.ETR	Bayer AG	XETRA	Share	20%
BMW.ETR	Bayerische Motoren Werke AG	XETRA	Share	20%
BDX.NYS	Becton Dickinson and Co	New York Stock Exchange	Share	20%
BBBY.NAS	Bed Bath & Beyond Inc	NASDAQ Global Select	Share	10%
0371.HKE	Beijing Enterprises Water Group Ltd	Hong Kong Stock Exchange	Share	10%
BRK.B.NYS	Berkshire Hathaway Inc	New York Stock Exchange	Share	20%
BRK.A.NYS	Berkshire Hathaway Inc	New York Stock Exchange	Share	10%
BBY.NYS	Best Buy Co Inc	New York Stock Exchange	Share	20%
BYND.NAS	Beyond Meat Inc	NASDAQ Global Select	Share	10%
BHP.LSE	BHP Group PLC	London Stock Exchange	Share	20%
BIIB.NAS	Biogen Inc	NASDAQ Global Select	Share	20%
BIO.NYS	Bio-Rad Laboratories Inc	New York Stock Exchange	Share	10%
BKI.NYS	Black Knight Inc	New York Stock Exchange	Share	10%
BB.NYS	BlackBerry Ltd	New York Stock Exchange	Share	10%
BLK.NYS	BlackRock Inc	New York Stock Exchange	Share	20%
BX.NYS	Blackstone Group Inc/The	New York Stock Exchange	Share	10%
BA.NYS	Boeing Co/The	New York Stock Exchange	Share	20%
BOL.PAR	Bolloré SA	Euronext Paris	Share	20%
BKNG.NAS	Booking Holdings Inc	NASDAQ Global Select	Share	20%
BWA.NYS	BorgWarner Inc	New York Stock Exchange	Share	20%
SAM.NYS	Boston Beer Co Inc/The	New York Stock Exchange	Share	10%
BSX.NYS	Boston Scientific Corp	New York Stock Exchange	Share	20%
BOX.NYS	Box Inc	New York Stock Exchange	Share	10%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ^H
BP.LSE	BP PLC	London Stock Exchange	Share	20%
BFAM.NYS	Bright Horizons Family Solutions Inc	New York Stock Exchange	Share	10%
BMY.NYS	Bristol-Myers Squibb Co	New York Stock Exchange	Share	20%
AVGO.NAS	Broadcom Inc	NASDAQ Global Select	Share	10%
BAM.NYS	Brookfield Asset Management Inc	New York Stock Exchange	Share	20%
BRKS.NAS	Brooks Automation Inc	NASDAQ Global Select	Share	10%
BF.B.NYS	Brown-Forman Corp	New York Stock Exchange	Share	20%
BT-A.LSE	BT Group PLC	London Stock Exchange	Share	20%
BNZL.LSE	Bunzl PLC	London Stock Exchange	Share	20%
BRBY.LSE	Burberry Group PLC	London Stock Exchange	Share	20%
BURL.NYS	Burlington Stores Inc	New York Stock Exchange	Share	10%
CAE.TSX	CAE Inc	Toronto Stock Exchange	Share	10%
CWT.NYS	California Water Service Group	New York Stock Exchange	Share	10%
ELY.NYS	Callaway Golf Co	New York Stock Exchange	Share	10%
CCJ.NYS	Cameco Corp	New York Stock Exchange	Share	10%
CPB.NYS	Campbell Soup Co	New York Stock Exchange	Share	20%
CSIQ.NAS	Canadian Solar Inc	NASDAQ Global Select	Share	10%
7751.TKS	Canon Inc	Tokyo Stock Exchange	Share	20%
CGC.NYS	Canopy Growth Corp	New York Stock Exchange	Share	10%
CAP.PAR	Capgemini SA	Euronext Paris	Share	20%
CAH.NYS	Cardinal Health Inc	New York Stock Exchange	Share	20%
CAT.NYS	Caterpillar Inc	New York Stock Exchange	Share	20%
CDW.NAS	CDW Corp/DE	NASDAQ Global Select	Share	20%
CELG.NAS	Celgene Corp	NASDAQ Global Select	Share	20%
CNC.NYS	Centene Corp	New York Stock Exchange	Share	20%
CTL.NYS	CenturyLink Inc	New York Stock Exchange	Share	20%
CERN.NAS	Cerner Corp	NASDAQ Global Select	Share	20%
CHTR.NAS	Charter Communications Inc	NASDAQ Global Select	Share	20%
CHGG.NYS	Chegg Inc	New York Stock Exchange	Share	10%
CHK.NYS	Chesapeake Energy Corp	New York Stock Exchange	Share	10%
CVX.NYS	Chevron Corp	New York Stock Exchange	Share	20%
PLCE.NAS	Children's Place Inc	NASDAQ Global Select	Share	10%
CHL.NYS	China Mobile Ltd	New York Stock Exchange	Share	10%
3311.HKE	China State Construction International Holdings Ltd	Hong Kong Stock Exchange	Share	10%
CMG.NYS	Chipotle Mexican Grill Inc	New York Stock Exchange	Share	20%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ¹⁴
CB.NYS	Chubb Ltd	New York Stock Exchange	Share	20%
CHD.NYS	Church & Dwight Co Inc	New York Stock Exchange	Share	20%
CIX.TSX	CI Financial Corp	Toronto Stock Exchange	Share	20%
CI.NYS	Cigna Corp	New York Stock Exchange	Share	20%
CSCO.NAS	Cisco Systems Inc	NASDAQ Global Select	Share	20%
C.NYS	Citigroup Inc	New York Stock Exchange	Share	10%
1113.HKE	CK Asset Holdings Ltd	Hong Kong Stock Exchange	Share	20%
0001.HKE	CK HUTCHINSON HOLDINGS LTD	Hong Kong Stock Exchange	Share	20%
1038.HKE	CK Infrastructure Holdings Ltd	Hong Kong Stock Exchange	Share	20%
CBG.LSE	Close Brothers Group PLC	London Stock Exchange	Share	10%
NET.NYS	CloudFlare Inc	New York Stock Exchange	Share	10%
CME.NAS	CME Group Inc	NASDAQ Global Select	Share	20%
CNHI.MTA	CNH Industrial NV	Borsa Italiana	Share	10%
CNX.NYS	CNX Resources Corp	New York Stock Exchange	Share	10%
KO.NYS	Coca-Cola Co/The	New York Stock Exchange	Share	20%
CTSH.NAS	Cognizant Technology Solutions Corp	NASDAQ Global Select	Share	20%
CL.NYS	Colgate-Palmolive Co	New York Stock Exchange	Share	20%
COLM.NAS	Columbia Sportswear Co	NASDAQ Global Select	Share	10%
CMCSA.NAS	Comcast Corp	NASDAQ Global Select	Share	20%
CBK.ETR	Commerzbank AG	XETRA	Share	20%
CVLT.NAS	CommVault Systems Inc	NASDAQ Global Select	Share	10%
CPG.LSE	Compass Group PLC	London Stock Exchange	Share	20%
CAG.NYS	Conagra Brands Inc	New York Stock Exchange	Share	20%
CXO.NYS	Concho Resources Inc	New York Stock Exchange	Share	20%
CEIX.NYS	CONSOL Energy Inc	New York Stock Exchange	Share	10%
STZ.NYS	Constellation Brands Inc	New York Stock Exchange	Share	20%
CSU.TSX	Constellation Software Inc	Toronto Stock Exchange	Share	20%
CON.ETR	Continental AG	XETRA	Share	20%
COO.NYS	Cooper Cos Inc/The	New York Stock Exchange	Share	20%
CPRT.NAS	Copart Inc	NASDAQ Global Select	Share	10%
CLB.NYS	Core Laboratories NV	New York Stock Exchange	Share	10%
CTVA.NYS	Corteva Inc	New York Stock Exchange	Share	10%
CSGP.NAS	CoStar Group Inc	NASDAQ Global Select	Share	20%
COST.NAS	Costco Wholesale Corp	NASDAQ Global Select	Share	20%
COUP.NAS	Coupa Software Inc	NASDAQ Global Select	Share	10%
ACA.PAR	Credit Agricole SA	Euronext Paris	Share	20%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ^H
CSGN.SWX	Credit Suisse Group AG	SIX Swiss Exchange Europe	Share	20%
CREE.NAS	Cree Inc	NASDAQ Global Select	Share	10%
CRH.LSE	CRH PLC	London Stock Exchange	Share	10%
CRTO.NAS	Criteo SA	NASDAQ Global Select	Share	10%
CRON.NAS	Cronos Group Inc	NASDAQ Global Select	Share	10%
CRWD.NAS	CrowdStrike Holdings Inc	NASDAQ Global Select	Share	10%
CSX.NAS	CSX Corp	NASDAQ Global Select	Share	20%
CMI.NYS	Cummins Inc	New York Stock Exchange	Share	20%
CW.NYS	Curtiss-Wright Corp	New York Stock Exchange	Share	10%
CVS.NYS	CVS Health Corp	New York Stock Exchange	Share	20%
4751.TKS	CyberAgent Inc	Tokyo Stock Exchange	Share	10%
CY.NAS	Cypress Semiconductor Corp	NASDAQ Global Select	Share	10%
DAI.ETR	Daimler AG	XETRA	Share	20%
DHR.NYS	Danaher Corp	New York Stock Exchange	Share	20%
BN.PAR	Danone SA	Euronext Paris	Share	20%
DSY.PAR	Dassault Systemes	Euronext Paris	Share	20%
DDOG.NAS	Datadog Inc	NASDAQ Global Select	Share	10%
CPR.MTA	Davide Campari-Milano SpA	Borsa Italiana	Share	5%
DE.NYS	Deere & Co	New York Stock Exchange	Share	20%
DLPH.NYS	Delphi Technologies PLC	New York Stock Exchange	Share	10%
DBK.ETR	Deutsche Bank AG	XETRA	Share	20%
DB.NYS	Deutsche Bank AG	New York Stock Exchange	Share	10%
DB1.ETR	Deutsche Boerse AG	XETRA	Share	20%
PBB.ETR	Deutsche Pfandbriefbank AG	XETRA	Share	10%
DVN.NYS	Devon Energy Corp	New York Stock Exchange	Share	20%
DXCM.NAS	DexCom Inc	NASDAQ Global Select	Share	10%
DGE.LSE	Diageo PLC	London Stock Exchange	Share	20%
DFS.NYS	Discover Financial Services	New York Stock Exchange	Share	20%
DOCU.NAS	DocuSign Inc	NASDAQ Global Select	Share	10%
DLB.NYS	Dolby Laboratories Inc	New York Stock Exchange	Share	10%
DLTR.NAS	Dollar Tree Inc	NASDAQ Global Select	Share	20%
DOL.TSX	Dollarama Inc	Toronto Stock Exchange	Share	20%
DOM.LSE	Domino's Pizza Group PLC	London Stock Exchange	Share	10%
DPZ.NYS	Domino's Pizza Inc	New York Stock Exchange	Share	20%
DHI.NYS	DR Horton Inc	New York Stock Exchange	Share	20%
DSV.CSE	DSV A/S	NASDAQ OMX Copenhagen	Share	10%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ^H
DD.NYS	DuPont de Nemours Inc	New York Stock Exchange	Share	20%
EBAY.NAS	eBay Inc	NASDAQ Global Select	Share	20%
ECL.NYS	Ecolab Inc	New York Stock Exchange	Share	20%
EW.NYS	Edwards Lifesciences Corp	New York Stock Exchange	Share	20%
FGR.PAR	Eiffage SA	Euronext Paris	Share	20%
ESTC.NYS	Elastic NV	New York Stock Exchange	Share	10%
EA.NAS	Electronic Arts Inc	NASDAQ Global Select	Share	20%
LLY.NYS	Eli Lilly & Co	New York Stock Exchange	Share	20%
EHC.NYS	Encompass Health Corp	New York Stock Exchange	Share	10%
ENR.NYS	Energizer Holdings Inc	New York Stock Exchange	Share	10%
ENGI.PAR	Engie SA	Euronext Paris	Share	20%
EFX.NYS	Equifax Inc	New York Stock Exchange	Share	20%
EQN.LSE	Equiniti Group PLC	London Stock Exchange	Share	10%
ESNT.NYS	Essent Group Ltd	New York Stock Exchange	Share	10%
EL.PAR	EssilorLuxottica	Euronext Paris	Share	20%
EL.NYS	Estee Lauder Cos Inc	New York Stock Exchange	Share	20%
ERF.PAR	Eurofins Scientific SE	Euronext Paris	Share	10%
RE.NYS	Everest Re Group Ltd	New York Stock Exchange	Share	20%
ES.NYS	Eversource Energy	New York Stock Exchange	Share	20%
EXEL.NAS	Exelixis Inc	NASDAQ Global Select	Share	10%
EXC.NAS	Exelon Corp	NASDAQ Global Select	Share	10%
EXO.MTA	EXOR Holding NV	Borsa Italiana	Share	10%
EXPE.NAS	Expedia Group Inc	NASDAQ Global Select	Share	20%
EXPN.LSE	Experian PLC	London Stock Exchange	Share	20%
XOM.NYS	Exxon Mobil Corp	New York Stock Exchange	Share	20%
FFIV.NAS	F5 Networks Inc	NASDAQ Global Select	Share	20%
FB.NAS	Facebook Inc	NASDAQ Global Select	Share	20%
FFH.TSX	Fairfax Financial Holdings Ltd	Toronto Stock Exchange	Share	20%
6954.TKS	FANUC Corp	Tokyo Stock Exchange	Share	20%
9983.TKS	Fast Retailing Co Ltd	Tokyo Stock Exchange	Share	20%
FII.NYS	Federated Investors Inc	New York Stock Exchange	Share	10%
FDX.NYS	FedEx Corp	New York Stock Exchange	Share	20%
RACE.NYS	Ferrari NV	New York Stock Exchange	Share	10%
RACE.MTA	Ferrari NV	Borsa Italiana	Share	10%
FER.MAD	Ferrovial SA	Madrid Stock Exchange	Share	10%
FEVR.LSE	Fevertree Drinks PLC	London Stock Exchange	Share	10%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ^H
FCAU.NYS	Fiat Chrysler Automobiles NV	New York Stock Exchange	Share	10%
FIS.NYS	Fidelity National Information Services Inc	New York Stock Exchange	Share	20%
FBK.MTA	FinecoBank Banca Fineco SpA	Borsa Italiana	Share	5%
FIVE.NAS	Five Below Inc	NASDAQ Global Select	Share	10%
FLEX.NAS	Flex Ltd	NASDAQ Global Select	Share	20%
FND.NYS	Floor & Decor Holdings Inc	New York Stock Exchange	Share	10%
FHZN.SWX	Flughafen Zurich AG	SIX Swiss Exchange Europe	Share	10%
FLTR.LSE	Flutter Entertainment PLC	London Stock Exchange	Share	10%
FMC.NYS	FMC Corp	New York Stock Exchange	Share	20%
F.NYS	Ford Motor Co	New York Stock Exchange	Share	20%
FTNT.NAS	Fortinet Inc	NASDAQ Global Select	Share	20%
FOXA.NAS	Fox Corp	NASDAQ Global Select	Share	20%
FOXF.NAS	Fox Factory Holding Corp	NASDAQ Global Select	Share	10%
FNV.TSX	Franco-Nevada Corp	Toronto Stock Exchange	Share	20%
FNV.NYS	Franco-Nevada Corp	New York Stock Exchange	Share	10%
FRA.ETR	Fraport AG Frankfurt Airport Services Worldwide	XETRA	Share	20%
GME.NYS	GameStop Corp	New York Stock Exchange	Share	10%
GPS.NYS	Gap Inc	New York Stock Exchange	Share	20%
GRMN.NAS	Garmin Ltd	NASDAQ Global Select	Share	10%
GTX.NYS	Garrett Motion Inc	New York Stock Exchange	Share	10%
IT.NYS	Gartner Inc	New York Stock Exchange	Share	20%
G1A.ETR	GEA Group AG	XETRA	Share	20%
GD.NYS	General Dynamics Corp	New York Stock Exchange	Share	20%
GE.NYS	General Electric Co	New York Stock Exchange	Share	20%
GM.NYS	General Motors Co	New York Stock Exchange	Share	20%
GEN.CSE	Genmab A/S	NASDAQ OMX Copenhagen	Share	10%
GNTX.NAS	Gentex Corp	NASDAQ Global Select	Share	10%
G13.SGX	Genting Singapore PLC	Singapore Exchange	Share	20%
GXI.ETR	Gerresheimer AG	XETRA	Share	10%
GETB.LSE	GetBusy PLC	London Stock Exchange	Share	10%
GILD.NAS	Gilead Sciences Inc	NASDAQ Global Select	Share	20%
GKOS.NYS	Glaukos Corp	New York Stock Exchange	Share	10%
GSK.LSE	GlaxoSmithKline PLC	London Stock Exchange	Share	20%
GLEN.LSE	Glencore PLC	London Stock Exchange	Share	20%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ¹⁴
GPN.NYS	Global Payments Inc	New York Stock Exchange	Share	20%
GN.CSE	GN Store Nord A/S	NASDAQ OMX Copenhagen	Share	10%
GS.NYS	Goldman Sachs Group Inc/The	New York Stock Exchange	Share	20%
EAF.NYS	GrafTech International Ltd	New York Stock Exchange	Share	10%
GPR.TSX	Great Panther Mining Limited	Toronto Stock Exchange	Share	10%
GDOT.NYS	Green Dot Corp	New York Stock Exchange	Share	10%
GBX.NYS	Greenbrier Cos Inc/The	New York Stock Exchange	Share	10%
GRUB.NYS	GrubHub Inc	New York Stock Exchange	Share	10%
GH.NAS	Guardant Health Inc	NASDAQ Global Select	Share	10%
GWRE.NYS	Guidewire Software Inc	New York Stock Exchange	Share	10%
HRB.NYS	H&R Block Inc	New York Stock Exchange	Share	10%
HOG.NYS	Harley-Davidson Inc	New York Stock Exchange	Share	20%
HCA.NYS	HCA Healthcare Inc	New York Stock Exchange	Share	20%
HQY.NAS	HealthEquity Inc	NASDAQ Global Select	Share	10%
HEI.NYS	HEICO Corp	New York Stock Exchange	Share	10%
HEI.ETR	HeidelbergCement AG	XETRA	Share	20%
HEIA.AMS	Heineken NV	Euronext Amsterdam	Share	20%
RMS.PAR	Hermes International	Euronext Paris	Share	20%
HSY.NYS	Hershey Foods Corp	New York Stock Exchange	Share	20%
HTZ.NYS	Hertz Global Holdings Inc	New York Stock Exchange	Share	10%
HPE.NYS	Hewlett Packard Enterprise Co	New York Stock Exchange	Share	20%
HXL.NYS	Hexcel Corp	New York Stock Exchange	Share	10%
HLT.NYS	Hilton Worldwide Holdings Inc	New York Stock Exchange	Share	20%
HD.NYS	Home Depot Inc/The	New York Stock Exchange	Share	20%
HON.NYS	Honeywell International Inc	New York Stock Exchange	Share	20%
0388.HKE	Hong Kong Exchanges & Clearing	Hong Kong Stock Exchange	Share	20%
HPQ.NYS	HP Inc	New York Stock Exchange	Share	20%
HSBA.LSE	HSBC Holdings PLC	London Stock Exchange	Share	20%
HUBS.NYS	HubSpot Inc	New York Stock Exchange	Share	10%
HUM.NYS	Humana Inc	New York Stock Exchange	Share	20%
HII.NYS	Huntington Ingalls Industries Inc	New York Stock Exchange	Share	10%
HSE.TSX	Husky Energy Inc	Toronto Stock Exchange	Share	20%
IAC.NAS	IAC/InterActiveCorp	NASDAQ Global Select	Share	10%
IEX.NYS	IDEX Corp	New York Stock Exchange	Share	10%
IDXX.NAS	IDEXX Laboratories Inc	NASDAQ Global Select	Share	20%
INFO.NYS	IHS Markit Ltd	New York Stock Exchange	Share	10%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ^H
IIVI.NAS	II-VI Inc	NASDAQ Global Select	Share	10%
ITW.NYS	Illinois Tool Works Inc	New York Stock Exchange	Share	10%
ILMN.NAS	Illumina Inc	NASDAQ Global Select	Share	20%
ITX.MAD	Industria de Diseno Textil SA	Madrid Stock Exchange	Share	10%
IFX.ETR	Infineon Technologies AG	XETRA	Share	20%
INGA.AMS	ING Groep NV	Euronext Amsterdam	Share	20%
NGVT.NYS	Ingevity Corp	New York Stock Exchange	Share	10%
INGN.NAS	Inogen Inc	NASDAQ Global Select	Share	10%
INTC.NAS	Intel Corp	NASDAQ Global Select	Share	20%
ICE.NYS	Intercontinental Exchange Inc	New York Stock Exchange	Share	20%
IHG.LSE	InterContinental Hotels Group PLC	London Stock Exchange	Share	20%
IBM.NYS	International Business Machines Corp	New York Stock Exchange	Share	20%
IFF.NYS	International Flavors & Fragrances Inc	New York Stock Exchange	Share	20%
ITRK.LSE	Intertek Group PLC	London Stock Exchange	Share	20%
INXN.NYS	InterXion Holding NV	New York Stock Exchange	Share	10%
INTU.NAS	Intuit Inc	NASDAQ Global Select	Share	20%
ISRG.NAS	Intuitive Surgical Inc	NASDAQ Global Select	Share	20%
PPA.ARC	Invesco Aerospace & Defense ETF	New York Stock Exchange ARCA	Share	10%
NVTA.NYS	Invitae Corp	New York Stock Exchange	Share	10%
IQV.NYS	IQVIA Holdings Inc	New York Stock Exchange	Share	20%
IRBT.NAS	iRobot Corp	NASDAQ Global Select	Share	10%
8001.TKS	ITOCHU Corp	Tokyo Stock Exchange	Share	20%
ITV.LSE	ITV PLC	London Stock Exchange	Share	20%
J36.SGX	Jardine Matheson Holdings Ltd	Singapore Exchange	Share	20%
JD.NAS	JD.com Inc	NASDAQ Global Select	Share	10%
JNJ.NYS	Johnson & Johnson	New York Stock Exchange	Share	20%
JCI.NYS	Johnson Controls International PLC	New York Stock Exchange	Share	20%
JPM.NYS	JPMorgan Chase & Co	New York Stock Exchange	Share	20%
BAER.SWX	Julius Baer Group Ltd	SIX Swiss Exchange Europe	Share	20%
JNPR.NYS	Juniper Networks Inc	New York Stock Exchange	Share	20%
JE.LSE	Just Eat PLC	London Stock Exchange	Share	10%
KSU.NYS	Kansas City Southern	New York Stock Exchange	Share	20%
KBC.BRU	KBC Groep NV	Euronext Brussels	Share	10%
KER.PAR	Kering SA	Euronext Paris	Share	20%
6861.TKS	Keyence Corp	Tokyo Stock Exchange	Share	20%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ^H
KEYS.NYS	Keysight Technologies Inc	New York Stock Exchange	Share	10%
KWS.LSE	Keywords Studios PLC	London Stock Exchange	Share	10%
KGX.ETR	KION Group AG	XETRA	Share	10%
2503.TKS	Kirin Holdings Co Ltd	Tokyo Stock Exchange	Share	20%
KL.NYS	Kirkland Lake Gold Ltd	New York Stock Exchange	Share	10%
9766.TKS	Konami Holdings Corp	Tokyo Stock Exchange	Share	20%
KNEBV.HEL	Kone OYJ	NASDAQ OMX Helsinki	Share	10%
DSM.AMS	Koninklijke DSM NV	Euronext Amsterdam	Share	20%
PHIA.AMS	KONINKLIJKE PHILIPS NV (ROYAL PHILIPS)	Euronext Amsterdam	Share	20%
4922.TKS	Kose Corp	Tokyo Stock Exchange	Share	20%
KHC.NAS	Kraft Heinz Co/The	NASDAQ Global Select	Share	20%
KLIC.NAS	Kulicke & Soffa Industries Inc	NASDAQ Global Select	Share	10%
LLL.NYS	L3 Technologies Inc	New York Stock Exchange	Share	20%
LHX.NYS	L3Harris Technologies Inc	New York Stock Exchange	Share	20%
LH.NYS	Laboratory Corp of America Holdings	New York Stock Exchange	Share	20%
LHN.PAR	LafargeHolcim Ltd	Euronext Paris	Share	20%
LRCX.NAS	Lam Research Corp	NASDAQ Global Select	Share	20%
LAUR.NAS	Laureate Education Inc	NASDAQ Global Select	Share	10%
LAZ.NYS	Lazard Ltd	New York Stock Exchange	Share	10%
LEA.NYS	Lear Corp	New York Stock Exchange	Share	20%
LGEN.LSE	Legal & General Group PLC	London Stock Exchange	Share	20%
LEN.NYS	Lennar Corp	New York Stock Exchange	Share	20%
0992.HKE	Lenovo Group Ltd	Hong Kong Stock Exchange	Share	10%
LHCG.NAS	LHC Group Inc	NASDAQ Global Select	Share	10%
LILA.NAS	Liberty Latin America Ltd	NASDAQ Global Select	Share	10%
LIN.ETR	Linde PLC	XETRA	Share	20%
LIN.NYS	Linde PLC	New York Stock Exchange	Share	20%
3938.TKS	LINE Corp	Tokyo Stock Exchange	Share	20%
LIVN.NAS	LivaNova PLC	NASDAQ Global Select	Share	10%
LYV.NYS	Live Nation Entertainment Inc	New York Stock Exchange	Share	10%
LLOY.LSE	Lloyds Banking Group PLC	London Stock Exchange	Share	20%
LMT.NYS	Lockheed Martin Corp	New York Stock Exchange	Share	20%
LOGI.NAS	Logitech International SA	NASDAQ Global Select	Share	10%
LSE.LSE	London Stock Exchange Group PLC	London Stock Exchange	Share	20%
LONN.SWX	Lonza Group AG	SIX Swiss Exchange Europe	Share	10%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ^H
OR.PAR	L'Oreal SA	Euronext Paris	Share	20%
LOW.NYS	Lowe's Cos Inc	New York Stock Exchange	Share	20%
LULU.NAS	Lululemon Athletica Inc	NASDAQ Global Select	Share	20%
MC.PAR	LVMH Moet Hennessy Louis Vuitton SE	Euronext Paris	Share	20%
LYB.NYS	LyondellBasell Industries NV	New York Stock Exchange	Share	10%
MIC.NYS	Macquarie Infrastructure Corp	New York Stock Exchange	Share	10%
MKL.NYS	Markel Corp	New York Stock Exchange	Share	20%
MKTX.NAS	MarketAxess Holdings Inc	NASDAQ Global Select	Share	10%
MAR.NAS	Marriott International Inc	NASDAQ Global Select	Share	20%
MMC.NYS	Marsh & McLennan Cos Inc	New York Stock Exchange	Share	20%
MAS.NYS	Masco Corp	New York Stock Exchange	Share	20%
MASI.NAS	Masimo Corp	NASDAQ Global Select	Share	10%
MA.NYS	MasterCard Inc	New York Stock Exchange	Share	20%
MTCH.NAS	Match Group Inc	NASDAQ Global Select	Share	10%
MCD.NYS	McDonald's Corp	New York Stock Exchange	Share	20%
MCK.NYS	McKesson Corp	New York Stock Exchange	Share	20%
MDCO.NAS	Medicines Co/The	NASDAQ Global Select	Share	10%
MDSO.NAS	Medidata Solutions Inc	NASDAQ Global Select	Share	10%
MDT.NYS	Medtronic PLC	New York Stock Exchange	Share	20%
0200.HKE	Melco International Development Ltd	Hong Kong Stock Exchange	Share	10%
MELI.NAS	MercadoLibre Inc	NASDAQ Global Select	Share	20%
MRK.NYS	Merck & Co Inc	New York Stock Exchange	Share	20%
MRCY.NAS	Mercury Systems Inc	NASDAQ Global Select	Share	10%
MERL.LSE	Merlin Entertainments PLC	London Stock Exchange	Share	20%
MET.NYS	MetLife Inc	New York Stock Exchange	Share	20%
B4B.ETR	METRO AG	XETRA	Share	10%
2282.HKE	MGM China Holdings Ltd	Hong Kong Stock Exchange	Share	10%
MCHP.NAS	Microchip Technology Inc	NASDAQ Global Select	Share	20%
MU.NAS	Micron Technology Inc	NASDAQ Global Select	Share	20%
MSFT.NAS	Microsoft Corp	NASDAQ Global Select	Share	20%
MIME.NAS	Mimecast Ltd	NASDAQ Global Select	Share	10%
6479.TKS	MINEBEA MITSUMI Inc	Tokyo Stock Exchange	Share	20%
8306.TKS	Mitsubishi UFJ Financial Group Inc	Tokyo Stock Exchange	Share	10%
MRNA.NAS	Moderna Inc	NASDAQ Global Select	Share	10%
MOH.NYS	Molina Healthcare Inc	New York Stock Exchange	Share	10%
MOMO.NAS	Momo Inc	NASDAQ Global Select	Share	10%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ^H
MONC.MTA	Moncler SpA	Borsa Italiana	Share	5%
MDLZ.NAS	Mondelez International Inc	NASDAQ Global Select	Share	20%
MPWR.NAS	Monolithic Power Systems Inc	NASDAQ Global Select	Share	10%
MNST.NAS	Monster Beverage Corp	NASDAQ Global Select	Share	20%
MCO.NYS	Moody's Corp	New York Stock Exchange	Share	20%
MS.NYS	Morgan Stanley	New York Stock Exchange	Share	20%
MOWI.OSL	MOWI ASA	Oslo Bors	Share	10%
MSA.NYS	MSA Safety Inc	New York Stock Exchange	Share	10%
MSCI.NYS	MSCI Inc	New York Stock Exchange	Share	10%
0066.HKE	MTR Corp Ltd	Hong Kong Stock Exchange	Share	20%
MTX.ETR	MTU Aero Engines AG	XETRA	Share	10%
6981.TKS	Murata Manufacturing Co Ltd	Tokyo Stock Exchange	Share	20%
MYL.NAS	Mylan NV	NASDAQ Global Select	Share	20%
MYOK.NAS	MyoKardia Inc	NASDAQ Global Select	Share	10%
FIZZ.NAS	National Beverage Corp	NASDAQ Global Select	Share	10%
NG.LSE	National Grid PLC	London Stock Exchange	Share	20%
NOV.NYS	National Oilwell Varco Inc	New York Stock Exchange	Share	20%
NESN.SWX	Nestle SA	SIX Swiss Exchange Europe	Share	20%
NTAP.NAS	NetApp Inc	NASDAQ Global Select	Share	20%
NTES.NAS	NetEase Inc	NASDAQ Global Select	Share	10%
NFLX.NAS	Netflix Inc	NASDAQ Global Select	Share	20%
EDU.NYS	New Oriental Education & Technology Group Inc	New York Stock Exchange	Share	10%
NEW.R.NYS	New Relic Inc	New York Stock Exchange	Share	10%
NWL.NAS	Newell Brands Inc	NASDAQ Global Select	Share	20%
NEM.NYS	Newmont Mining Corp	New York Stock Exchange	Share	20%
3659.TKS	Nexon Co Ltd	Tokyo Stock Exchange	Share	20%
NEE.NYS	NextEra Energy Inc	New York Stock Exchange	Share	20%
EGOV.NAS	NIC Inc	NASDAQ Global Select	Share	10%
6594.TKS	Nidec Corp	Tokyo Stock Exchange	Share	20%
NKE.NYS	NIKE Inc	New York Stock Exchange	Share	20%
7974.TKS	Nintendo Co Ltd	Tokyo Stock Exchange	Share	20%
NOKIA.HEL	NOKIA OYJ	NASDAQ OMX Helsinki	Share	10%
NDSN.NAS	Nordson Corp	NASDAQ Global Select	Share	10%
NOC.NYS	Northrop Grumman Corp	New York Stock Exchange	Share	20%
NLOK.NAS	NortonLifeLock Inc	NASDAQ Global Select	Share	20%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ^H
NCLH.NYS	Norwegian Cruise Line Holdings Ltd	New York Stock Exchange	Share	10%
NOVN.SWX	Novartis AG	SIX Swiss Exchange Europe	Share	20%
NVO.NYS	Novo Nordisk A/S	New York Stock Exchange	Share	20%
NOVO-B.CSE	Novo Nordisk A/S	NASDAQ OMX Copenhagen	Share	10%
NZYM-B.CSE	Novozymes A/S	NASDAQ OMX Copenhagen	Share	10%
NUE.NYS	Nucor Corp	New York Stock Exchange	Share	20%
NVDA.NAS	NVIDIA Corp	NASDAQ Global Select	Share	20%
NXPI.NAS	NXP Semiconductors NV	NASDAQ Global Select	Share	20%
OCDO.LSE	Ocado Group PLC	London Stock Exchange	Share	10%
OCI.AMS	OCI NV	Euronext Amsterdam	Share	20%
OKTA.NAS	Okta Inc	NASDAQ Global Select	Share	10%
ODFL.NAS	Old Dominion Freight Line Inc	NASDAQ Global Select	Share	10%
OLLI.NAS	Ollie's Bargain Outlet Holding	NASDAQ Global Select	Share	10%
OMC.NYS	Omnicom Group Inc	New York Stock Exchange	Share	20%
ORCL.NYS	Oracle Corp	New York Stock Exchange	Share	20%
ORP.PAR	Orpea	Euronext Paris	Share	10%
PAGS.NYS	Pagseguro Digital Ltd	New York Stock Exchange	Share	10%
PANW.NYS	Palo Alto Networks Inc	New York Stock Exchange	Share	20%
PGHN.SWX	Partners Group Holding AG	SIX Swiss Exchange Europe	Share	20%
PAYX.NAS	Paychex Inc	NASDAQ Global Select	Share	20%
PAYC.NYS	Paycom Software Inc	New York Stock Exchange	Share	10%
PYPL.NAS	PayPal Holdings Inc	NASDAQ Global Select	Share	20%
BTU.NYS	Peabody Energy Corp	New York Stock Exchange	Share	10%
PEGA.NAS	Pegasystems Inc	NASDAQ Global Select	Share	10%
PTON.NAS	Peloton Interactive Inc	NASDAQ Global Select	Share	10%
PEP.NAS	PepsiCo Inc	NASDAQ Global Select	Share	20%
PRSP.NYS	Perspecta Inc	New York Stock Exchange	Share	10%
PETS.NAS	PetMed Express Inc	NASDAQ Global Select	Share	10%
PFE.NYS	Pfizer Inc	New York Stock Exchange	Share	20%
PM.NYS	Philip Morris International Inc	New York Stock Exchange	Share	20%
PSX.NYS	Phillips 66	New York Stock Exchange	Share	20%
PLNT.NYS	Planet Fitness Inc	New York Stock Exchange	Share	10%
PTEC.LSE	Playtech Plc	London Stock Exchange	Share	10%
PAH3.ETR	Porsche Automobil Holding SE	XETRA	Share	10%
PG.NYS	Procter & Gamble Co/The	New York Stock Exchange	Share	20%
PGR.NYS	Progressive Corp/The	New York Stock Exchange	Share	20%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ¹⁴
PFPT.NAS	Proofpoint Inc	NASDAQ Global Select	Share	10%
PRX.AMS	Prosus NV	Euronext Amsterdam	Share	10%
PRU.NYS	Prudential Financial Inc	New York Stock Exchange	Share	20%
PRU.LSE	Prudential PLC	London Stock Exchange	Share	20%
PUB.PAR	Publicis Groupe SA	Euronext Paris	Share	20%
PUM.ETR	Puma SE	XETRA	Share	10%
QGEN.NYS	QIAGEN NV	New York Stock Exchange	Share	10%
QCOM.NAS	QUALCOMM Inc	NASDAQ Global Select	Share	20%
QLYS.NAS	Qualys Inc	NASDAQ Global Select	Share	10%
RTN.NYS	Raytheon Co	New York Stock Exchange	Share	20%
RP.NAS	RealPage Inc	NASDAQ Global Select	Share	10%
RB.LSE	Reckitt Benckiser Group PLC	London Stock Exchange	Share	20%
6098.TKS	Recruit Holdings Co Ltd	Tokyo Stock Exchange	Share	20%
RHT.NYS	Red Hat Inc	New York Stock Exchange	Share	20%
REGN.NAS	Regeneron Pharmaceuticals Inc	NASDAQ Global Select	Share	20%
REN.AMS	RELX PLC	Euronext Amsterdam	Share	20%
REZI.NYS	Resideo Technologies Inc	New York Stock Exchange	Share	10%
RMD.NYS	ResMed Inc	New York Stock Exchange	Share	20%
RIB.ETR	RIB Software SE	XETRA	Share	10%
RMV.LSE	Rightmove PLC	London Stock Exchange	Share	10%
RIO.LSE	Rio Tinto PLC	London Stock Exchange	Share	20%
ROG.SWX	Roche Holding AG	SIX Swiss Exchange Europe	Share	20%
RKET.ETR	Rocket Internet SE	XETRA	Share	10%
ROK.NYS	Rockwell Automation Inc	New York Stock Exchange	Share	20%
ROL.NYS	Rollins Inc	New York Stock Exchange	Share	10%
ROP.NYS	Roper Technologies Inc	New York Stock Exchange	Share	20%
ROST.NAS	Ross Stores Inc	NASDAQ Global Select	Share	20%
RDSA.LSE	Royal Dutch Shell PLC	London Stock Exchange	Share	20%
RDSB.LSE	Royal Dutch Shell PLC	London Stock Exchange	Share	20%
RGLD.NAS	Royal Gold Inc	NASDAQ Global Select	Share	10%
SPGI.NYS	S&P Global Inc	New York Stock Exchange	Share	20%
SABR.NAS	Sabre Corp	NASDAQ Global Select	Share	20%
SAF.PAR	Safran SA	Euronext Paris	Share	20%
SGE.LSE	Sage Group PLC/The	London Stock Exchange	Share	20%
CRM.NYS	salesforce.com Inc	New York Stock Exchange	Share	20%
SBH.NYS	Sally Beauty Holdings Inc	New York Stock Exchange	Share	10%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ¹⁴
SAFM.NAS	Sanderson Farms Inc	NASDAQ Global Select	Share	10%
1928.HKE	Sands China Ltd	Hong Kong Stock Exchange	Share	10%
SAN.PAR	Sanofi	Euronext Paris	Share	20%
4536.TKS	Santen Pharmaceutical Co Ltd	Tokyo Stock Exchange	Share	20%
SAP.ETR	SAP SE	XETRA	Share	20%
SCHP.SWX	Schindler Holding AG	SIX Swiss Exchange Europe	Share	10%
SLB.NYS	Schlumberger Ltd	New York Stock Exchange	Share	20%
SU.PAR	Schneider Electric SE	Euronext Paris	Share	20%
STX.NAS	Seagate Technology PLC	NASDAQ Global Select	Share	20%
SEE.NYS	Sealed Air Corp	New York Stock Exchange	Share	20%
NOW.NYS	ServiceNow Inc	New York Stock Exchange	Share	10%
SGSN.SWX	SGS SA	SIX Swiss Exchange Europe	Share	20%
SHEN.NAS	Shenandoah Telecommunications Co	NASDAQ Global Select	Share	10%
4911.TKS	Shiseido Co Ltd	Tokyo Stock Exchange	Share	20%
SHOP.NYS	Shopify Inc	New York Stock Exchange	Share	20%
SIE.ETR	Siemens AG	XETRA	Share	20%
SGRE.MAD	Siemens Gamesa Renewable Energy SA	Madrid Stock Exchange	Share	10%
SBNY.NAS	Signature Bank	NASDAQ Global Select	Share	20%
SIKA.SWX	Sika AG	SIX Swiss Exchange Europe	Share	20%
SLAB.NAS	Silicon Laboratories Inc	NASDAQ Global Select	Share	10%
SWKS.NAS	Skyworks Solutions Inc	NASDAQ Global Select	Share	20%
WORK.NYS	Slack Technologies Inc	New York Stock Exchange	Share	10%
SNAP.NYS	SNAP INC - A	New York Stock Exchange	Share	10%
SNA.NYS	Snap-on Inc	New York Stock Exchange	Share	10%
GLE.PAR	Societe Generale SA	Euronext Paris	Share	20%
9984.TKS	SOFTBANK GROUP CORP	Tokyo Stock Exchange	Share	20%
SOPH.LSE	Sophos Group PLC	London Stock Exchange	Share	10%
S32.LSE	South32 Ltd	London Stock Exchange	Share	10%
SPR.NYS	Spirit AeroSystems Holdings Inc	New York Stock Exchange	Share	10%
SAVE.NYS	Spirit Airlines Inc	New York Stock Exchange	Share	10%
SPLK.NAS	Splunk Inc	NASDAQ Global Select	Share	20%
SPOT.NYS	Spotify Technology SA	New York Stock Exchange	Share	10%
SQ.NYS	Square Inc	New York Stock Exchange	Share	10%
SSNC.NAS	SS&C Technologies Holdings Inc	NASDAQ Global Select	Share	10%
STJ.LSE	St James's Place PLC	London Stock Exchange	Share	20%
STMP.NAS	Stamps.com Inc	NASDAQ Global Select	Share	10%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ¹⁴
STAN.LSE	Standard Chartered PLC	London Stock Exchange	Share	10%
SBUX.NAS	Starbucks Corp	NASDAQ Global Select	Share	20%
TSG.NAS	Stars Group Inc/The	NASDAQ Global Select	Share	10%
3092.TKS	Start Today Co Ltd	Tokyo Stock Exchange	Share	20%
SRCL.NAS	Stericycle Inc	NASDAQ Global Select	Share	20%
STE.NYS	STERIS PLC	New York Stock Exchange	Share	10%
SFIX.NAS	Stitch Fix Inc	NASDAQ Global Select	Share	10%
SYK.NYS	Stryker Corp	New York Stock Exchange	Share	20%
4005.TKS	Sumitomo Chemical Co Ltd	Tokyo Stock Exchange	Share	20%
8316.TKS	Sumitomo Mitsui Financial Group Inc	Tokyo Stock Exchange	Share	10%
8309.TKS	Sumitomo Mitsui Trust Holdings	Tokyo Stock Exchange	Share	20%
SNDE.NAS	Sundance Energy Inc	NASDAQ Global Select	Share	20%
2382.HKE	Sunny Optical Technology Group	Hong Kong Stock Exchange	Share	10%
STI.NYS	SunTrust Banks Inc	New York Stock Exchange	Share	20%
SIVB.NAS	SVB Financial Group	NASDAQ Global Select	Share	10%
SY1.ETR	Symrise AG	XETRA	Share	20%
SYF.NYS	Synchrony Financial	New York Stock Exchange	Share	20%
SNX.NYS	SYNNEX Corp	New York Stock Exchange	Share	10%
SNPS.NAS	Synopsys Inc	NASDAQ Global Select	Share	20%
SY1.NYS	Sysco Corp	New York Stock Exchange	Share	20%
DATA.NYS	Tableau Software Inc	New York Stock Exchange	Share	10%
4502.TKS	Takeda Pharmaceutical Co Ltd	Tokyo Stock Exchange	Share	10%
TTWO.NAS	Take-Two Interactive Software Inc	NASDAQ Global Select	Share	10%
TAL.NYS	TAL Education Group - ADR	New York Stock Exchange	Share	10%
TPR.NYS	Tapestry Inc	New York Stock Exchange	Share	10%
TGT.NYS	Target Corp	New York Stock Exchange	Share	20%
TEL.NYS	TE Connectivity Ltd	New York Stock Exchange	Share	20%
TECD.NAS	Tech Data Corp	NASDAQ Global Select	Share	10%
0669.HKE	Techtronic Industries Co Ltd	Hong Kong Stock Exchange	Share	20%
TDOC.NYS	Teladoc Health Inc	New York Stock Exchange	Share	10%
TFX.NYS	Teleflex Inc	New York Stock Exchange	Share	10%
TEF.MAD	Telefonica SA	Madrid Stock Exchange	Share	5%
TEMN.SWX	Temenos AG	SIX Swiss Exchange Europe	Share	10%
0700.HKE	Tencent Holdings Ltd	Hong Kong Stock Exchange	Share	10%
TEN.NYS	Tenneco Inc	New York Stock Exchange	Share	10%
TDC.NYS	Teradata Corp	New York Stock Exchange	Share	10%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ^H
TSCO.LSE	Tesco PLC	London Stock Exchange	Share	20%
TSLA.NAS	Tesla Inc	NASDAQ Global Select	Share	20%
TTEK.NAS	Tetra Tech Inc	NASDAQ Global Select	Share	5%
HO.PAR	Thales SA	Euronext Paris	Share	20%
SCHW.NYS	The Charles Schwab Corp	New York Stock Exchange	Share	20%
SHW.NYS	The Sherwin-Williams Company	New York Stock Exchange	Share	20%
TSGI.TSX	The Stars Group Inc	Toronto Stock Exchange	Share	10%
TMO.NYS	Thermo Fisher Scientific Inc	New York Stock Exchange	Share	20%
TRI.NYS	Thomson Reuters Corp	New York Stock Exchange	Share	10%
THO.NYS	Thor Industries Inc	New York Stock Exchange	Share	10%
TIF.NYS	Tiffany & Co	New York Stock Exchange	Share	20%
TLRY.NAS	Tilray Inc	NASDAQ Global Select	Share	10%
TJX.NYS	TJX Companies Inc	New York Stock Exchange	Share	20%
TMUS.NAS	T-Mobile US Inc	NASDAQ Global Select	Share	20%
FP.PAR	TOTAL SA	Euronext Paris	Share	20%
7203.TKS	Toyota Motor Corp	Tokyo Stock Exchange	Share	20%
TTD.NAS	Trade Desk Inc/The	NASDAQ Global Select	Share	10%
TW.NAS	Tradeweb Markets Inc	NASDAQ Global Select	Share	10%
TRU.NYS	TransUnion	New York Stock Exchange	Share	10%
TRV.NYS	Travelers Cos Inc/The	New York Stock Exchange	Share	20%
TREX.NYS	Trex Co Inc	New York Stock Exchange	Share	10%
TRMB.NAS	Trimble Inc	NASDAQ Global Select	Share	20%
TCOM.NAS	Trip.com Group Ltd	NASDAQ Global Select	Share	10%
TRIP.NAS	TripAdvisor Inc	NASDAQ Global Select	Share	20%
TUP.NYS	Tupperware Brands Corp	New York Stock Exchange	Share	10%
TWLO.NYS	Twilio Inc	New York Stock Exchange	Share	10%
TWTR.NYS	Twitter Inc	New York Stock Exchange	Share	10%
TYL.NYS	Tyler Technologies Inc	New York Stock Exchange	Share	10%
TSN.NYS	Tyson Foods Inc	New York Stock Exchange	Share	20%
UBER.NYS	Uber Technologies Inc	New York Stock Exchange	Share	10%
UI.NYS	Ubiquiti Inc	New York Stock Exchange	Share	10%
UBI.PAR	Ubisoft Entertainment SA	Euronext Paris	Share	10%
UBSG.SWX	UBS Group AG	SIX Swiss Exchange Europe	Share	20%
ULTA.NAS	Ulta Salon Cosmetics & Fragrances	NASDAQ Global Select	Share	20%
UMI.BRU	Umicore SA	Euronext Brussels	Share	10%
UA.NYS	Under Armour Inc	New York Stock Exchange	Share	20%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ^H
8113.TKS	Unicharm Corp	Tokyo Stock Exchange	Share	20%
UCG.MTA	UniCredit SpA	Borsa Italiana	Share	10%
ULVR.LSE	Unilever PLC	London Stock Exchange	Share	20%
UNP.NYS	Union Pacific Corp	New York Stock Exchange	Share	20%
UN01.ETR	Uniper SE	XETRA	Share	10%
UAL.NAS	United Continental Holdings Inc	NASDAQ Global Select	Share	20%
UPS.NYS	United Parcel Service Inc	New York Stock Exchange	Share	20%
URI.NYS	United Rentals Inc	New York Stock Exchange	Share	20%
UTX.NYS	United Technologies Corp	New York Stock Exchange	Share	20%
UNH.NYS	UnitedHealth Group Inc	New York Stock Exchange	Share	20%
OLED.NAS	Universal Display Corp	NASDAQ Global Select	Share	10%
USB.NYS	US Bancorp	New York Stock Exchange	Share	20%
MTN.NYS	Vail Resorts Inc	New York Stock Exchange	Share	10%
FR.PAR	Valeo SA	Euronext Paris	Share	20%
VLO.NYS	Valero Energy Corp	New York Stock Exchange	Share	20%
VEEV.NYS	Veeva Systems Inc	New York Stock Exchange	Share	10%
VIE.PAR	Veolia Environnement SA	Euronext Paris	Share	20%
VRNT.NAS	Verint Systems Inc	NASDAQ Global Select	Share	10%
VRSN.NAS	VeriSign Inc	NASDAQ Global Select	Share	20%
VRSK.NAS	Verisk Analytics Inc	NASDAQ Global Select	Share	20%
VWS.CSE	Vestas Wind Systems A/S	NASDAQ OMX Copenhagen	Share	10%
VFC.NYS	VF Corp	New York Stock Exchange	Share	20%
VIAB.NAS	Viacom Inc	NASDAQ Global Select	Share	20%
VIAV.NAS	Viavi Solutions Inc	NASDAQ Global Select	Share	10%
SPCE.NYS	Virgin Galactic Holdings Inc	New York Stock Exchange	Share	10%
VMUK.LSE	VIRGIN MONEY UK PLC	London Stock Exchange	Share	10%
V.NYS	Visa Inc. Class A	New York Stock Exchange	Share	20%
VIV.PAR	Vivendi SA	Euronext Paris	Share	20%
VMW.NYS	VMware Inc	New York Stock Exchange	Share	20%
VOD.LSE	Vodafone Group PLC	London Stock Exchange	Share	20%
VOW.ETR	Volkswagen AG	XETRA	Share	20%
VNA.ETR	Vonovia SE	XETRA	Share	10%
VMC.NYS	Vulcan Materials Co	New York Stock Exchange	Share	20%
WAB.NYS	Wabtec Corp	New York Stock Exchange	Share	20%
WBA.NAS	Walgreens Boots Alliance Inc	NASDAQ Global Select	Share	20%
DIS.NYS	Walt Disney Co/The	New York Stock Exchange	Share	20%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ¹⁴
HCC.NYS	Warrior Met Coal Inc	New York Stock Exchange	Share	10%
WCN.TSX	Waste Connections Inc	Toronto Stock Exchange	Share	10%
WM.NYS	Waste Management Inc	New York Stock Exchange	Share	20%
W.NYS	Wayfair Inc	New York Stock Exchange	Share	10%
WB.NAS	Weibo Corp	NASDAQ Global Select	Share	10%
WEIR.LSE	Weir Group PLC/The	London Stock Exchange	Share	20%
WCG.NYS	WellCare Health Plans Inc	New York Stock Exchange	Share	10%
WFC.NYS	Wells Fargo & Co	New York Stock Exchange	Share	20%
WST.NYS	West Pharmaceutical Services Inc	New York Stock Exchange	Share	10%
WDC.NAS	Western Digital Corp	NASDAQ Global Select	Share	20%
WU.NYS	Western Union Co/The	New York Stock Exchange	Share	20%
WWR.NAS	Westwater Resources Inc	NASDAQ Global Select	Share	10%
SMWH.LSE	WH Smith PLC	London Stock Exchange	Share	10%
WPM.NYS	Wheaton Precious Metals Corp	New York Stock Exchange	Share	10%
WTB.LSE	Whitbread PLC	London Stock Exchange	Share	20%
WDH.CSE	William Demant Holding A/S	NASDAQ OMX Copenhagen	Share	10%
WMB.NYS	Williams Cos Inc/The	New York Stock Exchange	Share	20%
WDI.ETR	Wirecard AG	XETRA	Share	10%
WWD.NAS	Woodward Inc	NASDAQ Global Select	Share	10%
WDAY.NAS	Workday Inc	NASDAQ Global Select	Share	10%
WP.NYS	Worldpay Inc	New York Stock Exchange	Share	10%
GWW.NYS	WW Grainger Inc	New York Stock Exchange	Share	20%
WYNN.NAS	Wynn Resorts Ltd	NASDAQ Global Select	Share	20%
1810.HKE	Xiaomi Corp	Hong Kong Stock Exchange	Share	10%
XLNX.NAS	Xilinx Inc	NASDAQ Global Select	Share	20%
XPO.NYS	XPO Logistics Inc	New York Stock Exchange	Share	10%
XYL.NYS	Xylem Inc/NY	New York Stock Exchange	Share	20%
YRI.TSX	Yamana Gold Inc	Toronto Stock Exchange	Share	10%
YPSN.SWX	Ypsomed Holding AG	SIX Swiss Exchange Europe	Share	10%
YUMC.NYS	Yum China Holdings Inc	New York Stock Exchange	Share	20%
YUM.NYS	Yum! Brands Inc	New York Stock Exchange	Share	20%
ZBRA.NAS	Zebra Technologies Corp	NASDAQ Global Select	Share	10%
ZEN.NYS	Zendesk Inc	New York Stock Exchange	Share	10%
Z.NAS	Zillow Group Inc	NASDAQ Global Select	Share	20%
ZG.NAS	Zillow Group Inc - CL A	NASDAQ Global Select	Share	10%
ZBH.NYS	Zimmer Biomet Holdings Inc	New York Stock Exchange	Share	20%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ^H
ZTS.NYS	Zoetis Inc	New York Stock Exchange	Share	20%
ZM.NAS	Zoom Video Communications Inc	NASDAQ Global Select	Share	10%
ZO1.ETR	zooplus AG	XETRA	Share	10%
ZS.NAS	Zscaler Inc	NASDAQ Global Select	Share	10%
ZURN.SWX	Zurich Insurance Group AG	SIX Swiss Exchange Europe	Share	20%

^H Aggregated holding limits apply. Please refer to 'Investment Holding Limits' in this Booklet for the aggregated holding limits that apply to International Listed Securities.

Term Deposits

Code	Term Deposit Name
ADB-365	Term Deposit 1 year (365 days) Adelaide Bank
ANZ-365	Term Deposit 1 year (365 days) Australia and New Zealand Bank
ING-365	Term Deposit 1 year (365 days) ING Direct
MEB-365	Term Deposit 1 year (365 days) Members Equity Bank
NAB-365	Term Deposit 1 year (365 days) National Australia Bank
ANZ-90	Term Deposit 3 Month (90 day) Australia and New Zealand Bank
ADB-90	Term Deposit 3 months (90 days) Adelaide Bank
ING-90	Term Deposit 3 months (90 days) ING Direct
MEB-90	Term Deposit 3 months (90 days) Members Equity Bank
NAB-90	Term Deposit 3 months (90 days) National Australia Bank
ADB-180	Term Deposit 6 months (180 days) Adelaide Bank
ANZ-180	Term Deposit 6 Months (180 days) Australia and New Zealand Bank
ING-180	Term Deposit 6 months (180 days) ING Direct
MEB-180	Term Deposit 6 months (180 days) Members Equity Bank
NAB-180	Term Deposit 6 months (180 days) National Australia Bank